

1 **Temporal Assessment Of Airway Remodeling In Severe Asthma Using**
2 **Quantitative Computed Tomography**

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4 ^{1,2}Sumit Gupta

5 ¹Ruth Hartley

6 ¹Amisha Singapuri

7 ¹Beverly Hargadon

8 ¹William Monteiro

9 ³Ian D Pavord

10 ⁴Ana R Sousa

11 ⁴Richard P Marshall

12 ⁵Deepak Subramanian

13 ⁵David Parr

14 ⁶James J Entwisle

15 ¹Salman Siddiqui

16 ²Vimal Raj

17 ¹Christopher E Brightling

18

19 ¹Department of Infection, Inflammation and Immunity, Institute for Lung Health,
20 University of Leicester, Leicester, LE3 9QP, UK.

21 ²Radiology Department, Glenfield Hospital, University Hospitals of Leicester NHS
22 Trust, Groby Road, Leicester, LE3 9QP, UK

23 ³The Respiratory Medicine Unit, Nuffield Department of Medicine, University of
24 Oxford, Old Road Campus, Headington, Oxford, OX3 7BF, UK

25 ⁴Respiratory Therapy Unit, GlaxoSmithKline, Stockley Park, Uxbridge UB11 1BT,
26 UK

27 ⁵Department of Respiratory Medicine, University Hospitals Coventry and
28 Warwickshire, Coventry, CV22 2DX, UK.

29 ⁶Radiology Department, Wellington Hospital, Capital and Coast District Health
30 Board, Private Bag 7902, Wellington, New Zealand.

31

32 Corresponding author:

33 Dr Sumit Gupta

34 Institute for Lung Health, University of Leicester, Leicester, LE3 9QP, UK.

35 Tel: 0044 1162871471

36 Fax: 0044 116 2502787

37 E-mail: drsumitgupta@yahoo.com

38

39 SG: developed the protocol, undertook patient recruitment, performed all the
40 quantitative CT analysis, statistical analysis, interpretation of the data and wrote the
41 manuscript.

42 RH: performed data collection.

43 AS: performed patient recruitment and data collection.

44 BH: performed patient recruitment and data collection.

45 WM: performed sputum analysis.

46 IDP: co-supervised the inclusion of the retrospective data and scientific advisor

47 ARS: co-developed the protocol.

48 RPM: co-developed the protocol.

49 DS: assisted with QCT standardization.

50 DP: co-supervised QCT standardization.
51 JJE: co-supervised CT acquisition.
52 SS: Scientific advisor
53 VR: supervised CT acquisition, reporting and assisted in data collection.
54 CEB: developed the protocol, supervised the study, obtained funding for the study,
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75 **Research Letter to the Editor**

76 Heterogeneity in asthma is evident at every aspect of the disease process.¹⁻³
77 Quantitative computed tomography(QCT) has emerged as a reliable, non-invasive
78 tool for assessment of proximal airway remodeling and air-trapping in asthma.⁴ We
79 have recently identified three asthma clusters based on QCT indices using factor and
80 cluster analysis.³ Subjects in clusters 1 and 3, with more severe asthma had distinct
81 patterns of proximal airway remodeling: cluster 1 showing a dilated right upper lobe
82 apical segmental bronchus(RB1) lumen with wall thickening and cluster 3 had no
83 wall thickening and markedly narrowed lumen. Subjects in cluster 2 had milder
84 asthma and there was lack of proximal airway remodeling. It remains elusive whether
85 airway structural changes reflect cause or effect; namely, are they a consequence of
86 asthma and represent different stages of disease progression or the distinct remodeling
87 changes that are fundamental to pathogenesis of asthma, representing distinct asthma
88 endotypes?⁵ Our aim was to assess temporal pattern of proximal airway remodeling in
89 QCT-derived asthma clusters.

90 Some of the results of this study have been previously reported in the form of an
91 abstract.⁶

92

93 Twenty-two patients with severe asthma of mean(SEM) disease duration 28.6(4)
94 years, who were in the placebo arm of a previous study⁷ were included in the analysis.
95 All 22 patients had undergone two inspiratory thoracic CT scans to image RB1 and
96 further inspiratory and expiratory full thoracic CT scans as part of research studies at
97 our institute.^{3,7} All CT scans were performed after administration of long acting β 2-
98 agonist. The mean(range) duration between the first(baseline) and second CT scan
99 was 1.6(0.9–2.7) years and between the second and third was 2.6(1.9–3.7) years.

100 QCT-derived asthma clusters were determined based on full thoracic paired
101 inspiratory and expiratory CT scans obtained at time point 3.³ Only inspiratory scans
102 were used for the current analysis. Informed consent was obtained from all subjects
103 and the studies were approved by the Leicestershire, Northamptonshire and Rutland
104 Research Ethics Committee. Fully automated software, VIDA Pulmonary
105 Workstation, version 2.0 [VIDA Diagnostics, Coralville, Iowa] was used for
106 quantitative airway morphometry as described previously.³

107

108 RB1 wall area(WA)/body surface area(BSA) demonstrated significant increase over
109 time (mean(SEM); first CT, 14.3(0.9); second CT, 14.7(0.9); third CT,
110 16.5(1.3)mm²/m²; repeated measure ANOVA, p=0.008). No significant change was
111 seen in RB1 lumen area(LA)/BSA (mean(SEM); first CT, 9.1(1.0); second CT,
112 9.6(1.0); third CT, 9.9(0.9); repeated measure ANOVA, p=0.4). There was increase in
113 RB1 length at the time of third CT (mean(SEM); first CT, 11.3(0.8); second CT,
114 11.0(0.7); third CT, 13.1(0.6)mm; repeated measure ANOVA, p<0.01). The change in
115 RB1 WA/BSA (Δ RB1 WA/BSA =RB1 WA/BSA third CT –RB1 WA/BSA first CT)
116 negatively correlated with change in RB1 length, Pearson correlation, -0.5; p=0.03.

117 When the severe asthma subjects were split into previously described QCT-derived
118 clusters,³ mean(SEM) change in interval normalized RB1 WA/BSA and LA/BSA
119 respectively was: Cluster 1(n=3), 3.6(0.8) mm²/m²/year, 1.7(1.1) mm²/m²/year;
120 Cluster 2(n=9), 1.0(0.5) mm²/m²/year, -0.02(0.4) mm²/m²/year; Cluster 3(n=10), -
121 0.1(0.3) mm²/m²/year, 0.1(0.4) mm²/m²/year [Figure 1]. A one-way between-groups
122 analysis of covariance (ANCOVA) was performed to compare the differences
123 between clusters (independent variable), of airway morphometry at time of second and
124 third CT (dependent variables) after controlling for the airway morphometry at the

125 time of first CT (covariate). After adjusting for the airway morphometry (first CT),
126 there were significant differences between the three clusters for RB1 WA/BSA(third
127 CT) [F(2, 18)=21, p<0.001, partial eta squared=0.70] and for RB1 LA/BSA(third CT)
128 [F(2, 18)=32, p<0.001, partial eta squared=0.78]. No significant difference was seen
129 between the three clusters for RB1 WA/BSA(second CT) and RB1 LA/BSA(second
130 CT) [data not shown]. Comparison of airway morphometry in healthy controls at time
131 point 3 with airway morphometry in severe asthma clusters at time point 1, 2 and 3 is
132 presented in table 1.

133

134 The subjects did not show any significant change in post bronchodilator forced
135 expiratory volume in 1 second(FEV₁) %predicted [mean(SEM) change from baseline,
136 -1.8(2.7); paired sample t-test, p=0.5], post bronchodilator FEV₁/forced vital
137 capacity(FVC) (%) [mean(SEM) change from baseline, -0.7(1.3); paired sample t-test,
138 p=0.6], asthma quality of life questionnaire(AQLQ) score [mean(SEM) change from
139 baseline, 0.07(1.3); paired sample t-test, p=0.7] and sputum neutrophils [mean(SEM)
140 change from baseline, 5.4(7.1); paired sample t-test, p=0.5] at the time of third CT
141 scan compared to baseline. There was a statistically significant increase in the asthma
142 control questionnaire(ACQ) [mean(SEM) change from baseline, 0.4(0.2); paired
143 sample t-test, p=0.03]. The change in RB1 QCT indices (LA/BSA, WA/BSA and
144 length) between third and first CT did not show any significant correlation with
145 change in post bronchodilator FEV₁ %predicted, post bronchodilator FEV₁/FVC%,
146 ACQ and AQLQ.

147

148 Previous longitudinal studies have demonstrated a significant decrease in proximal
149 airway wall dimensions after use of inhaled corticosteroids(ICS),^{8,9} ICS/ long acting

150 beta-2 agonist(LABA) combination¹⁰ and anti-IgE treatment.¹¹ In contrast, Brillet *et*
151 *al.* found no change in CT assessed airway dimensions in poorly controlled asthmatics
152 treated for 12 weeks with inhaled LABA and ICS despite improvement in
153 physiological measures of airway obstruction and air trapping.¹² A follow-up of
154 asthma subjects on ICS from a previous study⁸ for a mean duration of 4.2 years did
155 not show any significant change in airway dimensions with reported mean(SEM)
156 change in interval-normalised RB1 WA/BSA of -0.27 (0.59) mm²/m²/year.¹³ We have
157 previously shown a decrease in RB1 WA/BSA in severe asthma subjects after one-
158 year treatment with anti-IL-5 compared to placebo with approximately 10% between-
159 group change.⁷ In the current analysis severe asthma subjects demonstrate small,
160 albeit significant temporal increase in RB1 WA/BSA but no change in the RB1
161 LA/BSA. These varied patterns of airway remodeling exhibited by asthma subjects
162 may be explained by heterogeneous nature of the disease, differences in patient
163 selection and duration of treatment and/or follow up. A recent longitudinal study in
164 severe asthma subjects has demonstrated that in a multivariate regression model
165 baseline %WA was a predictor of subsequent airway remodeling.¹⁴ In our analysis
166 after adjusting for the RB1 dimensions at time of first CT, significant differences were
167 found in RB1 dimensions between severe asthma QCT-derived clusters at the time of
168 third CT but not at the time of second CT. Severe asthma patients when grouped
169 based on QCT-derived clusters, show a differential temporal pattern of airway
170 remodeling, particularly patients in cluster 3, where no significant change in airway
171 wall or lumen dimensions was demonstrated over a period of 2.6 years. This suggests
172 that the mechanism of lumen narrowing in this asthma phenotype may be due to
173 decreased compliance of the airway wall or alteration between intrinsic and extrinsic
174 airway wall properties,¹⁵ rather than thickened airway wall encroaching upon the

175 lumen. Mathematical modelling studies^{16,17} have also shown that thickening of the
176 adventitia can uncouple the airway smooth muscle(ASM) from the lung's elastic
177 recoil forces abating the airway-parenchymal interdependence. QCT based
178 phenotyping could thus help us unravel novel asthma subtypes which may have
179 distinct pathophysiological mechanisms. The inverse correlation between the change
180 in RB1 WA/BSA and RB1 length suggests that despite bronchodilation, ASM
181 shortening resulting in shortening in airway length may contribute to QCT assessed
182 airway wall thickening.

183

184 We acknowledge that QCT-derived clusters were determined based on full thoracic
185 paired inspiratory (third CT in the current analysis) and expiratory CT scans as part of
186 a recent study³ and temporal CT (first and second CT in the current analysis) data was
187 obtained from retrospective scans. We therefore are unable to assess the stability of
188 CT derived phenotypes. Moreover, there is lack of data in current literature on
189 temporal stability of airway morphometry in healthy subjects. Temporal assessment
190 was only possible in small number of subjects in each cluster with only 3 subjects in
191 cluster 1, therefore further verification of these findings is required by large
192 longitudinal studies. Despite this limitation, temporal analysis may provide useful
193 insight into natural history of airway remodeling.

194

195

196 **Figure Legend**

197 **Figure 1: Temporal assessment of airway remodeling in asthma clusters**

198 Asthma clusters were determined based on data from third CT. Retrospective scans
 199 were available for temporal assessment of RB1 remodeling. Airway dimensions of 30
 200 healthy controls determined as part of our previous study³ are included on the figure
 201 for reference. The data for healthy control subjects is plotted only at time point 3 as
 202 longitudinal data is not available.

203

204 Table 1: RB1 dimensions of severe asthma and healthy subjects

| | Cluster 1 (n= 3) | Cluster 2 (n= 9) | Cluster 3 (n= 10) | Healthy Controls (n= 30) | Significance (p value) |
|--|-----------------------------|-----------------------------|------------------------------|---|-----------------------------------|
| First CT | | | | | |
| Wall Area/BSA (mm²/m²) | 17.6 (2.6) | 16.9 (1.0) | 10.9 (1.0) | | <0.001 ∞^{\wedge} |
| Lumen Area/BSA (mm²/m²) | 13.0 (3.7) | 11.6 (1.3) | 5.6 (0.8) | | <0.001 ∞^{\wedge} |
| Second CT | | | | | |
| Wall Area/BSA (mm²/m²) | 18.4 (0.9) | 17.2 (1.0) | 11.3 (1.0) | | 0.001 ∞^{\wedge} |
| Lumen Area/BSA (mm²/m²) | 14.4 (1.5) | 11.8 (1.5) | 6.2 (0.9) | | 0.001 $^{\wedge}$ |
| Third CT | | | | | |
| Wall Area/BSA (mm²/m²) | 25.8 (1.4) | 19.5 (1.0) | 10.9 (0.7) | 18.7 (1.0) | <0.001 $\Delta\infty^{\wedge}\S$ |
| Lumen Area/BSA (mm²/m²) | 17.2 (1.2) | 11.8 (0.6) | 5.9 (0.5) | 13.7 (1.0) | <0.001 $\Delta\infty^{\wedge}$ |

205

206 Data expressed as mean (SEM). Intergroup comparisons: one-way ANOVA with
 207 Tukey test to compare all pairs of columns. *p<0.05 Cluster1 vs Cluster 2, ∞ p<0.05
 208 Cluster 2 vs Cluster 3, Δ p<0.05 Cluster1 vs Cluster 3, \diamond p<0.05 healthy controls vs
 209 Cluster 1, $\#$ p<0.05 healthy control vs cluster 2, $^{\wedge}$ p<0.05 healthy controls vs Cluster
 210 3, \S p=0.06 healthy controls vs Cluster 1. RB1 dimensions for healthy controls
 211 subjects were only available at time point 3 and were compared with RB1 dimensions
 212 of severe asthma subjects at all three time points.

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