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Primary food reward and reward predictive stimuli evoke different patterns of phasic  
dopamine signaling throughout the striatum

Running Title: Regionally-selective phasic dopamine release

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## **Abstract**

Phasic changes in dopamine activity play a critical role in learning and goal-directed behavior. Unpredicted reward and reward predictive cues evoke phasic increases in the firing rate of the majority of midbrain dopamine neurons – results that predict uniformly broadcast increases in dopamine concentration throughout the striatum. However, measurement of dopamine concentration changes during reward has cast doubt on this prediction. We systematically measured phasic changes in dopamine concentration in four striatal subregions (nucleus accumbens shell (Shell) and core (Core), dorsomedial (DMS) and dorsolateral striatum (DLS)) in response to stimuli known to activate a majority of dopamine neurons. We used fast-scan cyclic voltammetry in awake and behaving rats, which measures changes in dopamine concentration on a similar timescale to the electrophysiological recordings that established a relationship between phasic dopamine activity and reward. Unlike the responses of midbrain dopamine neurons, unpredicted food reward and reward-predictive cues evoked a phasic increase in dopamine that was subregion specific. In rats with limited experience, unpredicted food reward evoked an increase exclusively in the Core. In rats trained on a discriminative stimulus paradigm, both unpredicted reward and reward-predictive cues evoked robust phasic dopamine in the Core and DMS. Thus, phasic dopamine release in select target structures is dynamic and dependent on context and experience. Since the four subregions assayed receive different inputs and have differential projection targets, the regional selectivity of phasic changes in dopamine has important implications for information flow through the striatum and plasticity that underlies learning and goal-directed behavior.

## Introduction

The striatum – dorsal and ventral – is critical for motivational processes, cognition and voluntary motor behavior. Based on cytoarchitecture and anatomical connections, the striatum is divided into subregions: the shell (Shell) and core (Core) of the nucleus accumbens (ventral division) and the dorsomedial (DMS) and dorsolateral (DLS) striatum (dorsal division) Groenewegen *et al.*, 1999a; 1999b; Bolam *et al.*, 2000; Voorn *et al.*, 2004; Humphries & Prescott, 2010). Consistent with the anatomy, functional specifications have emerged in which striatal subregions differentially contribute to goal-directed behavior (Kelley, 1999; Li *et al.*, 2010), learning (Featherstone & McDonald, 2004; Yin & Knowlton, 2004; Pennartz *et al.*, 2009; Ragozzino *et al.*, 2009) and motor behavior (Sabol *et al.*, 1985; Olds *et al.*, 2006). Although there is not universal agreement on the functional roles of striatal subregions, there is little doubt for regional specificity.

All subregions of the striatum receive input from midbrain dopamine neurons and dopamine modulates ongoing striatal activity (Nicola & Deadwyler, 2000; Bamford *et al.*, 2004; Surmeier *et al.*, 2009; Gerfen & Surmeier, 2010). Unpredicted primary rewards and cues that come to predict them are remarkably effective in evoking phasic increases in the firing rate of dopamine neurons (Mirenowicz & Schultz, 1996; Schultz, 1998; Matsumoto & Hikosaka, 2009). In addition, phasic changes in dopamine neuronal activity are important in the formation of cue-reward associations (Zweifel *et al.*, 2009). Because a majority of dopamine neurons respond to reward with similar latencies, one interpretation is that there is a global increase in dopamine concentration throughout the striatum (Schultz, 1998). Even though pools of midbrain dopamine neurons project to

the striatum in a topographical manner (Ikemoto, 2007), terminal fields of individual dopamine neurons extensively arborize and cover a large volume of the striatum (Matsuda *et al.*, 2009) - lending support to the idea that reward-related phasic dopamine activity results in a widely broadcast signal throughout the striatum.

In contrast to a global striatal signal suggested by electrophysiology, dopamine measurements made in select striatal subregions suggest that changes in dopamine concentration are regionally specific (Aragona *et al.*, 2009; Bassareo *et al.*, 2011). Most studies examining regional specificity in dopamine concentration changes have either used techniques that lack the temporal resolution to discern phasic changes (Ito *et al.*, 2002; Bassareo *et al.*, 2011), focus on a subset of striatal regions (Aragona *et al.*, 2008; 2009; Wanat *et al.*, 2010) or both. Thus, to date, it remains unclear whether phasic dopamine, released in response to reward or during goal-directed behavior, is widely transmitted throughout the striatum or in a more regionally selective manner. To resolve this issue, we used fast-scan cyclic voltammetry to measure phasic changes in dopamine concentration in the Shell, Core, DMS or DLS in response to (i) ventral midbrain stimulation, (ii) unpredicted primary reward delivery, and (iii) reward predictive cues. We predicted that although all areas would support dopamine release in response to electrical stimulation, dopamine release evoked by reward-related stimuli would differ across striatal subregions.

## **Materials and Methods**

### **Subjects**

Male, Sprague Dawley rats (Charles River Laboratories) weighing 325-400 g at the time of testing were used. Animals were individually housed in plastic cages (26.5 x 50 x 20 cm) in a temperature (22°C) and humidity (30%) controlled environment on a 12/12 h light/dark cycle (lights on at 7:00 a.m.). Prior to training and during recovery from surgery rats had *ad libitum* access to both standard lab chow and water. During training and testing, rats were food restricted to ~95% of their *ad libitum* body weight with free access to water. Animal care and use was in accordance with the National Institutes for Health Guide for the Care and Use of Laboratory Animals and approved by the Institutional Animal Care and Use Committee at the University of Illinois at Chicago.

### **Apparatus**

Rats were trained and tested in a standard operant chamber (Med Associates, St. Albans, VT, USA). A houselight and two different sound generators were located on one wall of the chamber. A custom designed acrylic pellet receptacle was located in the center of the opposite wall. A retractable lever with a circular white cue light above it was positioned on either side of and equidistant to the pellet receptacle. A hole in the top of the chamber allowed for the attachment of the headstage for voltammetric measurements. The headstage, in turn, was attached to an electric swivel (Crist Instrument Company, MD, USA) mounted above which permitted free movement throughout the chamber during recording.

### **Surgical Procedures**

Rats were anesthetized with ketamine hydrochloride (100 mg/kg, intraperitoneal) and xylazine hydrochloride (10 mg/kg, intraperitoneal). A guide cannula (Bioanalytical systems, West Lafayette, IN, USA) was implanted 2.5 mm below the skull and dorsal to one of four striatal regions according to the following coordinates relative to bregma (in mm): nucleus accumbens shell (Shell) +1.7 anterior, -0.9 lateral; nucleus accumbens core (Core) +1.3 anterior, -1.5 lateral; dorsomedial striatum (DMS) +0.6 anterior, -2.1 lateral; and dorsolateral striatum (DLS) +0.6 anterior, -4.0 lateral. A chlorinated silver wire (Ag/AgCl) reference electrode was placed contralateral to the guide cannula in the left forebrain. Stainless steel screws and dental cement secured the guide cannula and reference electrode. Next, a removable custom micromanipulator loaded with a carbon fiber electrode was attached to the guide cannula and the electrode was lowered into the selected striatal region. A bipolar stimulating electrode (Plastics One, Roanoke, VA, USA) was then implanted in the ventral tegmental area/substantia nigra pars compacta (VTA/SNpc; AP -5.2 mm, ML -1.0 mm). The stimulating electrode was lowered from -7.0 mm (relative to surface of the brain) at 0.2 mm increments. At each increment a train of current pulses was delivered (60 pulses delivered at 60 Hz, 120  $\mu$ A). After stimulation evoked a phasic increase in dopamine, the position of the stimulating electrode was optimized for maximal evoked dopamine and cemented in place. The carbon fiber electrode was then removed. Rats were allowed to recover with free access to food and water until reaching pre-surgery body weight (3-5 days). After recovery, rats were food restricted as described above.

### **Carbon Fiber Electrodes**

Electrodes were fabricated from individual carbon fibers (Goodfellow Cambridge LTD, Huntingdon, UK; 7  $\mu\text{m}$  diameter). Fibers were aspirated into glass pipettes (A-M Systems, Carlsborg, WA, USA; 0.6 mm O.D., 0.4 mm I.D.) and pulled on a vertical puller (Narishige, East Meadow, NY, USA). The glass seal was evaluated under light microscopy and the carbon fiber was cut to a length of 75-100  $\mu\text{m}$  using a scalpel. Electrodes were then loaded into custom-designed micromanipulators (UIC Research Resources Center), which interfaced with the guide cannula implanted in the rat. Following recording sessions, electrodes were calibrated using dopamine (1  $\mu\text{M}$ ) in a flow injection system to convert changes in current due to the oxidation of dopamine to concentration. However, as it was not possible to calibrate all electrodes, data are presented in nA throughout the paper. For electrodes that were calibrated, the average conversion factor was 1 nA = 66.6 nM. This conversion rate is in excellent agreement with other published reports (Park *et al.*, 2010).

### **Fast Scan Cyclic Voltammetry (FSCV)**

FSCV procedures used here were performed as previously described (Day *et al.*, 2007; Roitman *et al.*, 2008; Ebner *et al.*, 2010). Briefly, the potential of a carbon fiber electrode, lowered into a subregion, was periodically driven from -0.4 V to +1.3 V (versus the Ag/AgCl reference electrode) and back in a triangular fashion (400 V/s; 10 Hz). Current due to oxidation and reduction of electroactive species was measured after background subtraction removed the stable contribution of current produced by oxidation and reduction of surface molecules on the carbon fiber. Prior to each experiment, the VTA/SNpc was stimulated (24 pulses, 60 Hz, 120  $\mu\text{A}$ , 4 ms/pulse). Stimulation reliably evokes two responses: an increase in dopamine followed by a basic

pH change (Roitman *et al.*, 2004). Representative current by voltage plots (cyclic voltammograms) were obtained for each of these responses. Training sets were constructed from cyclic voltammograms for dopamine and pH to allow for principal component analysis (PCA) on data collected during the behavioral sessions as previously described (Heien *et al.*, 2004; Day *et al.*, 2007). The application of voltage changes to the electrode as well as the sampling of electrochemical data and dopamine extraction was performed using computer software written in LabVIEW (National Instruments, Austin, TX, USA; Heien *et al.*, 2004).

### **Electrically evoked phasic dopamine release and reuptake**

On the day of testing, rats (n=46) were placed into the operant chamber and a carbon fiber recording electrode was lowered into the selected striatal region (Shell, Core, DMS or DLS) using a custom-made micromanipulator. The Ag/AgCl reference electrode, stimulating electrode, and carbon fiber recording electrode were connected to a headstage containing a voltammetric amplifier attached via a tether to the electric swivel at the top of the operant chamber. The carbon fiber electrode was allowed to equilibrate for 40 minutes to minimize current drift. After equilibration, the VTA/SNpc was stimulated as described in the preceding section: 1) to ensure the carbon fiber electrode was well placed to measure dopamine, and 2) to obtain representative cyclic voltammograms for dopamine and pH for PCA.

### **Experiment 1: Phasic dopamine release to unpredicted food reward**



Prior to surgery, rats (n=23) were food-restricted and trained on two separate days to retrieve 45 mg sugar pellets (Sugar Dustless Precision Pellets, #F0042; Bio-Serv, Frenchtown, NJ, USA) delivered with a variable inter-trial interval (range 30-90 s; 30 trials). Rats then underwent surgery and, after recovery, were given at least one session to retrieve pellets while connected to a headstage to acclimate for voltammetric recording. On the day of testing, a new carbon fiber electrode was lowered into a striatal subregion (Shell, n=6; Core, n=5; DMS, n=6; DLS, n=6) and voltammetric measurements were made during delivery and retrieval of sugar pellets.

### **Experiment 2.1: Phasic dopamine release during a discriminative stimulus paradigm**

Rats (n=23) were food-restricted and trained to press a lever for a sugar pellet reward. Initially, depression of either lever resulted in both levers immediately retracting and the delivery of a sugar pellet. After 5 s, the levers were extended again into the chamber. Rats received daily 30 min sessions until 50 lever presses were made during 2 consecutive days. On the following day, the discriminative stimulus paradigm (Jones *et al.*, 2010) began. In this task, a discrete audiovisual cue (white noise or tone plus a cue light above the lever) was presented 3 s prior to extension of one lever. A different audiovisual cue was presented 3 s prior to extension of the other lever. Presentation of one set of cues (DS+) followed by a response on the associated lever resulted in the delivery of a sugar pellet. Presentation of the other set of cues (DS-) followed by a response on the associated lever resulted only in lever retraction with no other programmed responses. Levers were retracted after 5 s if no press was made and the trial was concluded. Audiovisual stimuli and rewarded versus non-rewarded levers were

counterbalanced across rats. Each training session consisted of 60 trials (30 DS+, 30 DS-) that were presented pseudorandomly such that a set of cues was never presented more than 3 consecutive trials. The inter-trial interval was randomly varied (average inter-trial interval:  $15 \pm 4$  s). Once rats responded on >90% DS+ trials and abstained on >70% of DS- trials for 2 consecutive days they were prepared for voltammetric recordings. Following recovery from surgery, rats were again food restricted and re-trained to criteria. During post-operative training, rats were connected to a headstage to acclimate for voltammetric recording procedures. Once rats reached task criteria, testing began the following day. On the test day, a carbon fiber electrode was lowered into a striatal subregion (Shell, n=5; Core, n=6; DMS, n=6; DLS, n=6) and voltammetric measurements were made during the discriminative stimulus paradigm.

## **Experiment 2.2: Phasic dopamine release to unpredicted food reward following the discriminative stimulus test**

On the test day and immediately following the discriminative stimulus paradigm rats were presented with unpredicted sugar pellets in a manner identical to Experiment 1 while recording continued. During the session, sugar pellets were delivered with a randomly selected inter-trial interval (range 30-90 s; 30 trials).

## **Data Analysis**

To examine regional differences in behaviorally evoked dopamine, PCA was used to extract a dopamine trace for each trial, by ascribing the amount of current attributable specifically to dopamine. For each rat, trials were then averaged across a behavioral session. Three distinct epochs within the average dopamine traces were utilized for

further analysis: a Baseline epoch (average of 5 s prior to pellet delivery or cue onset), an Event epoch [average of 1 s after: Pellet delivery (Experiment 1 and 2.2), DS+, or DS- onset (Experiment 2.1)], and a Late epoch [average of 5-10 s after DS+ or DS- onset (Experiment 2.1, DLS only)]. We compared epochs within each striatal region using paired t-tests. Statistical analyses were carried out using GraphPad Prism 5 (GraphPad Software, La Jolla, CA, USA) and Statistica (StatSoft, Tulsa, OK, USA) software and an alpha level of 0.05 was set for significance.

### **Histological Verification of Electrode Placement**

After test sessions, rats were injected with a lethal dose of sodium pentobarbital (~100 mg/kg). To determine recording location, a stainless steel electrode (A-M Systems #571500, Sequim, WA, USA) was lowered to the same depth of the carbon fiber during data collection and an electrolytic lesion was made. Rats were then transcardially perfused with 0.9% phosphate buffered saline followed by a 10% formalin solution (Sigma-Aldrich). Brains were removed and stored in 10% formalin solution before being frozen. Using a cryostat, serial coronal sections (50  $\mu$ m) were made through the striatum and sections were then mounted on gelatin-coated slides. Slides were stained with cresyl violet. The location of the recording electrode was identified using a light microscope and the subregion was determined with the aid of the stereotaxic atlas by Paxinos and Watson (1998).

### **Results**

## **Electrode placements resulted in selective sampling within distinct striatal subregions**

Electrode locations for all recordings are shown in Figure 1. For recordings in the nucleus accumbens Shell and Core, electrode placements were located between 0.7 and 1.7 mm anterior to bregma. Shell placements were located between 0.6 to 1.6 mm lateral to the midline and 6.5 to 8.0 mm ventral to brain surface. Core placements were located 1.0 to 2.2 mm lateral to the midline and were dorsal to the anterior commissure from 6.6 to 7.2 mm ventral to brain surface. Recordings in the DMS were located between 0.48 and 1.7 mm anterior to bregma, 1.0 to 1.8 mm lateral to the midline and from 3.8 to 5.5 mm ventral to brain surface. DLS placements were located 3.6 to 4.5 mm lateral to the midline and ventral 3.8 to 5.5 mm from the surface of the brain. Furthermore, electrical stimulation evoked a significant increase in the dopamine signal across all striatal subregions (Baseline vs. Stimulation epochs,  $P$ 's < 0.01, paired  $t$ -tests; Figure 2). This result demonstrates that all recording sites were capable of supporting dopamine release and that all electrodes were capable of detecting dopamine release. Inspection of the average dopamine signals from each region suggests that the kinetics of the response varied between regions, possibly due to reuptake. However, as we were not able to calibrate all electrodes, and reuptake is concentration dependent, we were unable to formally analyze this feature.

## **Unpredicted food reward selectively evokes phasic dopamine in the Core**

While we observed significant electrically-evoked dopamine release in all subregions of the striatum, dopamine evoked by unpredicted sugar pellet delivery (see Figure 3 for

example) was not uniform (Figure 4). In the Core, dopamine (peak =  $0.80 \pm 0.17$  nA) was significantly elevated during the Pellet epoch relative to Baseline ( $t_4 = 3.50$ ,  $P = 0.025$ ; Figure 4B). In all other subregions, unpredicted pellet delivery failed to evoke a change in dopamine [Shell ( $t_5 = 0.67$ ,  $P = 0.667$ ; Figure 4A); DMS ( $t_5 = 1.61$ ,  $P = 0.169$  Figure 4C) and DLS ( $t_5 = 2.41$ ,  $P = 0.061$ ; Figure 4D)]. In all panels, insets show the average baseline and pellet dopamine for individual rats.

### **A reward-predictive stimulus evokes phasic dopamine release in selective striatal subregions**

Rats trained on the discriminative stimulus paradigm, during testing, responded on  $97.26 \pm 0.97\%$  of DS+ and  $0.23 \pm 0.00\%$  of DS- trials. Figure 5 shows the average change in dopamine evoked by the DS+ and DS- in each subregion. Similar to unpredicted reward, the DS+ evoked an increase in dopamine in the Core relative to Baseline. The DS+ also evoked an increase in the DMS relative to Baseline. Paired  $t$ -tests (Figure 5, top row, insets) confirmed that these elevations were significant [Core ( $1.26 \pm 0.33$  nA peak dopamine for DS+,  $t_5 = 3.19$ ,  $P = 0.024$ ; Figure 5B); DMS ( $0.74 \pm 0.13$  nA peak dopamine for DS+,  $t_5 = 3.45$ ,  $P = 0.018$ ; Figure 5C)]. Additionally, in the Core, a second peak, corresponding in time to cue offset/lever extension, was apparent in the averaged data. Visual inspection of traces from individual rats indicated that this peak was only present in 2 out of 6 rats and was not statistically significant. The DS+ failed to evoke changes in phasic dopamine in the Shell ( $t_4 = 0.94$ ,  $P = 0.938$ ; Figure 5A) and the DLS ( $t_5 = 1.65$ ,  $P = 0.160$ ; Figure 5D). However, in the DLS there did appear to be a rise in dopamine occurring late in the trial. Consequently, for DLS

recordings, the Baseline epoch was compared to a Late Epoch (5-10 s after DS+ onset), however, no significant difference was revealed ( $t_5 = 1.881$ ,  $P = 0.1331$ ).

As shown in Figure 5 (bottom row), the DS- failed to evoke a change in dopamine in all striatal subregions ( $P$ 's > 0.05). To determine if phasic dopamine responses were selectively evoked by a reward predictive cue, we compared, within each subregion, the difference between dopamine evoked by the DS+ versus DS- (Figure 6). Paired  $t$ -tests revealed that the DS+ evoked a greater increase in phasic dopamine than the DS- in the Core ( $t_5 = 3.23$ ,  $P = 0.023$ ; Figure 6B), and DMS ( $t_5 = 3.09$ ,  $P = 0.027$ ; Figure 6C). In contrast, there was no significant difference in dopamine following a DS+ cue compared to that following a DS- cue in the Shell ( $t_4 = 0.71$ ,  $P = 0.870$ ; Figure 6A), and DLS ( $t_5 = 0.66$ ,  $P = 0.540$ ; Figure 6D).

### **The regional specificity of phasic dopamine evoked by unpredicted food reward is altered following discriminative stimulus training**

Immediately following administration of the discriminative stimulus recording session, rats were presented with unpredicted sugar pellets as described above. Average dopamine traces, aligned to pellet delivery, are shown in Figure 7. Baseline and Pellet epochs were compared using paired  $t$ -tests for each striatal subregion (Figure 7 insets). Similar to results obtained from rats without discriminative stimulus training, unpredicted food reward evoked a phasic increase in dopamine in the Core ( $t_4 = 3.38$ ,  $P = 0.028$ ; Figure 7B) and failed to evoke a change in the Shell ( $t_4 = 0.76$ ,  $P = 0.764$ ; Figure 7A) and DLS ( $t_5 = 0.54$ ,  $P = 0.611$ ; Figure 7D). In contrast to results obtained in Experiment

1, after discriminative stimulus training, unpredicted food reward evoked a significant increase in phasic dopamine in the DMS ( $t_5 = 3.29$ ,  $P = 0.022$ ; Figure 7C).

## **Discussion**

Phasic changes in dopamine are critical for signaling reward presentation (Mirenowicz & Schultz, 1996; Roitman *et al.*, 2008; Matsumoto & Hikosaka, 2009) and play a role in associating cues with reward (Waelti *et al.*, 2001; Tsai *et al.*, 2009; Zweifel *et al.*, 2009) as well as approach behaviors directed at obtaining reward (Phillips *et al.*, 2003; Roitman *et al.*, 2004; Flagel *et al.*, 2010). To determine if temporally comparable changes in dopamine are evoked by various reward-related conditions throughout the striatum, we measured phasic fluctuations in the Shell, Core, DMS and DLS. While robust dopamine release was evoked by electrical stimulation of the VTA/SNpc at every recording site, unpredicted food reward and a reward-predictive cue evoked phasic dopamine only in the Core and DMS – and the latter only under specific training conditions. The same stimuli failed to evoke changes in phasic dopamine in the Shell or DLS. These findings demonstrate that phasic dopamine release is not uniformly broadcast to all striatal regions in response to reward, but is selectively evoked in distinct regions. Furthermore, selectivity is dependent on the specific conditions in which a reward or associated cues are delivered.

## **Electrical stimulation evokes phasic dopamine release throughout the striatum and reveals regional differences in reuptake**

Phasic fluctuations in dopamine in two ventral (Shell, Core) and two dorsal (DMS, DLS) striatal compartments were measured following electrical stimulation of the ventral

midbrain. Electrical stimulation of the VTA/SNpc confirmed that electrodes were well positioned to measure dopamine release and histology verified electrode localization to one of the four subregions. In addition, electrically-evoked data hinted at functional differences across the four subregions. Although we were not able to formally analyze rate of reuptake as we did not have individual calibrations for all electrodes used, there did appear to be a difference in the kinetics of the dopamine response across subregions. In particular, the decay of the dopamine trace appeared longer in ventral regions than in dorsal regions, which may reflect regional differences in reuptake via the dopamine transporter. Accordingly, it has been shown that the density of the dopamine transporter varies across the striatum but comparisons have typically been made between nucleus accumbens and dorsal striatum (Richfield, 1991; Ciliax *et al.*, 1995; Wu *et al.*, 2001). Additionally, functional assays of dopamine reuptake rate via the dopamine transporter using FSCV have revealed differences between the nucleus accumbens and dorsal striatum in general (Jones *et al.*, 1995; Suaud-Chagny *et al.*, 1995). Our results, performed here in awake, behaving rats, are consistent with *in vitro* studies from rat (Jones *et al.*, 1995) and non-human primate (Cragg *et al.*, 2000), showing a ventromedial to dorsolateral gradient in the rate of dopamine reuptake where the most ventromedial placements were associated with the slowest reuptake. However, further experiments with calibrated electrodes are necessary to confirm this.

### **Unpredicted food reward evokes phasic dopamine release in select striatal subregions**



Electrophysiological recordings have established that temporally unpredicted rewards – even during sessions in which animals receive many rewards – evoke a phasic increase in the firing rate of a majority (55-89%) of midbrain dopamine neurons (Schultz, 1998; Hyland *et al.*, 2002; Tobler *et al.*, 2003; Bayer & Glimcher, 2005; Matsumoto & Hikosaka, 2009). Similarly, in our study, while reward presentation may have been expected when animals were in the operant chamber, the precise timing of reward delivery remained unpredicted based on the variable inter-trial interval. As a result, we were able to systematically characterize the phasic dopamine response to unpredicted food reward across striatal subregions. Recordings made in the Core replicated previous findings and show that, in rats with limited experience receiving unpredicted food reward, delivery evokes a robust and phasic increase in dopamine (Day *et al.*, 2007; Stuber *et al.*, 2008; Zhang *et al.*, 2009) . Importantly, we show that phasic dopamine responses to unpredicted food reward were completely absent in all other striatal dopamine terminal regions – a finding seemingly at odds with electrophysiological recordings. Our results are consistent, however, with studies in which extracellular dopamine concentration has been assayed in the nucleus accumbens Core and Shell with *in vivo* microdialysis. For example, in the Shell, novel foods evoke dopamine but this response rapidly habituates upon repeated exposure (Bassareo & Di Chiara, 1999; Bassareo *et al.*, 2011). In the Core, dopamine levels increase not in response to novel food reward but rather only after associative learning, when it can be evoked by both predictive stimuli and food itself (Bassareo *et al.*, 2011). In our studies, rats had several sessions in which to retrieve and consume sugar pellets.

Thus, the reward was not novel. It is likely, instead, that rats developed expectancies based on contextual cues and the cues associated with sugar pellet delivery.

No change in phasic dopamine release following unpredicted pellet delivery was observed in the DMS or DLS. With respect to food reward and dopamine fluctuations in the dorsal striatum, there are far fewer studies to draw on for comparison. One recent study employing FSCV examined the response to unpredicted reward and found a significantly greater increase in dopamine evoked in the NAc versus the DLS (Zhang *et al.*, 2009). However, while there was a clear increase in the NAc, the authors combined Core and Shell placements. Moreover, the authors did not examine whether the response in the DLS itself was significant. This latter point is especially salient since the response in the DLS appeared minimal. Here, we found unpredicted reward failed to evoke a phasic dopamine response in either the DMS or DLS. Our results clearly demonstrate that with respect to phasic dopamine signaling, the quintessential stimulus that is thought to recruit the majority of dopamine neurons – unpredicted food reward – fails to evoke phasic increases in regions of the dorsal striatum.

### **Reward predictive cues and the evolution of phasic dopamine response in select regions**

The phasic response of dopamine neurons shifts from primary food reward to the earliest reliable predictors of its delivery (Schultz, 1998; Hyland *et al.*, 2002; Bayer & Glimcher, 2005; Matsumoto & Hikosaka, 2009). While some of these responses may reflect stimulus salience rather than reward prediction (Matsumoto & Hikosaka, 2009),

once again the assumption is that a phasic rise in dopamine concentration would be observed throughout the striatum. Similar to results obtained with unpredicted food reward, predictive cues have been shown to evoke a phasic increase in dopamine in the Core (Day *et al.*, 2007; Jones *et al.*, 2010; Wanat *et al.*, 2010). Again, we replicated those findings here. The DS+ (relative to baseline and the DS-) evoked a phasic increase in Core dopamine. Additionally, in the Core, we noticed a second peak that was only present in 2 of the 6 animals and so did not reach statistical significance overall. This peak was time-locked to cue offset/lever extension. Although speculative, we would suggest that this finding could reflect an inability of these two rats to accurately time the delay between initial cue presentation and lever presentation; as cue-reward delays increase (>2 s) dopamine neurons are more likely to fire to rewards, as well as reward-predictive cues (Bromberg-Martin *et al.*, 2010). We also observed a robust increase in the DMS. The DMS has been proposed to facilitate a response selection process (Balleine *et al.*, 2007). In the discriminative stimulus paradigm, a rat learns to select one response (“Go”) to receive a sugar pellet and selects an alternative response (“No-Go”) when no sugar pellet will be obtained. The phasic dopamine increase in the DMS to the DS+ may be critical for modulating activity in striatal output neurons to execute the optimal response choice.

Interestingly, training in the discriminative stimulus task changed rats’ subsequent dopamine response to unpredicted reward. For rats not trained in the task, unpredicted reward failed to evoke phasic dopamine in the DMS. However, after discriminative stimulus training, unpredicted reward did evoke a significant phasic dopamine response.

After the discriminative stimulus paradigm was concluded and unpredicted reward was administered, reward delivery contingencies changed with a concomitant change in behavioral responding from actively exploring lever locations to being more selectively positioned at the pellet receptacle. Past studies have demonstrated that neural activity in the DMS is modulated when conditions require a rapid switch or reversal of choice patterns (Ragozzino *et al.*, 2001; Kimchi & Laubach, 2009) and thus, dopamine input to the DMS may be important for facilitating the flexible use of response patterns.

Since it is likely that phasic fluctuations in dopamine within dopamine terminal regions reflects the electrophysiological activity of midbrain dopamine neurons, to some degree (Sombers *et al.*, 2009), then our data suggest that conditioning likely leads to the recruitment of additional dopamine neurons that respond to the DS+; in particular those that project to the DMS. Indeed, associative learning and operant responding induces long-term potentiation of glutamatergic afferents onto midbrain dopamine neurons (Stuber *et al.*, 2008; Borgland *et al.*, 2009). In addition, there is anatomical evidence that information may 'spiral' through the striatum in a ventromedial to dorsolateral manner (Haber *et al.*, 2000). Reciprocal connections and synaptic plasticity may be a way for initial dopamine release in the Core to ultimately influence and recruit dopamine release in the DMS. Alternatively, operant responding may selectively engage VTA/SNpc afferents such that they include the population of dopamine neurons projecting to the DMS.

As with unpredicted reward, reward-predictive cues failed to evoke phasic dopamine release in the Shell. Dopamine responses to predictors of reward clearly develop in the Core (Day *et al.*, 2007; Stuber *et al.*, 2008; Aragona *et al.*, 2009) and fail to develop in the Shell (Aragona *et al.*, 2009). However, there are caveats. Recently, cues predicting the opportunity to respond for food reward were shown to evoke dopamine release in the Shell (Wanat *et al.*, 2010), though recordings were made just ventral to the Core. Our recordings were made in the dorsomedial region of the Shell – an area thought to be critical for hedonic and affective processing of primary rewarding and aversive stimuli (Peciña & Berridge, 2005; Roitman *et al.*, 2008; Wheeler *et al.*, 2011). Thus, even within the Shell, regional differences between dorsomedial and ventrolateral likely exist. Finally, phasic dopamine fluctuations in the DLS were not evoked to the reward-predictive cues in this task. We did, however, note a slower onset rise in dopamine concentration occurring later in the trial (>5 s after cues), although this did not reach statistical significance. The DLS is associated with habitual behavior (Zapata *et al.*, 2010). This burgeoning response may be reflective of the gradual engagement of the DLS as training progresses.

### **How to reconcile electrophysiological and electrochemical recordings?**

Our results add to studies employing *in vivo* microdialysis (Di Chiara & Bassareo, 2007) and voltammetry (Aragona *et al.*, 2009) or pharmacological (Besson *et al.*, 2010; Ito & Hayen, 2011) or genetic (Palmiter, 2008) manipulations that support regional specificity for dopamine action within the striatum (Nicola, 2007). Importantly, we assayed dopamine on a timescale commensurate with electrophysiological studies that report

relatively uniform responses across the medial-lateral extent of the VTA/SNpc. Our results beg the question as to why there might be dissociation between electrophysiological and electrochemical findings. There are multiple possibilities.

First, although it is well established that most dopamine neurons fire to reward, what is often unrecognized, is that a significant minority are unresponsive. The precise number varies between studies but ranges between 55 and 89% (Mirenowicz & Schultz, 1996; Waelti *et al.*, 2001; Hyland *et al.*, 2002; Tobler *et al.*, 2003; Bromberg-Martin *et al.*, 2009; Matsumoto & Hikosaka, 2009). One reason for this variance between studies, and another reason why the voltammetry and electrophysiology data may seem at odds, is sampling bias. Sampling bias can arise because one anatomical region is favored over another or because the physiological parameters used to define a neuron as dopaminergic are inaccurate. In support of these possibilities, studies that have attempted to record from the entire extent of the midbrain have identified multiple populations of neurons, which are activated by different stimuli (Brischoux *et al.*, 2009; Matsumoto & Hikosaka, 2009; Lammel *et al.*, 2011). Furthermore, the electrophysiological characteristics of dopamine neurons are not as strictly defined as previously thought and many studies may exclude select pools of dopamine neurons (Margolis *et al.*, 2006; Lammel *et al.*, 2008; 2011). Recent work has correlated a dopamine neuron's projection target with its physiological properties (Margolis *et al.*, 2008) and with the type of stimuli it will respond to (Lammel *et al.*, 2011). With all of this in mind, it is possible that the neurons included in classical electrophysiological studies,

of which the majority exhibited a phasic increase to unpredicted reward, may have preferentially projected to the Core/DMS (Ikemoto, 2007).

Second, it is possible that dopamine release is heavily modulated by action at dopamine terminals. For example, on dopamine axons, the subunit profile of both muscarinic and nicotinic acetylcholine receptors differs between ventral and dorsal striatum (Threlfell & Cragg, 2011). Acetylcholine has been shown to exert modulatory effects on dopamine signaling (Cragg, 2006) and thus may differentially suppress release across subregions. Third, our studies hint at increasing engagement of dorsal striatum as rats gain experience in a task. This would suggest an increasing number of dopamine neurons becoming recruited. A key feature of all of the electrophysiological studies performed in monkeys, is that the subjects were very well trained – in most experiments, thousands of trials were completed for these or other tasks. It is possible that after this much training, a larger pool of dopamine neurons is recruited by reward presentation as compared to our studies, in which rats had far fewer trials and consequently less experience.

In summary, we demonstrate that reward-related stimuli evoke dopamine in select striatal subregions. As each subregion possesses a unique complement of afferent and efferent projections, these regional differences in dopamine release permit exquisite tuning of striatal output in the service of goal-directed behaviors.

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**Abbreviations:**

Discriminative stimulus, rewarded (DS+)

Discriminative stimulus, non-rewarded (DS-)

Dorsomedial striatum (DMS)

Dorsolateral striatum (DLS)

Fast-scan cyclic voltammetry (FSCV)

Nucleus accumbens core (Core)

Nucleus accumbens shell (Shell)

Principle component analysis (PCA)

Ventral tegmental area/substantia nigra pars compacta (VTA/SNpc)

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## Figure Legends:

**Figure 1:** Histological verification of recording sites. Recordings were made in the Shell (blue), Core (green), DMS (orange) or DLS (red). Top: Location of recording sites for Experiment 1 examining phasic dopamine release evoked by unpredicted food reward. Bottom: Location of recording electrodes for Experiment 2 examining phasic dopamine release during the discriminative stimulus task and subsequent unpredicted food reward presentation. Numbers are distances in mm anterior to bregma. Rat atlas sections are adapted from *The Rat Brain in Stereotaxic Coordinates* by G. Paxinos and C. Watson, 1996, Sydney, Australia: Academic Press. Copyright 1996 by Academic Press. Adapted with permission.

**Figure 2:** Electrical stimulation of VTA/SNpc (24 pulses, 60 Hz) evokes phasic dopamine release in all striatal subregions. (A) Dopamine release in each subregion is shown using color plots, which show current changes (in color) across the applied voltages ( $E_{app}$ ; ordinate) over time (abscissa). Dopamine is identified by its oxidation (green feature,  $\sim 0.6$  V) and reduction (dark blue/yellow feature,  $\sim -0.2$  V) peaks that arise just after stimulation onset. (B) Average change in dopamine evoked by electrical stimulation in each subregion: Shell (blue), Core (green), DMS (orange) and DLS (red). The black bar on the x-axis denotes stimulation duration.

**Figure 3:** Individual trial examples of phasic dopamine evoked by electrical stimulation of the VTA/SNpc and by unpredicted food reward in the Core. (A) Electrical stimulation

evokes a phasic change in dopamine. Top: Color plot shows current changes (in color) as a function of applied voltage over time, as described in Fig. 2. Bottom: Change in dopamine over time extracted from color plot above using PCA. Inset: Cyclic voltammogram plotted at the time of peak dopamine release. Cyclic voltammograms for dopamine and pH obtained after stimulation are used to build a training set for PCA. (B) In the same rat, unpredicted food reward (sugar pellet) evokes a phasic increase in dopamine. Top: Color plot shows current changes as a function of applied voltage over time. Dopamine is identified by its oxidation and reduction features occurring just after pellet delivery. Bottom: Change in dopamine extracted from the color plot above using PCA.

**Figure 4:** Unpredicted food reward evokes a regionally selective phasic dopamine response. Average dopamine (black line)  $\pm$  SEM (gray vertical bars) in different striatal regions in response to unpredicted food reward (sugar pellet; time = 0). Insets: Average dopamine signal for each rat during both Baseline and Pellet epochs. Unpredicted food reward evokes phasic dopamine release in the Core (B) but not the Shell (A), DMS (C) or DLS (D). \*  $P < 0.05$  for Baseline versus Pellet epochs.

**Figure 5:** Discriminative stimuli differentially evoke phasic dopamine signaling across striatal subregions. Average dopamine (black line)  $\pm$  SEM (gray vertical bars) to predictive cues in striatal subregions during the discriminative stimulus test. Top: A cue predictive of reward (DS+) selectively evokes phasic dopamine release in the Core (B) and DMS (C) but not the Shell (A) or DLS (D). Insets: Average dopamine signal for each

rat during both Baseline and Cue epochs. Note that in the Core (B), the scale for the ordinate is 2 nA, twice that of the other striatal regions. \*  $P < 0.05$  for Baseline versus DS+ epoch. Bottom: A cue predictive of no reward (DS-) fails to alter phasic dopamine signaling in all striatal subregions. Insets: Average dopamine concentration for each rat during both Baseline and Cue epochs.

**Figure 6:** Cue-evoked dopamine is dependent on a cue-reward association. Average dopamine signal for each rat during both Cue (DS+ versus DS-) epochs. The DS+ evoked significantly greater dopamine relative to the DS- in the Core (B) and DMS (C). Note that in the Core (B), the scale for the ordinate is 2 nA, twice that of the other striatal regions. \*  $P < 0.05$  for DS+ versus DS- epochs. No differences were observed in the Shell (A) or DLS (D).

**Figure 7:** In rats trained in the discriminative stimulus paradigm, unpredicted food reward evokes a different pattern of phasic dopamine release across striatal subregions. Average dopamine (black line)  $\pm$  SEM (gray vertical bars) in different striatal regions in response to unpredicted food reward (sugar pellet; time = 0). Insets: Average dopamine signal for each rat during both Baseline and Pellet epochs. Unpredicted food reward evokes phasic dopamine release in the Core (B) and DMS (C) but not the Shell (A) or DLS (D). Note that in the Core (B), the scale for the ordinate is 2 nA, twice that of the other striatal regions. \*  $P < 0.05$  for Baseline versus Pellet epochs.