:

2 Do variations in NHS practice payments in England reflect population health needs?

3

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19	ABSTRACT:
20	Background:
21 22 23	NHS general practice payments in England include pay for performance elements and a weighted component designed to compensate for workload, but without specific deprivation or ethnicity measures.
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25	<u>Aim:</u>
26 27	To determine whether population factors related to health needs predicted variations in NHS payments to individual general practices in England.
28	
29	Design and Setting:
30	Cross-sectional study, all practices in England, financial years 2013-14 and 2014-15.
31	
32	Method:
33 34 35 36	We undertook descriptive statistics, univariable analyses (examining correlations between payment and predictors), and multivariable analyses (undertaking multivariable linear regressions for each year, with logarithms of payments as the dependent variables, and with population, practice and performance factors as independent variables).
37	
38	<u>Results:</u>
39 40 41	Several population variables predicted variations in adjusted total payments, but inconsistently. Higher payments were associated with increases in deprivation, elderly, African-Caribbean ethnicity and asthma prevalence. Lower payments were associated with an increase in smoking prevalence.

42 Long-term health conditions, South Asian ethnicity and diabetes prevalence did not predict. The
43 adjusted R-squared values were 0.359 (2013-14) and 0.374 (2014-15). A slightly different set of

- 44 variables predicted variations in the payment component designed to compensate for workload. Lower
- 45 payments were associated with increases in elderly, deprivation, and diabetes prevalence. Smoking
- 46 prevalence did not predict. There was a geographical differential.

47

48 <u>Conclusion:</u>

49 Population factors related to health needs were, overall, poor predictors of variations in adjusted total

50 practice payments and in the payment component designed to compensate for workload. Revising the

51 weighting formula and extending weighting to other payment components might better support

52 practices to address these needs.

54 KEYWORDS:

Primary health care; Funding; Inequalities; Health Services Needs; Populations, Underserved; Work
load.

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59 HOW THIS FITS IN:

60 Funding allocation to English general practices currently uses a formula designed to compensate for

61 workload (age being a very substantial element), but without specific measures of socio-economic

62 deprivation and ethnicity (both associated with population health needs and with adverse health

63 outcomes). Population actors related to health needs were poor predictors, overall, of variations in

64 adjusted total practice payments (and the component designed to compensate for workload); and the

directions of the predictive effects of these population factors, including deprivation and ethnicity,

66 were inconsistent with each other. Revising the formula's weighting and extending weighting to other

67 payment components might better help practices to address population health needs and reduce health

68 inequalities.

70 MAIN TEXT:

71

72 Introduction

73 General practice's share of total National Health Service (NHS) expenditure was 8% (£8.8bn) in

74 2013-14¹, steadily declining from 14% in 2005-06 and 10.5% in 2010-11. However, in 2010-14, total

NHS spending increased by $4.4\%^{1-3}$ and UK primary care consultation rates increased by $10.5\%^4$.

From the total NHS expenditure allocated to general practice, payments are made to each practice. In

77 England, General Medical Services (GMS) practices have a standard, nationally negotiated contract,

vith some local flexibility to opt in or out of providing certain services. Since 2004 the GMS

response to the contract⁵ has included a Global Sum Allocation Formula (also known as Carr-Hill), which aims to

80 ensure funding reflects practices' workloads and to reimburse the 'unavoidable costs of delivering...

81 care to the local population⁶. Weighting includes adjustments for age and sex structure, morbidity

and mortality measures, and list turnover. Ethnicity and deprivation measures are not included, as

reliable data on the workload implications were not available in 2004, although previous contracts

84 included area-based weighting for workload associated with deprivation, as well as age and sex⁷⁻⁸. To

- 85 protect practices from income loss due to the contract, a 'Minimum Practice Income Guarantee'
- 86 (MPIG) was included.

87 The 2004 contract also included a substantial pay for performance element. The Quality and

88 Outcomes Framework (QOF) rewards practices for the provision of 'quality care'⁵. Although

89 participation is voluntary, most practices take part in QOF. Practices can also opt in to provide a range

90 of Enhanced Services (ES), intended to reduce the burden on secondary care. ES include Directed

91 (nationally determined) and Local (commissioned locally and which vary between areas) services⁹.

92 Both QOF and ES have been updated regularly since 2004.

93 The Personal Medical Services (PMS) contract was introduced in 1998 as a local alternative to the

94 GMS contract. PMS contracts are voluntary, locally negotiated contracts between practices and

95 primary care administrative organisations, allowing flexible service provision in accordance with

96 specific local circumstances¹⁰. The Alternative Provider Medical Services (APMS) contract is a more

97 flexible contract, open to a wider range of providers including the independent sector¹¹. Neither PMS

98 nor APMS practices receive the GMS global sum.

99 Health needs are objectively determined deficiencies in health that require healthcare, from promotion

100 to palliation¹². These needs are linked to adverse health outcomes, strongly predicted by socio-

101 economic deprivation¹³, which is associated with earlier and greater multimorbidity¹⁴, including an up

to 18-year gap in disability-free life expectancy between most and least deprived populations¹⁵. Health

inequalities persist, despite absolute and relative decreases in all-cause mortality in lower socio-

economic groups between 1990 and 2010^{16} . Adverse health outcomes are more likely in non-White

105 British ethnic groups, with increasing deprivation and age as important determinants, but patterns vary

between health conditions and by gender within individual ethnic groups 17 .

107 NHS primary care is currently under mounting professional and financial pressures. In April 2016

108 NHS England announced a five-year plan to increase investment in general practice¹⁸. If practices are

to help in reducing health inequalities, then allocation of new and existing funding should take

account of population needs.

- 111 Our main research question was: were variations in total NHS payments to English general practices
- in 2013-2015 predicted by factors related to populations' health needs? Additionally, we compared
- 113 predictions, by these factors, of variations in total payments with variations in GMS global sum
- 114 payments, the component designed to compensate for workload. The study was exploratory, because
- 115 many variables were examined and, thus, multiple hypotheses were tested.

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119 Methods

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121 <u>Overview</u>

We undertook a cross-sectional study across all practices in England, repeated for two consecutive

- 123 financial years, 2013-14 & 2014-15.
- 124

125 <u>Dependent variables</u>

126 The main dependent variable in each year was the adjusted total payment per patient, calculated from

the sum of all payments due to a practice for providing NHS services (using data from the Health and

Social Care Information Centre [HSCIC)] payments system), then subtracting pensions, levies,

- 129 prescription charge income, and premises payments; finally, dividing this remainder by the number of
- 130 registered patients.¹⁹⁻²⁰

131 The additional analyses were limited to practices with a GMS contract, as only these practices were

paid the global sum. The dependent variable was the global sum (plus MPIG) per registered patient.

133 We also compared prediction of variations in adjusted total payments per patient in GMS practices

134 with all practices.

135

136 <u>Independent variables</u>

137 Variable selection was determined by data availability and relevance to the research questions, using a

model where population factors strongly predict health outcomes²¹. Variables' data sources are given

139 in Table 1. The population variables covered:

- Socio-economic factors: deprivation, using the English Index of Multiple Deprivation (IMD)²²;
 unemployed²³; on long term sick or claiming disability²³; self-reported confidence in managing health²³.
- Demographic factors: aged 75 years or more²²; ethnicity (African-Caribbean; South Asian)²³,
- Lifestyle factor: smokers²³,
- Morbidity factors: self-reported with long-standing health condition²³; and prevalences from QOF registers of diabetes, heart failure, asthma, and chronic obstructive pulmonary disease (COPD)²⁴⁻
 ²⁵.
- 148 In order to explain as much as possible of the variation in payments, two further groups of variables, 149 comprising practice^{19-20, 26} and performance factors²³⁻²⁴, were added. Descriptions and data sources for 150 these variables are given in Table 1. QOF hypertension registers were used as performance variables 151 because hypertension is under-detected. QOF register prevalence was 13.7% in 2013-14²⁴ compared 152 to a Health Survey for England estimated prevalence of 28.6% in 2013²⁷; thus, these registers measure
- performance in detection more than true prevalence. Two of the practice factors were nominal 154
- variables: contract type and geographical sub-region¹⁹⁻²⁰. The remaining variables were treated as
- 155 continuous in the statistical models.

- 156 Descriptive statistics, univariable analyses (examining correlations between payment and each
- 157 predictor, and between IMD scores and payments), and multivariable analyses (undertaking a
- 158 multivariable linear regression for each year) were performed. Performance factors were excluded
- 159 when the dependent variable was the global sum, as this is not based on performance. The
- assumptions of linearity, normality of residuals and homogeneity of variance were checked. Multi-
- 161 collinearity was checked, and variables with a 1/Variance Inflation Factor [i.e. tolerance] value of less
- than 0.2 from the final model were omitted.
- 163 STATA 14 statistical software was $used^{28}$.
- 164

165 Results

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167 <u>Descriptive statistics</u>

- 168 Payment data were published in England for 8,060 practices in 2013-14 and 7,959 practices in 2014-
- 169 15. A small number received implausibly large or small (including negative) payments per patient,
- and were treated as erroneous and omitted. On this basis, practices with fewer than 500 patients and
- practices with total adjusted payments per patient of less than £10 or more than £500 in either year
- were excluded, leaving 7,693 practices for analysis in the two years. Further practices were excluded
- due to missing data, with numbers per analysis provided in the tables.
- 174 The distribution of adjusted total payments per patient was slightly positively skewed in both years.
- 175 Although these payments increased between 2013-14 and 2014-15, the percentage paid for the
- performance-related components declined from 21.9% to 18.1%: the QOF-derived percentage
- declined from 12.9% to 9.0%, whereas the ES-derived percentage increased from 8.1% to 10.0%. The
- numbers of practices with GMS, PMS or APMS contracts were 4,440, 3,126, and 221, respectively.
- 179 The number of practices in the North, Midlands and South of England were 2,337, 2,299, and 3,151,
- 180 respectively.
- **181** Table 1 summarises the descriptive statistics for all the variables.
- 182

183 <u>Univariable analyses</u>

- 184 The Spearman correlation coefficient between payments in the two years was strong (rho 0.86, p
- <0.01). The correlations between total payments and the independent variables were mostly small, but
 significant due to the large sample size.
- 187 IMD scores and total or QOF payments were not correlated. The Spearman correlation coefficients
- 188 were negative between IMD scores and ES payments, and positive between IMD scores and global
- sum payments (all p<0.01). However, when all practices were divided into deciles by IMD scores, the
- 190 trends in the median values of total, global sum, and ES payments showed most change across the two
- 191 most deprived deciles (Figure 1).
- 192

193 <u>Multivariable analyses</u>

- 194 Natural logarithm transformations were undertaken, as the dependent variables' values were
- 195 positively skewed. This transformation generally improved normality. For adjusted total payments in
- all practices, the adjusted R-squared values were 0.359 (2013-14) and 0.374 (2014-15); explaining
- 197 only just over one third of payment variations, despite a wide range of plausibly relevant variables
- being modelled.
- 199 Table 2 shows the variables predicting variations in adjusted total payments. Higher payments were
- 200 associated with increases in deprivation (socio-economic), elderly, African-Caribbean ethnicity
- 201 (demographic) and asthma prevalence (morbidity). However, lower payments were associated with
- 202 increases in smokers (lifestyle) and having a long-term health condition (morbidity). Some population

203 variables were not significant either at all or across both years. These included South Asian ethnicity

- and the prevalences of diabetes, heart failure and COPD. We excluded QOF coronary heart disease
- 205 (CHD) and stroke (CVA) prevalences from the final model, as these variables had high collinearity.

206 Higher payments were associated with increases in three practice variables: GP numbers per patient,

207 non-clinical staff numbers per patient, and numbers of registered patients. There was a geographical

- 208 effect: in 2014-15, practices in the North were paid 5.8% (8.7% in 2013-14) and 1.9% (4.0% in 2013-
- 209 14) less than practices in the Midlands and in the South, respectively. Higher payments were also
- associated with increases in two performance variables, hypertension detection and good appointment
- 211 experience.
- Less of the variations in global sum payments were accounted for (Table 3). Higher payments were
- associated with increases in both African-Caribbean and South Asian ethnicity, and numbers of GPs
- 214 per patient, and non-clinical staff per patient. Lower payments were associated with increases in
- elderly, deprivation, diabetes prevalence, and numbers of registered patients. Smoking prevalence wasnot significant.
- 217 Of variations in adjusted total payments, slightly more were accounted for in GMS practices than in

all practices, but not with all the same variables (Table 4). Higher payments were associated with

219 increases in hypertension detection, continuity and good appointment 'experience'. Lower payments

220 were associated with an increase in smokers. Age, deprivation, both ethnicity variables, and diabetes

- 221 prevalence were either not significant at all or only in one year.
- Table 5 shows the independent effects of changes to individual significant variables on income in ahypothetical practice of 7,000 patients.
- 224 Residuals from all models were approximately normally distributed, and plots of the residuals versus
- 225 predicted values showed no pattern.
- 226

227 Discussion

228

229 <u>Summary</u>

Ideally, funding allocation should help practices to respond better to their entire populations' health
needs. However, our multivariable analyses found that population factors related to health needs were
poor predictors, overall, of variations in both total payments, including English practices with all
types of contract, and global sum payments, designed to compensate for workload in GMS practices
only.

235 Although deprivation was a predictor in most of the analyses, some other population variables were 236 either not significant or were associated with lower payments when the value of the variable 237 increased. These suggest only a weak association between population factors and funding. Less of the 238 variations in global sum payments than in total payments were accounted for, with different 239 significant variables and with increases in some variables (including deprivation) associated with 240 lower payments. Age-sex structure is a substantial element in Carr-Hill, but an increase in elderly was 241 associated with lower global sum payments. A geographical differential was also found. Some effect 242 sizes were small, but combinations of several changes could substantially alter funding when applied

to average-sized practices.

244 Deprivation and ethnicity may be correlated with elements in Carr-Hill. However, univariable

analyses examining deprivation deciles suggest that the weighting helped practices mainly in very

246 deprived areas. Practices serving moderately deprived largely South Asian populations would receive

247 equivalent total and global sum payments to those serving affluent white populations. Practices in

248 more deprived areas may be less able or willing to undertake ES, and not generate additional income

249 by delivering more services.

250 Practices' workloads, strongly driven by demand, are focused mainly on known morbidity and not

necessarily on whole populations' health needs. If weighting of payments aimed primarily at

compensating for workload does not include measures related to population health needs, then

- practices serving populations with greater needs may not receive sufficient funding to tackle theseneeds and potentially reduce health inequalities.
- 255

256 <u>Strengths and limitations</u>

This study covered a whole nation, using recent time-matched variables in a model that focused on a
specific research question. Comparison of covariates between excluded (due to erroneous or missing
data) and included practices showed no major differences.

260 Only one third of the variation in total payments was accounted for, despite a wide range of

261 predictors. Factors explaining the remaining two-thirds' variation were not identified or measured,

due to unknown or unmeasured factors, to the formula's complexity, or to both.

263 Since validated measures of multimorbidity were unavailable, we used single disease morbidity

- registers, which had limited predictive effect. Adding a multimorbidity variable might weaken the
- 265 predictive effect of deprivation due to intercorrelation, because deprivation is associated with

- 266 multimorbidity²⁹. Unmet health needs include deficiencies in identifying morbidity, in accessing
- 267 healthcare and in delivering effective interventions. The lack of multimorbidity measures in
- 268 populations and in practices limited us finding associations between funding variations and unmet
- 269 needs, but our analyses included access and treatment effectiveness measures.
- 270 The GP Patient Survey has low response rates. However, its methodology has been modified, with
- 271 'proportionately stratified, unclustered samples drawn from each practice'³⁰ and data weighted to
- account for unequal probability of selection, differences between responders and non-responders, and
- the demographic characteristics of the eligible population.
- 274

275 <u>Comparison with existing literature</u>

- 276 Higher payments are associated with several indicators of better quality general practice: lower
- secondary care usage, higher patient satisfaction³¹, better Care Quality Commission practice ratings³²,
- and our finding of increased numbers of GPs per patient (associated with lower mortality²¹) and of
- 279 non-clinical staff per patient. In 2004-14, the gap in GP numbers per patient narrowed between areas
- with high and low deprivation, using Lower-layer Super Output Areas (LSOA) as the population $\frac{33}{3}$
- 281 unit³³; our study was cross-sectional and used practices, a larger population unit not always
- configured geographically.
- Deprivation increases workload: consultation rates of patients aged 50 in the most deprived quintile equaled those aged 70 in the least deprived quintile. If weighting the age-sex workload in Carr-Hill included consultation rates by deprivation decile, it could deliver one third more global sum funding to Tower Hamlets, a deprived borough³⁴.
- 287 In Scotland there are associations between deprivation and multimorbidity, between deprivation and
- consultation rates, but not between deprivation and practice funding²⁹. The differences in total
- payment per patient between the first and third most deprived deciles were similar to our findings,
- despite differences between definitions (and their using means) of total payments and in how Scottish
- **291** IMD is calculated 29 .
- 292

293 Implications for research and/or practice

- 294 Better measures of population health needs are required. Our findings are important for discussions
- about allocating additional primary care investment. If public health policies involving primary care
- are to better address local health needs and succeed in reducing health inequalities, then the followingshould be considered:
- 298 1. Ensure better alignment of Carr-Hill's weighting to population health needs, by including (e.g.)299 suitable measures of deprivation, population multimorbidity, and ethnicity.
- 300 2. Extend weighting to other payment components, e.g. QOF.
- 301 The effects of such changes on health inequalities will need monitoring.

304 ADDITIONAL INFORMATION

305

306 Funding:

- This work had no dedicated funding. No funding organisations had a role in the design and conduct of
 the study, in the collection, analysis, and interpretation of the data, or in the preparation, review, or
 approval of the manuscript.
- 310

311 **Provenance:**

- 312 Freely submitted; externally peer reviewed.
- 313

314 Ethical approval:

- 315 Ethical approval was not required, as the study used only published data with no individuals or
- 316 practices identified.
- 317

318 **Conflict of interest statements:**

- 319 None
- 320

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- 432

433 TABLES

434 **Table 1** Descriptive statistics of dependent and independent variables used in analyses.

435 In each cell, values in the first line are for 2013-2014 and in the second line for 2014-2015.

436 For the continuous variables, if the distribution was skewed (not normal) on visual inspection of the histogram, then the median and interquartile range are

437 presented instead of the mean and standard deviation.

Variable	Data source	Number of practices	Mean	Standard deviation	Median	Interquartile range
		with data				
Dependent						
Adjusted total payments per patient (all contracts) (Datasheet included practices with payments	HSCIC ¹⁷⁻¹⁸	7,693			£102.64 £105.79	£92.27, £118.05 £96.35, £121.38
for both years)						
Global sum plus MPIG payments per patient (GMS contracts)	HSCIC ¹⁷⁻¹⁸	4,451 4,451			£67.53 £74.67	£63.77, £72.02 £70.48, £79.48
Adjusted total payments per patient (GMS contracts only)	HSCIC ¹⁷⁻¹⁸	4,451 4,451			£98.14 £102.61	£89.25, £113.59 £93.69, £116.01
Population						
IMD 2010 score (used for 2013-14) ^a	Public Health England ²⁰ . Individual	7,575			21.64	13.60, 31.83
IMD 2015 score (used for 2014-15) ^a	practices' scores were calculated by	7,584			21.74	13.88, 31.51
	the Office for National Statistics (ONS), using patients' postcodes.					
Percentage of practice register aged 75 years	Public Health England ²⁰	7,585	7.61	3.12		
or more	Ũ	7.584	7.66	3.17		
Percentage with African-Caribbean ethnicity	General Practice Patient Survey	7,513			0.86	0.00, 3.85
	reports (weighted) for both years ²¹	7,430			0.84	0.00, 3.99
Percentage with South Asian ethnicity	General Practice Patient Survey ²¹	7,485			2.20	0.00, 7.80
		7,513			1.39	0.00, 5.58
Percentage of smokers	General Practice Patient Survey ²¹	7,678	17.79	6.84		

		7,668	17.01	6.87		
Percentage with self-reported long term	General Practice Patient Survey ²¹	7,689	53.96	7.77		
condition		7,684	54.01	8.00		
Percentage self-reported confident about	General Practice Patient Survey ²¹	7,689			92.91	89.85, 95.21
managing own health		7,684			93.02	89.81, 95.39
Percentage self-reported unemployed	General Practice Patient Survey ²¹	7,675			4.9	2.28, 8.95
		7,667			4.3	1.86, 8.01
Percentage self-reported on long term sick or	General Practice Patient Survey ²¹	7,639			4.02	2.22, 6.47
disability register	20.20	7,628			3.88	2.12, 6.37
Percentage on practice diabetes register	HSCIC –QOF annual reports ²²⁻²³	7,573	6.47	1.85		
	20.20	7,586	6.65	1.91		
Percentage on practice CHD register ^b	HSCIC –QOF annual reports ²²⁻²³	7,573	3.32	1.14		
h	22.22	7,585	3.27	1.13		
Percentage on practice CVA register ^b	HSCIC –QOF annual reports ²²⁻²³	7,573	1.69	0.64		
	20.22	7,585	1.70	0.65		
Percentage on practice heart failure register	HSCIC –QOF annual reports ²²⁻²⁵	7,573			0.68	0.49, 0.89
	22.22	7,581			0.69	0.50, 0.90
Percentage on practice asthma register	HSCIC –QOF annual reports ²²⁻²⁵	7,573	5.89	1.29		
	20.22	7,587	5.95	1.32		
Percentage on practice COPD register	HSCIC –QOF annual reports ²²⁻²³	7,573			1.72	1.20, 2.33
		7,581			1.75	1.23, 2.39
Practice						
Number of registered patients	HSCIC ¹⁷⁻¹⁸	7,693			6,342	3,819, 9,687
		7,693			6,441	3,891, 9,776
Whole time equivalent GPs per 10.000	NHS workforce statistics ²⁴	7.649			5.99	4.73, 7.43
patients – 2014 only (calculated by dividing		,				,
whole time equivalent number in practices						
by number of registered patients, then						
multiplying by 10,000)						
Whole time equivalent nurses per 10,000	NHS workforce statistics ²⁴	7,125			2.42	1.78, 3.17
patients – 2014 only (calculated as above)						
Whole time equivalent staff per 10,000	NHS workforce statistics ²⁴	7,125			15.24	12.80, 18.15
patients – 2014 only (calculated as above)						
Contract type – GMS ^c	HSCIC ¹⁷⁻¹⁸	4,405				
Contract type – PMS ^c	HSCIC ¹⁷⁻¹⁸	3,085				
Contract type – APMS ^c	HSCIC ¹⁷⁻¹⁸	203				

Geographical sub region – North ^{cd}	HSCIC ¹⁷⁻¹⁸	2,310				
Geographical sub region – Midlands ^{cd}	HSCIC ¹⁷⁻¹⁸	2,254				
Geographical sub region – South ^{cd}	HSCIC ¹⁷⁻¹⁸	3,129				
Performance						
Total QOF points achieved ^e	HSCIC -QOF annual reports ²²⁻²³	7,573			866.67	828.28, 887.15
900 points maximum in 2013-14		7,586			542.83	523.41, 553.44
559 points maximum in 2014-15						
Percentage on practice hypertension register	HSCIC -QOF annual reports ²²⁻²³	7,573	13.96	3.58		
	_	7,587	14.02	3.59		
Percentage of hypertension register with last	HSCIC -QOF annual reports ²²⁻²³	7,676			80.50	75.68, 84.28
blood pressure reading <150/90 mmHg	_	7,587			81.06	77.35, 84.37
Percentage of diabetes register with last	HSCIC -QOF annual reports ²²⁻²³	7,676			61.84	56.72, 66.45
HbA1c ≤7.5% (59mmol/mol)		7,586			70.41	63.64, 76.41
Percentage unable to obtain appointment	General Practice Patient Survey ²¹	7,683			9.53	5.7, 14.11
		7,672			9.53	5.66, 14.70
Percentage able to see a GP or nurse within	General Practice Patient Survey ²¹	7,583	51.01	14.95		
48 hours		7,584	48.68	14.93		
Percentage having a preferred GP	General Practice Patient Survey ²¹	7,688	53.32	12.89		
		7,683	50.47	13.16		
Percentage reporting good appointment	General Practice Patient Survey ²¹	7,583	76.33	12.83		
'experience'		7,584	75.01	13.47		

^a Index of Multiple Deprivation (IMD) is the official measure of relative deprivation for small areas. IMD ranks each small area (called Lower-layer Super Output Areas

440 [LSOA] of which there are 32,844 with an average of 1,500 residents each) in England. IMD combines information from seven domains: income deprivation, employment

441 deprivation, education, skills and training deprivation, health deprivation and disability, crime, barriers to housing and services, and living environment deprivation.^b Dropped

442 from the final model

443 ^c As these variables are nominal, only numbers of practices are provided.

^d There are 13 geographical sub regions in England, which were collapsed into three larger regional identities: North, Midlands and South. North included four sub-regions:

445 Cheshire and Merseyside, Cumbria and North East, Lancashire and Greater Manchester, and Yorkshire and Humber. Midlands included four sub-regions: Central Midlands,

East Midlands, West Midlands and North Midlands. South included five sub-regions: London, Wessex, South Central, South East and South West.

^e As part of the 2014-15 GMS contract changes, a total of 40 QOF indicators were retired, 'releasing' 341 points. The resource from these points was transferred to the Global sum and to ES, including a new ES aimed at avoiding unplanned admissions and delivering proactive case management for vulnerable people.

449

450

Figure 1 Univariable analyses: median payments by ascending IMD decile, and correlation

453 coefficients between IMD and payments









460 Spearman correlation coefficients (p value) between payments and IMD score

Financial year	Adjusted total payments	QOF payments	ES payments	Global sum + MPIG payments ^b
2013-14	0.0003 (0.98)	0.0006 (0.96)	-0.15 (<0.01)	0.27 (<0.01)
2014-15	0.023 (0.045)	0.0066(0.57)	-0.18 (<0.01)	0.30 (<0.01)

^b GMS practices only

- 464 **Table 2** Linear regression results for 2013-2014 and 2014-2015
- 465 Dependent variable: logarithm of adjusted total payments per registered patient
- 466 Contract type: all
- 467 Adjusted R-squared values: 2013-14 = 0.359 (6,209 practices); 2014-15 = 0.374 (6,282 practices)
- 468 Mean Variance Inflation Factor (VIF): 2.90 in 2013-14; 2.88 in 2014-15
- 469 **Significant values in bold type** (the 95% confidence interval does not cross zero)

	2013-2014	2014-2015
Predictor	Beta coefficient	Beta coefficient
	(95% confidence interval)	(95% confidence interval)
Population		
Percentage of list aged 75 years or more	.0035 (.00021, .0067)	.0064 (.0031, .0095)
IMD score	.00098 (.00068, .0019)	.00099 (.00012, .0019)
Percentage with Afro-Caribbean ethnicity	.16 (.051, .28)	.16 (.059, .27)
Percentage with South Asian ethnicity	25 (10, .054)	.028 (048, .10)
Percentage of smokers	36 (47,26)	21 (31,12)
Percentage with self-reported long term condition	15 (25,062)	11 (19,022)
Percentage of self-reported on long term sick or disability register	21 (44, .0088)	30 (50,10)
Percentage of self-reported confident about managing own health	050 (23, .13)	.048 (11, .21)
Percentage of self-reported unemployed	028 (18, .13)	088 (24, .060)
Percentage on practice diabetes register	0022 (0079, .0034)	0069 (012,0019)
Percentage on practice heart failure register	.010 (012, .032)	016 (036, .0037)
Percentage on practice asthma register	.0073 (.0021, .012)	.0054 (000063, .010)
Percentage on practice COPD register	011 (021, .00015)	0072 (017, .0028)
Practice		
Number of registered patients	-2.43e-06 (-3.90e-06, -9.54e-07)	-2.67e-06 (-4.01e-06, -1.32e- 06)
Contract type: GMS with APMS as reference	14 (17,10)	18 (21,14)
Contract type: PMS with APMS as reference	089 (13,052)	14 (17,11)
Geographical region: Midlands with North as reference	.087 (.072, .10)	.056 (.042, .07)
Geographical region: South with North as reference	.040 (.025, .056)	.015 (.0056, .029)

Whole time equivalent GPs per 10,000 patients	.016 (.014, .019)	.015 (.013, .017)
Whole time equivalent nurses per 10,000 patients	0011 (0042, .0065)	0013 (0063, .0038)
Whole time equivalent staff per 10,000 patients	.024 (023, .026)	.024 (.023, .026)
Performance		
Total QOF points achieved	.00019 (.000075, .00030)	.00015 (000049, .00034)
Percentage on practice hypertension register	.0061 (.0031, .0092)	.0077 (.0048, .011)
Percentage of the hypertension register with the last blood pressure reading $\leq 150/90$ mmHg	00095 (0020, .00014)	.00073 (00033, .0018)
Percentage of the diabetes register with the last HbA1c <7.5% (59mmol/mol)	.00031 (00050, .0011)	.00024 (00046, .00093)
Percentage self-reported unable to obtain appointment	057 (20, .086)	.084 (044, .21)
Percentage self-reported able to see a GP or nurse within 48 hours	.00031 (000069, .00069)	.00033 (000016, .00068)
Percentage having a preferred GP	.025 (021, .071)	.023 (020, .065)
Percentage reporting good appointment 'experience'	.0030 (.0027, .0037)	.0025 (.0019, .0032)

471 *Negative figures indicate a reduction in adjusted total payment.

- **Table 3** Linear regression results for 2013-2014 and 2014-2015
- 474 Dependent variable: logarithm of the global sum plus MPIG payments per patient
- 475 Contract type: GMS
- 476 Adjusted R-squared values: 2013-14 = 0.283 (3,530 practices); 2014-15 = 0.202 (3,621 practices)
- 477 Mean Variance Inflation Factor (VIF): 2.06 in 2013-14, 2.03 in 2014-15

478 Significant values in bold type

Predictor	2013-2014	2014-2015
	Beta coefficient	Beta coefficient
	(95% confidence interval)	(95% confidence interval)
Population		
Percentage of list aged 75 years or	012 (014,011)	010 (012,0086)
more		
IMD score	0019 (0025,0014)	0011 (0016,00063)
Percentage with Afro-Caribbean ethnicity	.16 (.083, .24)	.075 (.0044, .14)
Percentage with South Asian	.15 (.11, .20)	.089 (.045, .13)
ethnicity		
Percentage of smokers	.014 (051, .079)	012 (046, .069)
Percentage with self-reported long term condition	092 (15,037)	034 (082, .014)
Percentage of self-reported on long term sick or disability register	080 (22, .058)	13 (25,0078)
Percentage of self-reported confident	11 (22,0042)	 028 (12, .067)
Percentage of self-reported	0010 (098096)	.022 (070, .11)
unemployed		
Percentage on practice diabetes register	0064 (0094,0034)	0041 (0068,0014)
Percentage on practice heart failure register	.0048 (0083, .018)	00039 (012, .011)
Percentage on practice asthma	.0016 (0015, .0047)	00014 (0026, .0029)
Percentage on practice COPD register	0041 (0011, .0024)	0077 (014,0019)
Practice		
List size	-3.36e-06 (-4.25e-06, -2.48e-06)	-2.89e-06 (-3.67e-06, -2.10e- 06
Geographical region: Midlands with	.016 (.0065, .025)	.019 (.011, .027)
North as reference	.010 (.0003; .023)	.017 (.011, .027)
Geographical region: South with North as reference	.024 (.015, .033)	.026 (.017, .034)
Whole time equivalent GPs per	.0060 (.0047, .0074)	.0050 (.0038, 0062)
Whole time equivalent nurses per	00047 (0030, .0040)	.0032 (-5.16e-07 .0065)
Whole time equivalent staff per 10,000 patients	.0043 (.0035, .0052)	.0037 (.0029, .0045)

479

^{480 *}Negative figures indicate a reduction in payment.

- **Table 4** Linear regression results for 2013-2014 and 2014-2015
- 483 Dependent variable: logarithm of adjusted total payments per registered patient
- 484 Contract type: GMS
- 485 Adjusted R-squared values: 2013-14 = 0.440 (3,596 practices); 2014-15 = 0.392 (3,621 practices)
- 486 Mean Variance Inflation Factor (VIF): 2.17 in 2013-14, 2.20 in 2014-15

487 Significant values in bold type

Predictor	2013-2014	2014-2015
	Beta coefficient	Beta coefficient
	(95% confidence interval)	(95% confidence interval)
Population		
Percentage of list aged 75 years or	00050 (0038, .0046)	.0048 (.00036,.0093)
more		
IMD score	.00027 (00098, .0015)	.0017 (.00041 .0030)
Percentage with Afro-Caribbean	.038 (13, .21)	.011 (17, .19)
ethnicity		
Percentage with South Asian ethnicity	075 (18, .030)	037 (15, .076)
Percentage of smokers	33 (47,18)	34 (48,19)
Percentage with self-reported long term	24 (36,12)	047 (17, .078)
condition		
Percentage of self-reported on long term sick or disability register	16 (46, .15)	48 (79,17)
Percentage of self-reported confident	.0046 (24, .25)	.19 (052, .44)
about managing own health		
Percentage of self-reported	26 (47,044)	24 (47, .0028)
unemployed		
Percentage on practice diabetes register	0045 (0079, .0070)	0022 (0010, 0056)
Percentage on practice heart failure	.022 (0072, .051)	013 (042, .017)
register		
Percentage on practice asthma register	.011 (.0035, .017)	.0035 (0037, .011)
Percentage on practice COPD register	0028 (017, .012)	0090 (024, .0062)
Practice		
	-8.06e-06 (-2.98e-06, 1.37e-	-1.19e-06 (-3.42e-06, 1.05e-06)
List size	07)	
Geographical region: Midlands with	.099 (.079, .12)	.048 (.027, .068)
North as reference		
Geographical region: South with North	.062 (.041, .082)	.021 (00016, .043)
as reference		
Whole time equivalent GPs per 10,000	.020 (.017, .023)	.017 (.013, .020)
patients		
Whole time equivalent nurses per	015 (023,0075)	018 (026,010)
10,000 patients		
Whole time equivalent staff per 10,000	.030 (.028, .032)	.029 (.026, .031)
patients		
Performance		
Total QOF points achieved	.000064 (000087, .00022)	.00014 (00014, .00043)
Percentage on practice hypertension	.0090 (.0050, .013)	.012 (.0078, .016)
register	00021 (0014 0015)	00005 (00071 0024)
Percentage of the hypertension register	00031 (0014, .0015)	.00085 (00071, .0024)
with the last blood pressure reading		
SIJU/90 IIIIIIIg	00062 (0011 00010)	00024 (0014 00071)
reicentage of the diabetes register with	.00002 (0011, .00010)	00034 (0014, .00071)

the last HbA1c \leq 7.5% (59mmol/mol)		
Percentage self-reported unable to	068 (27, .13)	089 (28, .11)
obtain appointment		
Percentage self-reported able to see a	.00015 (00036, .00065)	.00032 (00022, .00085)
GP or nurse within 48 hours		
Percentage having a preferred GP	.091 (.031, .15)	.12 (.060, .18)
Percentage reporting good appointment	.0034 (.0024, .0044)	.0025 (.0015, .0034)
'experience'		

489 *Negative figures indicate a reduction in payment.

- 492 **Table 5** Effect size: the independent effects of changes to values of significant predictors on total
- 493 payments in a hypothetical practice of 7,000 patients.
- 494
- Median total (predicted) payments for a 7,000 patient list were £718,480 in 2013-14 and £740,530 in
 2014-15.
- 497
- In each cell, values in the first line are for 2013-2014 and in the second line for 2014-2015. Numbers
- 499 inside the parentheses are the percentage changes to total payments.

Predictor	Predicted change in total payment based upon a value at quartile 1 of the predictor's distribution, assuming all other predictors remained at their median.	Predicted change in total payment based upon a value at quartile 3 of the predictor's distribution, assuming all other predictors remained at their median.
Over 75 years	£-5,491.21 (-0.76%)	£4,811.95 (0.67%)
	£-10,812.45 (-1.46%)	£9,458.35 (1.28%)
IMD score	£-5,682.24 (-0.79%)	£7,209.35 (1.00%)
	£-6,173.92 (-0.83%)	£7,677.80 (1.04%)
Smokers	£ 11,070.20 (1.54%)	£-13,118.16 (-1.83%)
	£ 6,452.63 (0.87%)	£-7,878.13 (-1.06%)
Black ethnicity	£-1,016.04 (-0.14%)	£3,538.72 (0.49%)
	£-1,025.27 (-0.14%)	£3,852.10 (0.52%)
With self-reported long term	£5,688.65 (0.79%)	£-5,688.65 (-0.79%)
condition	£4,189.69 (0.57%)	£-4,056.20 (-0.55%)
On long-term sick or disability	Not a predictor in 2013-14	Not a predictor in 2013-14
	£3,932.06 (0.53%)	£-5,569.10 (-0.75%)
On diabetes register	Not a predictor in 2013-14	Not a predictor in 2013-14
	£50.61 (0.0068%)	£-52.18 (-0.0070%)
On asthma register	£-44.34 (-0.0062%)	£40.69 (0.0057%)
	£-33.7 (-0.0045%)	£32.57 (0.0044%)
List size	£4,418.45 (0.61%)	£-5,863.86 (-0.82)
	£5,059.10 (0.68%)	£-6,623.46 (-0.89%)
Whole time equivalent GPs per	£-15,008.89 (-2.09%)	£17,178.46 (2.39%)
10,000 patients	£-14,034.61 (-1.90%)	£16,061.15 (2.17%)
Whole time equivalent staff per 10,000 patients	£-43,943.07 (-6.12%)	£52,711.27 (7.34%)

	£-45,428.78 (-6.13%)	£54,494.37 (7.36%)
Total QOF points achieved	£-4,229.76 (-0.59%)	2,253.37 (0.31%)
	Not a predictor in 2014-15	Not a predictor in 2014-15
On hypertension register	£-94.74 (-0.013)	£88.45 (0.012%)
	£-128.23 (-0.017%)	£123.06 (0.017%)
Reporting good appointment experience	£-208.46 (-0.029%)	£171.78 (0.024%)
	£-176.01 (-0.024%)	£164.34 (0.022%)

501 Calculations assumed a reference point of the total payments per patient being at the median (£102.64 in 2013-

- 14 and £105.79 in 2014-15). For each predictor, the predicted change in total payment is presented based upon a value at either quartile 1 or quartile 3 of the predictor's distribution, assuming all other predictors remained at
 the predictor is a statement of the predictor is distribution.
- their median.

505 Equations used in the calculations:

506 1. Change in payment (amounts) = List size x Median total payment per patient x [e^(beta-coefficient x difference between median and quartile values)-1]

508 2. Change in payments (percentage) = $[e^{(beta-coefficient x difference between median and quartile values)} -1] x 100$

e = exponential function (2.71828)

510 Reference: UCLA Institute for Digital research and Education. FAQ How do I interpret a regression model

511 when some variables are log transformed? Available from:

512 <u>http://www.ats.ucla.edu/stat/mult_pkg/faq/general/log_transformed_regression.htm</u> [Accessed 26 May 2016]