1	Domiciliary exhaled nitric oxide and eosinophilic airway inflammation in adults with						
2	asthma						
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30 <u>Author contributions:</u>

CRN undertook patient study visits, assisted by AS, MS and SG. WM performed sputum cell
counts. SS and SG designed, instigated and led the study. SG analysed the data and wrote the
manuscript. SS critically reviewed the manuscript.

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46 "Take-home" message: Exhaled nitric oxide home monitoring is a promising surrogate
47 marker of eosinophilic airway inflammation in asthma.

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52 Sputum eosinophils are the gold standard measure of eosinophilic airway inflammation and 53 there is strong evidence that titrating corticosteroid therapy to sputum eosinophils reduces the rate of asthma exacerbations [1]. However, this measurement is time-consuming and requires 54 specific technical expertise. Exhaled nitric oxide (FeNO) is a non-invasive surrogate marker 55 56 of eosinophilic airway inflammation that may be measured using small portable devices. Studies of using FeNO to titrate therapy have yielded mixed results [2,3]. However, it is 57 possible that one-off clinic readings may not be the best method of utilising FeNO, and the 58 home monitoring potential of FeNO has not yet been fully explored. 59

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We aimed to (i) determine the reliability and feasibility of FeNO home monitoring in adults with moderate-to-severe asthma and (ii) to investigate the relationship between serial FeNO measurements and recognised biomarkers of eosinophilic airways disease in asthma, specifically sputum and blood eosinophil counts. We hypothesised that serial FeNO measurements would correlate more closely with other biomarkers of eosinophilic airways disease than one-off readings, and that there may be a temporal relationship between FeNO and other eosinophil markers.

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In this pilot study we recruited 10 adults (age > 18) with moderate-to-severe asthma (Global Initiative for Asthma treatment steps 3-5 [4]), diagnosed by a specialist asthma physician in a secondary care setting, according to British Thoracic Society guidelines [5]. The study was approved by the National Research Ethics Committee – East Midlands Leicester, and all participants gave their written informed consent. The participant group comprised five men and five women with a mean (standard deviation [SD]) age of 64 (5) years. All participants were treated with inhaled corticosteroids (800 – 2000 µg per day, beclometasone dipropionate equivalent) and long-acting β_2 agonists. Six patients received maintenance low-dose prednisolone (5 – 15mg per day). Mean (SD) post-bronchodilator FEV₁ (% pred.) was 71.4 (24.2) with mean (SD) bronchodilator reversibility of 9.3% (11.2%). A previous history of atopy was documented in three patients. Geometric mean (95% confidence interval) sputum eosinophil count was 6.1% (1.7% – 22.2%), and geometric mean (95% confidence interval) blood eosinophil count was $0.18 \times 10^9/L$ (0.07 – 0.46 × $10^9/L$).

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Participants were recruited during the period from December 2013 – April 2014. They were 83 each provided with a NObreath FeNO monitor (Bedfont Scientific, Maidstone, UK) and 84 carefully instructed in its use. Participants were provided with a paper diary and asked to 85 record three morning FeNO readings on a daily basis over a 56-day period. Participants 86 attended study visits two-weekly, at which time daily diaries were retrieved, a blood sample 87 was drawn for measurement of eosinophil count, and sputum induction was performed (or a 88 89 spontaneous sample obtained) [6]. Sputum cell counts were performed by an experienced 90 technician.

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Eight out of ten participants completed the study and good quality data were obtained in each
case. The median missing data rate for home-monitored FeNO was 7.1% (range 2.7% 14.3%). Home-monitored FeNO was repeatable, with a median intraclass correlation
coefficient of triplicate measurements of 0.83 (range 0.78 – 0.92). Further analyses presented
below utilise the average of the three daily FeNO measurements. Sputum samples were
obtained and analysed successfully for 39 out of 40 study visits, with 36 of these being
spontaneous samples.

100 In order to assess the relationship between FeNO and recognised biomarkers of eosinophilic airways disease, we constructed a linear mixed model (SPSS 20, IBM Corporation, Somers, 101 New York, USA) in which blood or sputum eosinophil count was the dependent variable and 102 103 FeNO was specified as a fixed effect in the model. We specified an autoregressive covariance structure (AR[1]) for the repeated measures of blood or sputum eosinophil count within each 104 individual, since we observed that repeated measurements became less closely correlated 105 106 with the passage of time. We performed our analysis using a range of lag periods and windows to determine (i) how changes in FeNO related temporally to changes in blood and 107 sputum eosinophil counts, and (ii) whether taking a moving average (window) of 3, 5, 7 or 9 108 consecutive days' FeNO readings could improve the correlation with blood and sputum 109 eosinophil counts. Figures 1a-b show example data from a single individual, demonstrating 110 the relationship between FeNO and sputum eosinophil count. Increasing the window width 111 results in smoothing of the data, masking day-to-day variability in FeNO and allowing the 112 underlying trends to be better seen. 113

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Figures 1c-d demonstrate colour maps indicating the effect size of FeNO within the model to 115 predict either sputum or blood eosinophil count at different lag periods and window sizes. A 116 117 higher effect size indicates a stronger association. In these figures, a negative lag indicates that FeNO in the present correlates with past blood or sputum eosinophil counts. A positive 118 119 lag indicates that FeNO in the present correlates with future blood or sputum eosinophil counts. The association between FeNO and sputum eosinophils was strongest at a lag of -1 120 and a window of 5, indicating that changes in sputum eosinophils tended to precede changes 121 in FeNO by 1 day. The association between FeNO and blood eosinophils was strongest at a 122 lag of -5 and a window of 7, indicating that changes in blood eosinophils tended to precede 123 changes in FeNO by 5 days. 124

This is the first study to show that FeNO is a reliable and repeatable method of measuring 126 eosinophilic airway inflammation at home in patients with asthma. Our results indicate that 127 128 maximal changes in FeNO appear to lag behind changes in sputum and blood eosinophils by one and five days respectively. However, FeNO can still give a good insight into the current 129 level of sputum eosinophils, particularly if a moving average of 5-7 consecutive days' 130 131 measurements is used. It should be noted that most patients in this study were able to provide spontaneous sputum samples and did not require formal induction with nebulised hypertonic 132 saline. While spontaneous and induced sputum are not exactly equivalent, previous studies 133 have indicated that the cellular content does not differ significantly between the two methods 134

of expectoration [7].

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The home monitoring approach has the advantage of patient convenience, as well as the 137 potential for day-to-day adjustment of therapy or the detection and preventative treatment of 138 incipient asthma exacerbations. Hashimoto et al recently performed a pragmatic randomised 139 140 controlled trial in which an internet-based approach to oral corticosteroid tapering, incorporating domiciliary FeNO, was compared to a conventional approach [8]. The internet 141 142 algorithm was found to allow more rapid tapering of oral corticosteroids without any reduction in asthma control or quality of life. Honkoop et al found that adjustment of inhaled 143 corticosteroid therapy in primary care using a symptom- plus FeNO-driven strategy reduced 144 asthma medication use while sustaining asthma control and quality of life [9]. Malerba et al 145 found that titrating inhaled corticosteroids using a combination of FeNO and sputum 146 eosinophils reduced exacerbation rates and asthma symptoms compared to a conventional 147 strategy, without additional increases in inhaled corticosteroid doses [10]. 148

150	In conclusion, serial FeNO measurements may identify airway inflammation within close
151	temporal proximity to changes in conventional biomarkers of eosinophilic disease such as
152	sputum eosinophil count, with the added value of being of point-of-care. Further prospective
153	trials are required to assess if this approach can successfully predict exacerbations or be used
154	to titrate therapy in adults with asthma.
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225 Figure legend

Figure 1: Relationship between domiciliary exhaled nitric oxide and eosinophilicinflammation

Panels A and B show overlaid time series of exhaled nitric oxide (FeNO) and sputum eosinophil count in one participant, with a FeNO window of 1 day (Panel A) and 5 days (Panel B). Panels C and D show colour maps indicating the effect size of FeNO as a predictor of sputum eosinophil count (Panel C) and blood eosinophil count (Panel D) at a range of lag times and window sizes. A negative lag indicates that FeNO in the present correlates with past blood or sputum eosinophil counts. A positive lag indicates that FeNO in the present correlates with future blood or sputum eosinophil counts.





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-3	1.20	1.25	1.1/	1.24	1.46
-2	0.67	0.98	1.11	1.37	1.32
-1	0.40	0.64	1.07	1.20	1.24
0	0.19	0.50	0.80	1.05	1.02
1	0.28	0.42	0.64	0.75	0.84
2	0.39	0.65	0.49	0.50	0.61
3	0.71	0.48	0.44	0.29	0.42
4	0.10	0.37	0.29	0.31	0.28
5	0.10	-0.07	0.19	0.25	0.34
6	-0.56	-0.09	-0.02	0.20	0.23