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ARTICLE TYPE

# Bi- and tri-dentate Imino-Based Iron and Cobalt Pre-catalysts for Ethylene Oligo-/Polymerization

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Recent progress on the use of iron and cobalt complex pre-catalysts for ethylene reactivity is reviewed. The review is organized in terms of the denticity of the chelate ligands employed, with particular reference to the influence of the ligand frameworks and their substituents on the catalytic performance for ethylene oligomerization/polymerization catalysis. The majority of the systems bear tri-dentate ligation at the iron/cobalt centre, though it is clear that bi-dentate iron/cobalt complex pre-catalysts have also attracted significant attention. Such systems produce in most cases highly linear products ranging from oligomeric  $\alpha$ -olefins to high molecular weight polyethylene, and as such are promising candidates for both academic and industrial considerations.



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## 1. Introduction

The annual consumption of plastics continues to rise and is expected to increase by 4 % year on year between now and 2016.<sup>1</sup> Of this, almost half the production revolves around the formation of polyethylene and polypropylene products (Chart 1), and so there not only remains a drive for new competitive processes to produce known  $\alpha$ -olefin derived plastics, but also a need to develop new polymer types. Given this, the search for new catalyst systems remains of great interest in the academic community and pivotal to the plastics industry.



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Shaoli Wang received her M.S. at Beijing University of Technology in 2011, and now is a PhD candidate at the Institute of Chemistry, Chinese Academic Sciences. Her current research focuses on late transition metal complexes in ethylene polymerization.



Ke-Qing Zhao

Ke-Qing Zhao received his PhD from Sichuan University in 1997 under Professor Liang-Fu Zhang. After postdoctoral research at the National Taiwan University with Professors J.-T. Chen and S.-T. Liu on late-transition metal catalyzed olefin polymerization, he worked in Yo Shimizu's group (AIST, Japan) for a year on discotic liquid crystalline semiconductors. He is now a full professor at Sichuan Normal University. Current research interests include liquid crystalline semiconductors as well as transition metal catalyzed polymerizations.

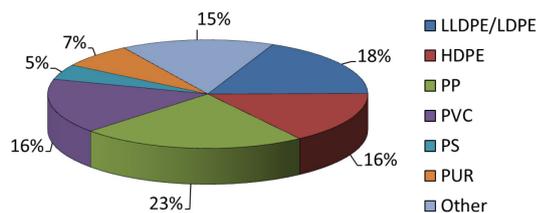


Chart 1. 2012 World Polymer demand

Metallocenes,<sup>2</sup> and a number of supported catalyst systems, for example the Phillips catalyst,<sup>3</sup> remain central to current plastic production; however, the search for cheaper and more active catalytic systems, particularly those that will operate at high temperatures remains an area of immense interest. Of the more modern homogeneous systems developed, those based on the metals of iron or cobalt and bearing bis(imino)pyridine ligand sets have made a significant impact in the literature, particularly as they allow for controllable product formation (oligomers *versus* polymers).<sup>4,5</sup> Indeed, the first reports initiated extensive investigations into the use of iron or cobalt-based complex pre-catalysts bearing bis(imino)pyridine type ligands (Chart 2) that continues apace to this day. The reader is directed to a raft of review articles that focus on early and some more recent developments.<sup>6-19</sup> The main driving force for such studies is to access new highly branched polyethylene products, whilst getting away from the more oxophilic nature of early transition metal systems. In the past half-dozen or so years, stable complex pre-catalysts that exhibit high thermal stability have been reported for both cobalt<sup>20</sup> and iron complexes.<sup>21</sup> Inspired by catalytic systems which revealed high activities at high temperature, but which were inert at room temperature, our group revisited the bis(imino)pyridylmetal (Fe or Co) complexes derived from

benzhydryl-substituted anilines,<sup>17,22,23</sup> and confirmed high activity for both iron and cobalt systems during ethylene polymerization. As well as active pre-catalysts bearing  $N^{\wedge}N^{\wedge}N$  tri-dentate ligation at the metal (Fe or Co), a number of  $N^{\wedge}N$  bi-dentate metal (Fe or Co)

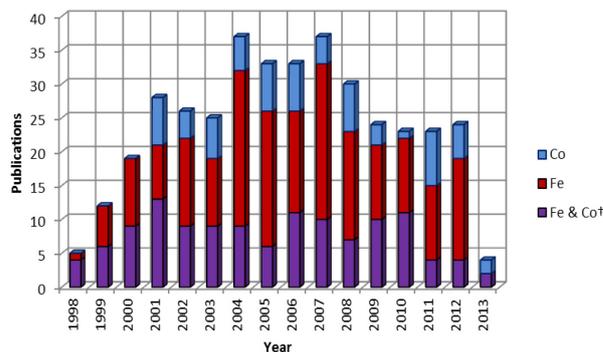


Chart 2. Number of publications per year concerned with the study of iron and cobalt olefin polymerization/oligomerization catalysts since their discovery in 1998 (\*as of 05/06/13; patent applications not included).

complexes have also been investigated for ethylene reactivity.<sup>24-26</sup> Subsequently, numerous complex pre-catalysts formed by fine tuning of the (imino)pyridine motifs and related ligands have been screened, and in light of this, a full review article covering recent progress on such systems is presented here. In this review, the synthesis of each family of iron and cobalt complex pre-catalysts is discussed together with characterization data and their oligo-/polymerization behaviour; complexes bearing bi-dentate ligand sets are dealt with initially, followed by tri-dentate ligand sets and finally combinations thereof.

Wen-Hua Sun received his B.Sc. in chemistry at Lanzhou University (1986), his M.S./Ph.D. degrees in physical chemistry at Lanzhou Institute of Chemical Physics (LICP, 1989/1994). He worked in LICP as a Research Associate (1989) and Associate Professor (1993), and at Hokkaido University with fellowships from Japan Society for the Promotion of Science (1995), Center of Excellence (1997) and Japan Science and Technology Corporation (1998). Since 1999, he has served as a Professor of Chemistry and Polymer Science at the Institute of Chemistry, Chinese Academy of Sciences. He has over 250 publications and 45 granted patents; several have been developed for industrial (pilot) processes.



Wenhua-Sun



Carl Redshaw

Carl received his BSc (Hons) and PhD from Newcastle University, was a Robert A. Welch Fellow at the University of Texas, Austin, and a postdoc with the late Prof Sir G. Wilkinson at Imperial College (IC). Following further post-docs at Durham University and at IC, he was awarded a Leverhulme Special Research Fellowship. In 1999, he moved to the University of East Anglia (UEA) and was Lecturer, Senior lecturer and Reader in Chemistry. He moved to the University of Hull in 2012 to take up the Chair of Inorganic Materials. He is also currently Guest Professor at Sichuan Normal University in Chengdu, China.



**Gregory A. Solan**

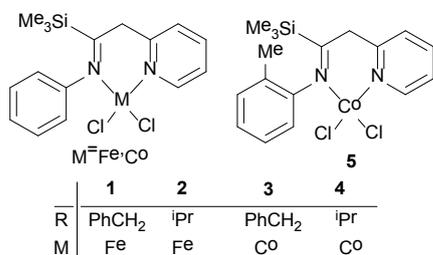
Greg Solan received his B.Sc. (Hons) degree in Chemistry with European studies from the University of Sussex in 1988 before moving to the University of Cambridge to begin his doctoral work with Martin Mays. After completing his Ph.D. degree (1992), he was a postdoctoral fellow at the Université de Lausanne with the late Carlo Floriani, at the University of Edinburgh with Richard Winpenny, and at Imperial College London with Vernon Gibson. In 1999, he was appointed to a lectureship in Inorganic Chemistry at the University of Leicester and promoted to Senior Lecturer in 2007. His research interests cover coordination and organometallic chemistry and their use in catalysis and new inorganic materials synthesis.

ethylene oligomerization.<sup>31</sup> In the case of the iron complexes **6** and **8**, scant activity was observed (entries 1 – 4, Table 2), whereas systems **7** and **9** exhibited high selectivity towards 1-butene (entries 5 – 8, Table 2). High ethylene dimerization selectivities were also observed for iminopyridine complexes bearing less sterically demanding substituents at the pyridine 6-position.<sup>31</sup> Upon activation with triethylaluminium (TEA) rather than methylaluminoxane (MAO), reduced activity was observed and whilst the formation of polymeric by-products was suppressed, the dimerization selectivity increased to over 95 % (entries 5 – 8, Table 2). The results obtained with cobalt complexes **7** and **9** were similar to (nearly as good as) the results observed by Bianchini and coworkers, who observed for iminopyridine cobalt complexes (activated with MAO) bearing a 6-phenyl or 6-naphthyl substituent on the pyridine ring, the formation of oligomerization products and butenes.<sup>27-30</sup>

## 2. Bi-dentate iron and cobalt pre-catalysts

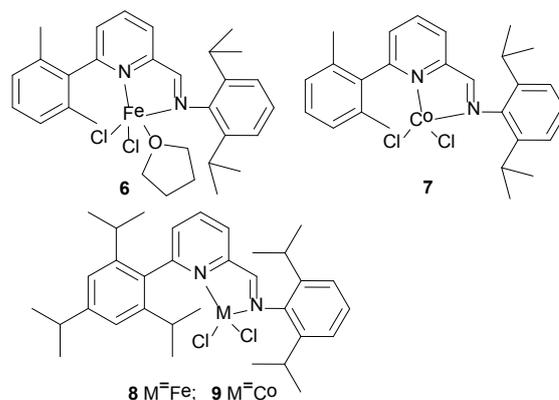
Studies of iron/cobalt complex pre-catalysts were initiated on tri-dentate systems and good activities were generally reported,<sup>4,5</sup> whilst by comparison, bi-dentate iron/cobalt complexes have tended to exhibit relatively low activity for ethylene reactivity. Such low activity is probably due to the formation of unstable active species, which given the greater open space available at the metal, tend to be more prone to coordinating with other species present in the system (leading to deactivation). The result of this poor performance is that less attention has been paid to bi-dentate iron/cobalt complex pre-catalysts, although readily available iminopyridine derivatives have been used to form cobalt complexes,<sup>27-30</sup> which were found to exhibit high activity with selectivity toward dimerization. Following on from this, recent investigations involving the design of new *N,N* bi-dentate ligands and the metal complexes thereof, have revealed promising results for ethylene polymerization.<sup>23-24</sup>

Methylene-linked imino and pyridyl *N,N* bi-dentate iron and cobalt complexes of the type **1-5** (Scheme 1) were found to exhibit good activities towards ethylene oligomerization in the presence of MMAO or MAO (Table 1),<sup>24</sup> with activities as high as  $8.01 \times 10^5 \text{ g} \cdot \text{mol}^{-1} \cdot \text{h}^{-1}$ ; the main products were C4 or C6 (entry 8, Table 1).



**Scheme 1** *N,N*-bi-dentate iron and cobalt complexes<sup>24</sup>

Kempe *et al.* have investigated the 6-aryl-substituted *N,N*-bi-dentate iron and cobalt complexes **6-9** (Scheme 2) for



**Scheme 2** *N,N*-bi-dentate iron and cobalt complexes with 6-aryl-substituted iminopyridine ligands<sup>31</sup>

Solan *et al.* have used the 6-aryl group to bridge two iminopyridine *N,N*-bi-dentate iron (**10**) and cobalt (**11**) complexes (Scheme 3).<sup>32</sup> While the diiron species proved inactive on treatment with MAO, the dicobalt complex did exhibit low activity and afforded mixtures of oligomeric products based on short chain  $\alpha$ -olefins and internal olefins.

Avilés *et al.* investigated the cobalt(II) complexes **12-18** (Scheme 4) bearing a variety of  $\alpha$ -diimine ligands for ethylene polymerization.<sup>33</sup> The influence of the ligand framework (DAB *versus* BIAN) and also the halide X was studied. The highest activities were observed at 20 °C with an Al/Co ratio at 500:1. Catalyst system **16**/MAO, containing the mesityl-BIAN ligand exhibited the lowest activity, far lower than the *o,o'*-iPr<sub>2</sub>Ph-BIAN analogue **17**/MAO (entries 5 – 8, Table 3). Contrastingly, the *o,o'*-iPr<sub>2</sub>Ph-DAB based catalyst system **15**/MAO, containing the bulkier *i*Pr substituents on the phenyl groups, exhibited lower activity in comparison to the mesityl analogue **14**/MAO (entries 1 – 4, Table 3), the latter being the most active of all the catalysts studied. The products obtained via **12-18** were mainly oily branched oligomers at elevated ethylene pressure (3 – 5.5 bar).

**Table 1.** Oligomer composition<sup>24</sup> as catalyzed by pre-catalysts **1–5**<sup>a</sup>

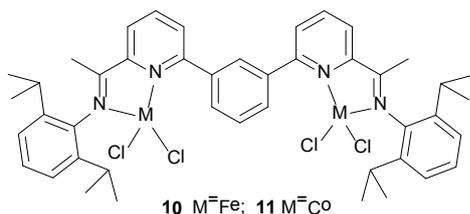
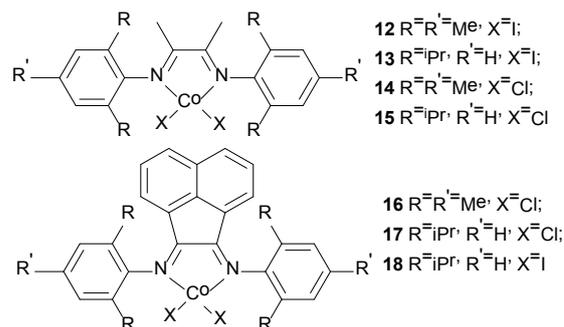
| Entry | Complex  | Co-catalyst | Al/M | Activity <sup>b</sup> | C <sub>4</sub> [%] <sup>c</sup> | C <sub>6</sub> [%] <sup>c</sup> |
|-------|----------|-------------|------|-----------------------|---------------------------------|---------------------------------|
| 1     | <b>1</b> | MMAO        | 1000 | 233                   | 98.9                            | 1.1                             |
| 2     | <b>1</b> | MMAO        | 1500 | 550                   | 92.8                            | 7.2                             |
| 3     | <b>1</b> | MMAO        | 2000 | 518                   | 99.3                            | 0.7                             |
| 4     | <b>2</b> | MMAO        | 1500 | 430                   | 99.4                            | 0.6                             |
| 5     | <b>3</b> | MMAO        | 1000 | 426                   | 99.4                            | 0.6                             |
| 6     | <b>3</b> | MMAO        | 1500 | 470                   | 99.5                            | 0.5                             |
| 7     | <b>3</b> | MMAO        | 2000 | 623                   | 99.8                            | 0.2                             |
| 8     | <b>4</b> | MMAO        | 2000 | 801                   | 99.6                            | 0.4                             |
| 9     | <b>3</b> | MAO         | 500  | 8.5                   | 3.0                             | 97.0                            |
| 10    | <b>3</b> | MAO         | 800  | 24.6                  | 19.8                            | 80.2                            |
| 11    | <b>3</b> | MAO         | 1000 | 12.8                  | 10.5                            | 89.5                            |
| 12    | <b>4</b> | MAO         | 800  | 11                    | 21.0                            | 79.0                            |
| 13    | <b>5</b> | MMAO        | 800  | 142                   | 98.4                            | 1.6                             |
| 14    | <b>5</b> | MMAO        | 2000 | 129                   | >99                             |                                 |
| 15    | <b>5</b> | MAO         | 800  | 4                     | 81.6                            | 18.4                            |
| 16    | <b>5</b> | MAO         | 2000 | 1.2                   | 37.8                            | 62.2                            |

<sup>a</sup> Conditions: 5 μmol of pre-catalyst, 30 mL of toluene, 1 atm ethylene, 20 °C, 0.5 h. <sup>b</sup> kg/mol h. <sup>c</sup> Weight percent determined by GC analysis.

**Table 2.** Oligomer composition<sup>31</sup> as catalyzed by pre-catalysts **6–9**<sup>a</sup>

| Entry | Complex       | Activator | Al/M | Conversion <sup>b</sup> | m <sub>pol</sub> /g | C <sub>4</sub> /g <sup>c</sup> | C <sub>6</sub> /g |
|-------|---------------|-----------|------|-------------------------|---------------------|--------------------------------|-------------------|
| 1     | <b>6</b> , Fe | MAO       | 500  | 2                       | 0.05                | -                              | -                 |
| 2     | <b>6</b> , Fe | TEA       | 200  | 0                       | -                   | -                              | -                 |
| 3     | <b>8</b> , Fe | MAO       | 500  | 2                       | 0.06                | -                              | -                 |
| 4     | <b>8</b> , Fe | TEA       | 200  | 0                       | -                   | -                              | -                 |
| 5     | <b>7</b> , Co | MAO       | 500  | 358                     | 0.06                | 3.95                           | 0.55              |
| 6     | <b>7</b> , Co | TEA       | 200  | 152                     | -                   | 1.85                           | 0.05              |
| 7     | <b>9</b> , Co | MAO       | 500  | 472                     | 0.06                | 5.00                           | 0.80              |
| 8     | <b>9</b> , Co | TEA       | 200  | 172                     | -                   | 2.10                           | 0.05              |

<sup>a</sup> Conditions: 10 μmol of pre-catalyst, 260 mL of toluene, 5 bar ethylene, 30 °C, 15 min. <sup>b</sup> kg/(mol. h. bar). <sup>c</sup> >95% 1-Butene.

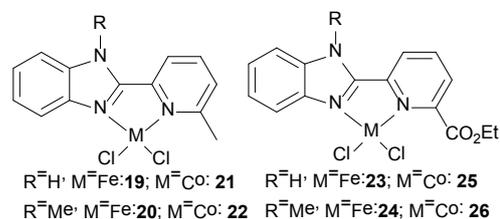
**Scheme 3** Aryl-linked bimetallic *N,N*-bi-dentate iron and cobalt complexes bearing iminopyridine ligands<sup>32</sup>**Scheme 4** *N,N*-bi-dentate cobalt complexes with  $\alpha$ -diimine<sup>33</sup>

The catalyst systems based on **14** and **16**, which possess 10 ligands with mesityl substituents, afforded branched products with 25/1000 C (entries 1, 2, and 6, Table 3), whereas **15** and **17**, containing the bulkier *o,o'*-iPr<sub>2</sub>Ph substituents, afforded PE with about twice the branching of the other systems (see entries 4 and 8, Table 3).<sup>33</sup>

**Table 3.** Oligomer composition<sup>33</sup> as catalyzed by pre-catalysts **14–17**/MAO<sup>a</sup>

| Entry | Complex   | Al/Co | m <sub>PE</sub> (mg) | Activity | No. branches/1000 C <sup>d</sup> |
|-------|-----------|-------|----------------------|----------|----------------------------------|
| 1     | <b>14</b> | 500   | 43                   | 1.08     | 25                               |
| 2     | <b>14</b> | 1000  | 32                   | 0.80     | 25                               |
| 3     | <b>15</b> | 500   | 5                    | 0.13     | -                                |
| 4     | <b>15</b> | 1000  | 9                    | 0.23     | 55                               |
| 5     | <b>16</b> | 500   | 20                   | b        | -                                |
| 6     | <b>16</b> | 1000  | 4                    | 0.10     | 25                               |
| 7     | <b>17</b> | 500   | 16                   | 0.41     | -                                |
| 8     | <b>18</b> | 1000  | 26                   | 0.65     | 46                               |

<sup>a</sup> Conditions: 10 μmol of pre-catalyst, 50 mL of toluene, 2 bar ethylene, 20 °C, 2 h. <sup>b</sup> Traces. <sup>c</sup> g/(mmol-cat.h.bar). <sup>d</sup> Estimated by <sup>1</sup>H NMR.

**Scheme 5** *N,N*-bi-dentate iron and cobalt complexes with 2-(benzimidazolyl)pyridine derivatives<sup>34</sup>

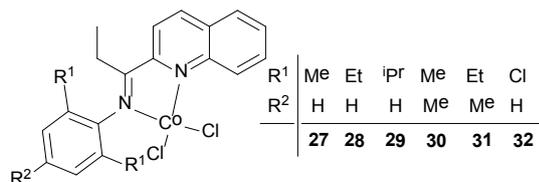
Our group has synthesized *N,N*-bidentate iron and cobalt complexes bearing 2-(benzimidazolyl)pyridine ligands and have investigated their ethylene oligomerization potential.<sup>34</sup> Upon activation with different organoaluminium co-catalysts at an ambient pressure of ethylene, the complexes **19–26** (Scheme 5) exhibited very low activities (Table 4). The results obtained showed that complexes of type **21** possessed relatively higher activities than did complexes **19** (entries 2, 3, 8, and 9, Table 4); the same tendency was also observed for **23–26** (entries 6, 7, 11, and 12, Table 4). The trends were

attributed to deprotonation of the N-H group to afford anionic amide ligands, and formation of N-Al species which upon activation by the organoaluminium co-catalyst led to increased catalytic activity. The iron complexes **23** and **24**,  
 5 possessing a carboxylate group at the 6-position of the pyridine ring, exhibited activities somewhat higher than those of their analogues **19** and **20** (entries 1, 5-7, Table 4). This was assumed to be either the result of enhanced solubility or possibly an electron-withdrawing effect of the carboxylate  
 10 group and a weak metal-oxygen interaction.<sup>27</sup> Interestingly, the presence of an ester group in such catalysts also led to lower amounts of C<sub>6</sub> in the products than for the other systems; this was thought to be due to faster elimination. Overall though, the activities associated with the cobalt complexes  
 15 remained relatively unchanged on changing the substituents at pyridine in such benzimidazole cobalt complexes.<sup>34</sup>

**Table 4.** Oligomer composition<sup>34</sup> as catalyzed by pre-catalysts **19-26**<sup>a</sup>

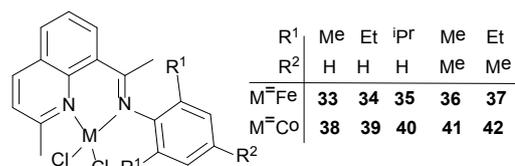
| Entry | Complex   | Co-catalyst          | Al/M | Activity <sup>b</sup> | oligomer distribn (%) <sup>c</sup> |                    |
|-------|-----------|----------------------|------|-----------------------|------------------------------------|--------------------|
|       |           |                      |      |                       | C <sub>4</sub> /ΣC                 | C <sub>6</sub> /ΣC |
| 1     | <b>19</b> | MMAO                 | 1000 | 0.86                  | 81.4                               | 18.6               |
| 2     | <b>19</b> | Et <sub>2</sub> AlCl | 500  | 0.56                  | 58.7                               | 41.3               |
| 3     | <b>19</b> | Et <sub>2</sub> AlCl | 200  | 0.38                  | 60.2                               | 39.8               |
| 4     | <b>19</b> | MAO                  | 1000 | 0.26                  | 53.9                               | 46.1               |
| 5     | <b>20</b> | MMAO                 | 1000 | 0.78                  | 68.3                               | 31.7               |
| 6     | <b>23</b> | MMAO                 | 1000 | 5.15                  | 96.3                               | 3.4                |
| 7     | <b>24</b> | MMAO                 | 1000 | 3.33                  | 96.3                               | 3.7                |
| 8     | <b>21</b> | Et <sub>2</sub> AlCl | 500  | 1.29                  | 67.4                               | 32.6               |
| 9     | <b>21</b> | Et <sub>2</sub> AlCl | 200  | 1.41                  | 70.5                               | 29.5               |
| 10    | <b>22</b> | Et <sub>2</sub> AlCl | 200  | 0.80                  | 37.4                               | 62.6               |
| 11    | <b>25</b> | Et <sub>2</sub> AlCl | 200  | 1.13                  | 81.6                               | 18.4               |
| 12    | <b>26</b> | Et <sub>2</sub> AlCl | 200  | 0.76                  | 77.9                               | 22.1               |

<sup>a</sup> Conditions: 5 μmol of pre-catalyst, 100 mL of toluene, 20 atm of ethylene, 20 °C, 30 min. <sup>b</sup> In units of 10<sup>4</sup> g (mol of M)<sup>-1</sup> h<sup>-1</sup> atm<sup>-1</sup>. <sup>c</sup> Determined by GC; ΣC signifies the total amounts of oligomers.



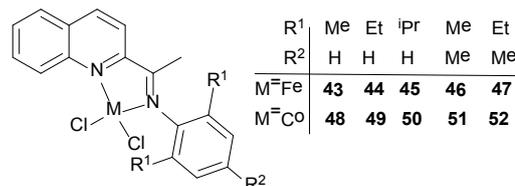
**Scheme 6** *N,N*-bidentate cobalt(II) complexes

bearing 2-(1-aryliminopropylidene)quinolines<sup>35</sup>



**Scheme 7** *N,N*-bidentate cobalt(II) and iron(II) complexes

bearing 8-(1-aryliminoethylidene)quinaldines<sup>36</sup>



**Scheme 8** *N,N*-bidentate cobalt(II) and iron(II) complexes

bearing 2-(1-aryliminoethylidene)quinolines<sup>37</sup>

Our group has synthesized a series of *N,N*-bidentate iron  
 30 and cobalt complexes bearing either 2-(1-aryliminopropylidene)quinolines (Scheme 6, **27-32**),<sup>35</sup> 8-(1-aryliminoethylidene)quinaldines (Scheme 7, **33-42**)<sup>36</sup> or 2-(1-aryliminoethylidene)quinolines (Scheme 8, **43-52**).<sup>37</sup>

For the 2-(1-aryliminopropylidene)quinolylcobalt(II)  
 35 dichlorides (Scheme 6, **27-32**), low activities were observed at ambient pressure of ethylene.<sup>35</sup> However, on increasing the pressure to 10 atm of ethylene and using a molar ratio [Al/Co] of 1000:1 at room temperature, a dimerization activity of 1.9 × 10<sup>6</sup> g mol<sup>-1</sup> (Co) h<sup>-1</sup> was achieved with MAO, and 9.4 ×  
 40 10<sup>5</sup> g mol<sup>-1</sup> (Co) h<sup>-1</sup> in the presence of MMAO. Variation of the Al/Co molar ratio or an increase in the reaction temperature led to reduced catalytic activity. At 80 °C, both butenes and polyethylene were observed albeit with low activity, whilst on further increasing the temperature (90 °C),  
 45 polyethylene solely was obtained. Further studies using all pre-catalysts at an Al/Co molar ratio of 1000 and at 20 °C and 10 atm revealed the observed activity order **29** > **28** > **27** and **31** > **30** (Scheme 6, **27-32**), all of which were higher than **32** (entries 1-6, Table 5). The observed trend was consistent with  
 50 bulkier substituents affording enhanced protection at the active site, and thereby maintaining the stability of the catalytic system.<sup>32</sup> It should be noted that in these system, bidentate ligation provided less electronic donation *versus* the tridentate ligand sets discussed in section 3. In the case of the  
 55 observations for **28** vs. **31** and **27** vs. **30**, ligands bearing an additional methyl group were found to exhibit enhanced activity which was attributed to better solubility (entries 1, 2, 4, and 5, Table 5). At 90 °C and 10 atm ethylene over 30 min, the activity trend was **29** > **28** > **27** > **32** and **31** > **30** > **32**  
 60 (entries 1-6, Table 6), consistent with the activity trends observed for ethylene dimerization at room temperature.<sup>35</sup> The polyethylene products possessed similar molecular weights with narrow molecular weight distributions (2.82 – 3.98); similar cobalt active species were assumed. The use of higher  
 65 temperatures and increased amounts of MAO afforded polyethylene of broader molecular weight distribution, *ie* catalysis by multi-active species.<sup>19, 38-42</sup>

For the 2-(1-aryliminoethylidene)quinoline complexes **33**

**42** (Scheme 7),<sup>36</sup> activation with MMAO led to good activity for ethylene dimerization; the iron(II) pre-catalysts exhibited higher activities and a better selectivity for  $\alpha$ -butene.<sup>36</sup> The activity trends (iron) **35** > **37** > **36** > **34** > **33** (entries 1, 5-8, Table 7) and (cobalt) **40** > **42** > **41** > **39** > **38** (entries 9, 13-16, Table 7) were observed. As elsewhere, such observations were consistent with bulkier substituents affording better catalytic activity (an additional substituents at the *para*-position of the aryl group also yields higher activity).<sup>36</sup> For the cobalt systems, relatively lower activities were exhibited with lower selectivity for  $\alpha$ -butene (entries 1-16, Table 7). On increasing the reaction temperature from 30 °C to 60 °C, the activity for **35** decreased rapidly (from  $5.71 \times 10^5$  g.mol<sup>-1</sup>(Fe).h<sup>-1</sup> to  $0.78 \times 10^5$  g.mol<sup>-1</sup>(Fe).h<sup>-1</sup>) (entries 1-4, Table 7); similarly, for **40** increasing the reaction temperature from 20 °C to 50 °C resulted in a large decrease in the activity (from  $4.89 \times 10^5$  g.mol<sup>-1</sup>(Fe).h<sup>-1</sup> to  $1.97 \times 10^5$  g.mol<sup>-1</sup>(Co).h<sup>-1</sup>) (entries 9-12, Table 7), consistent with thermally unstable active species.<sup>38</sup>

**Table 5.** Ethylene dimerization<sup>35</sup> using pre-catalysts **27-32**/MAO<sup>a</sup>

| Entry | Complex   | Butene yield/g <sup>b</sup> | Activity <sup>c</sup> | $\alpha$ -C4/ $\Sigma$ C4 |
|-------|-----------|-----------------------------|-----------------------|---------------------------|
| 1     | <b>27</b> | 2.11                        | 8.4                   | 53.3%                     |
| 2     | <b>28</b> | 2.51                        | 10                    | 57.6%                     |
| 3     | <b>29</b> | 4.75                        | 19                    | 59.8%                     |
| 4     | <b>30</b> | 2.43                        | 9.7                   | 51.8%                     |
| 5     | <b>31</b> | 3.02                        | 12                    | 59.8%                     |
| 6     | <b>32</b> | 1.91                        | 7.6                   | 56.4%                     |

<sup>a</sup> Conditions: 5  $\mu$ mol of pre-catalyst, 1000 of Al/Co, 100 mL of toluene, 10 atm of ethylene, 20 °C, 30 min. <sup>b</sup> Determined by GC. <sup>c</sup>  $10^5$  g mol<sup>-1</sup> (Co) h<sup>-1</sup>.

For the 2-(1-aryliminoethylidene)quinoline family (Scheme 8, **43-52**),<sup>37</sup> cobalt complexes **43-47** exhibited higher activity, a better selectivity for  $\alpha$ -C4 and enhanced thermo-stability for ethylene dimerization over the analogous pre-catalysts bearing 2-(1-aryliminopropylidene)quinolines;<sup>35</sup> no polyethylene was obtained at high temperatures (entries 1-11, Table 8). The use of bulkier substituents on the arylimino group led to enhanced

**Table 6.** Ethylene polymerization<sup>35</sup> using pre-catalysts **27-32**/MAO<sup>a</sup>

| Entry | Complex   | Product yield/mg <sup>b</sup> | Activity <sup>b</sup> | $M_w^c \times 10^{-4}$ | $M_w/M_n^c$ |
|-------|-----------|-------------------------------|-----------------------|------------------------|-------------|
| 1     | <b>27</b> | 105                           | 4.2                   | 11.16                  | 3.87        |
| 2     | <b>28</b> | 115                           | 4.6                   | 9.54                   | 3.98        |
| 3     | <b>29</b> | 130                           | 5.2                   | 12.54                  | 3.79        |
| 4     | <b>30</b> | 113                           | 4.5                   | 10.73                  | 3.97        |
| 5     | <b>31</b> | 123                           | 4.9                   | 9.25                   | 2.82        |
| 6     | <b>32</b> | 98                            | 4.0                   | 10.41                  | 3.72        |

<sup>a</sup> Conditions: 5  $\mu$ mol of pre-catalyst, 3000 of Al/Co, 100 mL of toluene, 10 atm of ethylene, 90 °C, 30 min. <sup>b</sup>  $10^4$  g mol<sup>-1</sup> (Co) h<sup>-1</sup>. <sup>c</sup> Determined by GPC vs. polystyrene standards.

**Table 7.** Ethylene dimerization<sup>36</sup> with pre-catalysts **33-42**/MMAO<sup>a</sup>

| Entry | Complex        | T/°C | Al/M | Activity <sup>b</sup> | Oligomer Distribution <sup>c</sup> (%) |                             |                             |
|-------|----------------|------|------|-----------------------|--|-----------------------------|-----------------------------|
|       |                |      |      |                       | $\alpha$ -C4                           | C <sub>4</sub> / $\Sigma$ C | C <sub>6</sub> / $\Sigma$ C |
| 1     | <b>35</b> (Fe) | 30   | 1500 | 5.71                  | 99.6                                   | 97.5                        | 2.5                         |
| 2     | <b>35</b> (Fe) | 40   | 1500 | 4.64                  | 95.5                                   | 96.2                        | 3.2                         |
| 3     | <b>35</b> (Fe) | 50   | 1500 | 3.52                  | 96.4                                   | 95.7                        | 4.3                         |
| 4     | <b>35</b> (Fe) | 60   | 1500 | 0.78                  | 94.6                                   | 96.8                        | 3.2                         |
| 5     | <b>33</b> (Fe) | 30   | 1500 | 4.37                  | 99.1                                   | 97.7                        | 2.3                         |
| 6     | <b>34</b> (Fe) | 30   | 1500 | 4.77                  | 98.9                                   | 97.8                        | 2.2                         |
| 7     | <b>36</b> (Fe) | 30   | 1500 | 4.94                  | 98.2                                   | 99.1                        | 0.9                         |
| 8     | <b>37</b> (Fe) | 30   | 1500 | 4.97                  | 99.3                                   | 98.5                        | 1.5                         |
| 9     | <b>40</b> (Co) | 20   | 2500 | 4.89                  | 59.9                                   | 98.4                        | 1.6                         |
| 10    | <b>40</b> (Co) | 30   | 2500 | 3.88                  | 53.3                                   | 98.9                        | 1.1                         |
| 11    | <b>40</b> (Co) | 40   | 2500 | 2.20                  | 68.6                                   | 98.7                        | 1.3                         |
| 12    | <b>40</b> (Co) | 50   | 2500 | 1.97                  | 80.4                                   | 98.3                        | 1.7                         |
| 13    | <b>38</b> (Co) | 20   | 2500 | 3.03                  | 58.4                                   | 98.2                        | 1.8                         |
| 14    | <b>39</b> (Co) | 20   | 2500 | 3.34                  | 57.2                                   | 96.5                        | 3.5                         |
| 15    | <b>41</b> (Co) | 20   | 2500 | 3.07                  | 57.6                                   | 98.2                        | 1.8                         |
| 16    | <b>42</b> (Co) | 20   | 2500 | 3.56                  | 56.5                                   | 97.2                        | 2.8                         |

<sup>a</sup> Conditions: 5  $\mu$ mol of pre-catalyst, 100 mL of toluene, 10 atm of ethylene, 30 min. <sup>b</sup>  $10^5$  g mol<sup>-1</sup> h<sup>-1</sup>. <sup>c</sup> Determined by GC;  $\Sigma$ C denotes the total amounts of oligomers.

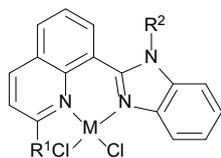
activity as well as a high selectivity for ethylene dimerization. The presence of an additional *para* methyl group also led to improved activities. Surprisingly, the cobalt pre-catalysts **43-47** were more active than their iron counterparts **48-52** (entries 1, 8-16, Table 8). For example, the cobalt pre-catalyst **47** was found to possess an activity of  $1.82 \times 10^6$  g mol<sup>-1</sup> (Co) h<sup>-1</sup> versus the iron pre-catalyst **52** at  $5.89 \times 10^5$  g mol<sup>-1</sup> (Fe) h<sup>-1</sup> (entries 11, 16, Table 8); note however that different aluminium co-catalysts were employed.<sup>37</sup> In addition, the cobalt pre-catalyst **45**/MAO maintained a reasonable activity (for ethylene dimerization – entries 1 – 7, Table 8) at temperatures as high as 60 °C, which was indicative of enhanced thermal stability versus the active species derived from the iron complexes.

**Table 8.** Ethylene dimerization<sup>37</sup> using the pre-catalyst **43-52**<sup>a</sup>

| Entry           | Complex        | T/°C | Al/M | Activity <sup>b</sup> | Oligomer distribution <sup>c</sup> (%) |                             |                             |
|-----------------|----------------|------|------|-----------------------|--|-----------------------------|-----------------------------|
|                 |                |      |      |                       | $\alpha$ -C4                           | C <sub>4</sub> / $\Sigma$ C | C <sub>6</sub> / $\Sigma$ C |
| 1 <sup>d</sup>  | <b>45</b> (Co) | 20   | 1000 | 16.5                  | 67.1                                   | 99.8                        | 0.2                         |
| 2 <sup>d</sup>  | <b>45</b> (Co) | 30   | 1000 | 12.7                  | 65.1                                   | 99.8                        | 0.2                         |
| 3 <sup>d</sup>  | <b>45</b> (Co) | 40   | 1000 | 11.2                  | 62.1                                   | 99.6                        | 0.4                         |
| 4 <sup>d</sup>  | <b>45</b> (Co) | 60   | 1000 | 10.6                  | 65.3                                   | 99.3                        | 0.7                         |
| 5 <sup>d</sup>  | <b>45</b> (Co) | 80   | 1000 | 8.0                   | 66.7                                   | 98.6                        | 1.4                         |
| 6 <sup>d</sup>  | <b>45</b> (Co) | 90   | 1000 | Trace                 | -                                      | -                           | -                           |
| 7 <sup>d</sup>  | <b>45</b> (Co) | 100  | 1000 | -                     | -                                      | -                           | -                           |
| 8 <sup>d</sup>  | <b>43</b> (Co) | 20   | 1000 | 12.2                  | 67.2                                   | 99.4                        | 0.6                         |
| 9 <sup>d</sup>  | <b>44</b> (Co) | 20   | 1000 | 13.0                  | 65.3                                   | 99.6                        | 0.4                         |
| 10 <sup>d</sup> | <b>46</b> (Co) | 20   | 1000 | 17.1                  | 67.9                                   | 99.7                        | 0.3                         |
| 11 <sup>d</sup> | <b>47</b> (Co) | 20   | 1000 | 18.2                  | 65.5                                   | 99.8                        | 0.2                         |
| 12 <sup>e</sup> | <b>48</b> (Fe) | 20   | 2500 | 3.61                  | 61.5                                   | 100                         | -                           |
| 13 <sup>e</sup> | <b>49</b> (Fe) | 20   | 2500 | 3.91                  | 62.2                                   | 100                         | -                           |
| 14 <sup>e</sup> | <b>50</b> (Fe) | 20   | 2500 | 3.99                  | 64.1                                   | 100                         | -                           |
| 15 <sup>e</sup> | <b>51</b> (Fe) | 20   | 2500 | 4.49                  | 65.5                                   | 100                         | -                           |
| 16 <sup>e</sup> | <b>52</b> (Fe) | 20   | 2500 | 5.89                  | 66.3                                   | 100                         | -                           |

<sup>a</sup> Conditions: 5  $\mu$ mol of pre-catalyst, 100 mL of toluene, 10 atm of ethylene, 30 min. <sup>b</sup> 10<sup>5</sup> g mol<sup>-1</sup> h<sup>-1</sup>. <sup>c</sup> Determined by GC;  $\Sigma$ C denotes the total amounts of oligomers. <sup>d</sup> Co-catalyst: MAO. <sup>e</sup> Co-catalyst: MMAO.

5



| M    | R <sup>1</sup> |           |           |           |           |           |           |           |           |           |           |           |           |           |
|------|----------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|      | Me             | Me        | Et        | Et        | Et        | Et        | iPr       | iPr       | iPr       | iPr       | Ph        | Ph        | Ph        | Ph        |
| M    | R <sup>2</sup> |           |           |           |           |           |           |           |           |           |           |           |           |           |
|      | H              | Me        | H         | Me        | Et        | iPr       | H         | Me        | Et        | iPr       | H         | Me        | Et        | iPr       |
| M=Fe | <b>53</b>      | <b>54</b> | <b>55</b> | <b>56</b> | <b>57</b> | <b>58</b> | <b>59</b> | <b>60</b> | <b>61</b> | <b>62</b> | <b>63</b> | <b>64</b> | <b>65</b> | <b>66</b> |
| M=Co | <b>67</b>      | <b>68</b> | <b>69</b> | <b>70</b> | <b>71</b> | <b>72</b> | <b>73</b> | <b>74</b> | <b>75</b> | <b>76</b> | <b>77</b> | <b>78</b> | <b>79</b> | <b>80</b> |

**Scheme 9** *N,N*-bi-dentate iron(II) and cobalt(II) complexes

bearing 8-(benzimidazol-2-yl) quinolines<sup>25,26</sup>

Our group has also investigated the ethylene oligo-  
 10 /polymerization behaviour of iron and cobalt complexes bearing 8-(benzimidazol-2-yl)quinolones (scheme 9).<sup>25,26</sup> At 1 bar ethylene, the catalytic behaviour of type **67** pre-catalyst with co-catalysts of methylaluminumoxane (MAO), modified methylaluminumoxane (MMAO), and triisobutylaluminium  
 15 (*i*Bu<sub>3</sub>Al) was evaluated. The various oligomers obtained ranged from C<sub>4</sub> to C<sub>18</sub> with high selectivity for  $\alpha$ -olefins (>95 %); a Schulz-Flory distribution was observed.<sup>26</sup> At 10 bar ethylene, on increasing the reaction temperature from 20 to 60 °C, the catalytic activity decreased for cobalt pre-catalyst **67**, and more low-molecular-weight oligomers were  
 20 formed. At 80 °C however, only trace polyethylene was isolated, but no oligomers.<sup>26</sup> The substituents R<sup>1</sup> and R<sup>2</sup> were found to have an influence on the catalytic performance of **67–80**. On fixing R<sup>2</sup>, the oligomerization activity gradually  
 25 increased on increasing the bulk of R<sup>1</sup>. By contrast, on fixing R<sup>1</sup>, the catalytic performance observed followed the order H >

Me > Et > *i*Pr.<sup>26</sup> At 30 bar ethylene, iron(II) and cobalt complexes bearing 2-R<sup>1</sup>-8-(1-R<sup>2</sup>-benzimidazol-2-yl) quinolines afforded highly active ethylene polymerization  
 30 catalysts upon activation with MAO at 100 °C.<sup>25</sup> Under the optimized reaction conditions (30 atm ethylene, Al/Fe = 3000, 100 °C), the activity of **53** reached 6.11 × 10<sup>6</sup> g. mol<sup>-1</sup>(Fe).h<sup>-1</sup> and that of **67** reached 1.83 × 10<sup>6</sup> g (mol of Co)<sup>-1</sup> h<sup>-1</sup> C<sup>-1</sup><sub>ethylene</sub>.<sup>25,26</sup> In the series of pre-catalysts with R<sup>1</sup> = Et, with the  
 35 exception of **55** with R<sup>2</sup> = H, the activity order observed, namely **56** > **57** > **58**, appeared to be governed by the electronic influence of the ligands (electron-donating alkyl substituents R<sup>2</sup> = Me (**56**) < Et (**57**) < *i*Pr (**58**)).<sup>25</sup> This is consistent with slow ethylene insertion at the electron-rich  
 40 active species.<sup>20</sup> The same trend of catalytic activities was also observed in the series of iron pre-catalysts with R<sup>1</sup> = Me, Pr, or Ph, respectively. For the series of iron pre-catalysts with R<sup>2</sup> = Me, the activities order was R<sup>1</sup> = Me (**54**) > Ph (**64**) > *i*Pr (**60**) > Et (**56**).<sup>25</sup> As well as the electronic influence  
 45 used to explain the better activities of pre-catalysts **54** (Me) and **64** (Ph),<sup>20</sup> the presence of bulkier substituents could protect the active species, *ie* Ph (**64**) > Pr (**60**) > Et (**56**).<sup>4, 5, 38, 43-47</sup> Similar (R<sup>1</sup> and R<sup>2</sup>) substituent influences on catalytic activities were also observed by the cobalt analogs bearing  
 50 related ligands.<sup>26</sup>

### 3. Tri-dentate iron and cobalt pre-catalysts

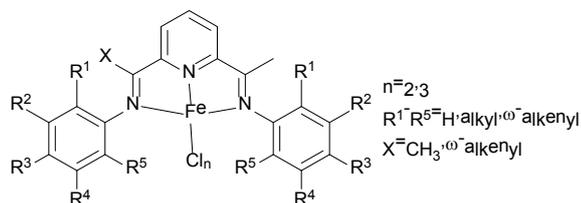
Tri-dentate iron/cobalt pre-catalysts usually exhibit higher activities compared to those exhibited by bi-dentate iron/cobalt pre-catalysts for both ethylene oligomerization and  
 55 polymerization, and so there has been much progress in this area in recent years.

#### 3.1 Bis(imino)pyridine type ligation

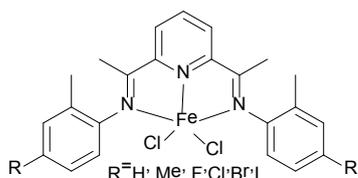
Following the discovery of iron and cobalt 2,6-bis(imino)pyridyl catalysts, most investigations have focused on modifying the ligand framework of the parent 2,6-bis(imino)pyridine ligand set either through changing the steric and/or electronic properties of the substituents at the *N*-bound aryl groups present.<sup>4,5,43,48</sup> Thus, numerous variations  
 65 of the original tri-dentate 2,6-bis(imino)pyridyl ligand have been reported, with many of them maintaining the same [*N,N*]-metal core in the catalyst systems.<sup>6,7,18,19,49,50</sup>

The Alt group investigated a family of bis(arylimino)pyridine iron(II) complexes bearing  $\omega$ -alkenyl substituents (Scheme 10) for ethylene oligomerization and  
 70 polymerization.<sup>51</sup> Complexes bearing substituents both at the 2- and 6-positions of the iminophenyl rings only produced polyethylene, whereas if only one *ortho* imino group was present, the complexes produced, depending on bulk of the  
 75 substituent, either oligomer/polymer mixtures or only oligomeric mixtures; the reaction conditions could also affect the products formed.<sup>52</sup> Usually, iron(III) complexes with alkyl substituted iminophenyl rings exhibit higher polymerization activities than do their iron(II) analogues, however the  $\alpha$ -alkenyl substituted iron(III) complexes exhibited similar  
 80 activities to their iron(II) analogues.<sup>51</sup> Longer  $\alpha$ -alkenyl chains on these bis(arylimino)pyridine had a positive effect on

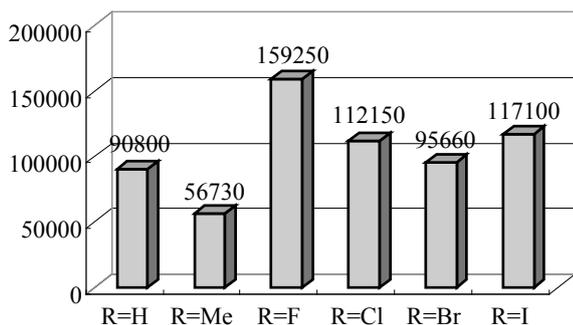
the observed polymerization activities, whereas longer alkyl chains on the bis(arylimino)pyridine led to decreased activity.



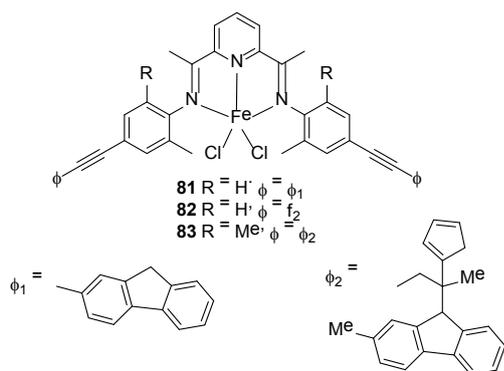
**Scheme 10** *N,N,N*-tri-dentate bis(arylimino)pyridine iron(II) complexes with  $\omega$ -alkenyl substituted<sup>51</sup>



**Scheme 11** *N,N,N*-tridentate bis(arylimino)pyridine iron(II) complexes<sup>43,53</sup>



**Chart 3.** Activities of 4-halogen-2-methyl substituted 2,6-bis(arylimino)pyridine iron complexes. All complexes produce 100 % oligomers.<sup>44,53</sup>



**Scheme 12** *N,N,N*-tridentate bis(arylimino)pyridine iron(II) complexes<sup>53</sup>

**Table 9.** Ethylene polymerization<sup>50</sup> results for the iron complexes **81-83**<sup>a</sup>

| Entry | Complex   | Activity | $M_n$ (g/mol) | $M_w$ (g/mol) | PD   | Oligomer share (wt.%) |
|-------|-----------|----------|---------------|---------------|------|-----------------------|
| 1     | <b>81</b> | 24590    | -             | -             | -    | 100                   |
| 2     | <b>82</b> | 74275    | 14050         | 198900        | 14.2 | -                     |
| 3     | <b>83</b> | 89820    | 16200         | 158000        | 9.70 | -                     |

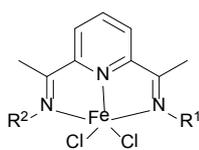
<sup>a</sup> Conditions: 250 mL of *n*-pentane, activator: MAO, Fe:Al = 1:2500, 10 bar ethylene, 60 °C, 1h. <sup>b</sup> kg mol<sup>-1</sup>(Fe) h<sup>-1</sup>.

The Alt group also studied the influence of the *para* aryl substituent (Scheme 11) on the oligomerization and polymerization of ethylene.<sup>51</sup> The complex bearing fluorine substituents<sup>44,53,54</sup> exhibited by far the highest activity amongst the 4-halogen-2-methyl substituted family of complexes (Chart 3).<sup>44,53</sup> Whilst those bearing chloro or bromo substituents at the *para* position afforded relatively lower activities (Scheme 11), the introduction of iodo substituents led to increased activity. Both the size and the electro-negativity of these substituents played an important role in determining activity. For small halogen substituents (F, Cl, Br), electro-negativity was the decisive factor (highest activity for the fluoro complex), whereas iodo substituents again led to a higher polymerization activity along with an increased content of higher molecular weight olefins.<sup>53</sup> When sterically demanding alkynyl substituted cyclopentadienyl/fluorenyl moieties were introduced, via Sonogashira coupling reactions, the resulting complexes produced exclusively polymers. The polymerization activities of **82** and **83** were somewhat higher than **81** (Scheme 12) (entries 1-3, Table 9). Bulky groups at the *para* positions also exerted an influence on the molecular weights of the resultant polymerization products. Although only one of the *ortho* positions of the ligand in **82** (Scheme 12) is substituted, the steric bulk of the cyclopentadienyl and fluorenyl motifs appeared high enough to significantly decrease the rate of  $\beta$ -H elimination. The average molecular weights  $M_n$  and  $M_w$  produced with **82**/MAO (Scheme 12) were 14050 and 198,900 g/mol, respectively, and are very similar to those obtained for the polyethylene produced using **83**/MAO ( $M_n = 16200$  g/mol,  $M_w = 158,000$  g/mol) (entries 1-3, Table 9). Given this, it appears that occupation of both *ortho* positions on the iminoaryl groups is not a pre-requisite for polymer production in such catalysts, though the need to occupy the *para* positions with sterically demanding groups then becomes a factor.<sup>53</sup>

The Herrmann group has investigated the iron complexes **84-89** (Scheme 13) for the oligomerization and polymerization of ethylene and propylene using modified methylaluminoxane (MMAO) as activator.<sup>55</sup> Complex **87** showed very little activity for the polymerization of either ethylene or propylene. It is tempting to attribute the low activity of **87** to the distorted geometry brought about by the introduction of bulky biphenyl groups. In contrast, **85** exhibited activities as high as 10<sup>4</sup> kg of PE ((mol of Fe) h bar)<sup>-1</sup> for ethylene polymerization, but the activity for propylene was far lower. Complex **86** afforded, in the case of

propylene polymerization, only a low activity of about 200 kg of PP ((mol of Fe) h bar)<sup>-1</sup>, affording in the process atactic oligomers with molecular weight 300 and a polydispersity of 2.2. In contrast, use of **86** for ethylene polymerization led to much higher activity, up to 7.3 × 10<sup>4</sup> kg of PE ((mol of Fe) h bar)<sup>-1</sup>, but the polymers produced were of lower molecular weight compared to those obtained from **84**. This was explained in terms of the decreased steric hindrance imparted by the chelating ligand: one *ortho* substituent at the *N*-aryl ring is missing compared to **84**. Complex **89** acts as a hybrid of the two symmetric complexes **84** and **86**, and exhibits an average activity. The polymerization activity of the unsymmetrical catalyst **88** was found to be equivalent to the symmetric catalysts **85** and **86**, viz 8 × 10<sup>4</sup> kg of PE ((mol of Fe) h bar)<sup>-1</sup>. The molecular weights of the polymers formed were slightly higher for **88** than in the case of the symmetric catalyst **85**. However, use of **88** for propylene polymerization was less successful. Indeed, at 0 °C, the activity was 1500 kg of PP ((mol of Fe) h bar)<sup>-1</sup>, which decreased rapidly on increasing the temperature (60 °C). The oligomers obtained on reaction at 0 °C had a molecular weight of around 200.<sup>55</sup>

Ionkin *et al.* have investigated iron(II) and iron(III) complexes modified using a cyano group for the production of  $\alpha$ -olefins in 120 °C.<sup>56</sup> It was found that the both types of complexes **91-95**, (Scheme 14) and **98-102**, (Scheme 14) afforded productive catalysts for the synthesis of  $\alpha$ -olefins (entries 2-7,10-14, Table 10). The Schulz-Flory distributions of  $\alpha$ -olefins appear more ideal and their K values higher than for the parent symmetric methyl substituted Fe(II) complex **90** (Scheme 14) (entries 1-7,10-14, Table 10). Complexes functionalized with a *para* cyano (nitrilo) group **98-102** tended to afford  $\alpha$ -olefins with higher Schulz-Flory K values and with smaller amounts of insoluble  $\alpha$ -olefins than did the corresponding complexes minus the *para* cyano groups **91-95** (entries 2-7,10-14, Table 10). However, the nitrilo complexes **98-102** were found to be less productive (entries 10-14, Table 10). It was also found that symmetrically substituted **96** with nitrilo groups at both *ortho* positions produced trace (if any)  $\alpha$ -olefins even when used at high concentrations (entry 8, Table 10). Symmetric **97**, with CNs in both *para* positions, in contrast to **90**, had a very high K of 0.69 (entries 1, 14, Table 10).<sup>56</sup>



- 84** R<sup>1</sup>, R<sup>2</sup>=2,6-diisopropylphenyl  
**85** R<sup>1</sup>, R<sup>2</sup>=1-anthracenyl  
**86** R<sup>1</sup>, R<sup>2</sup>=2-isopropylphenyl  
**87** R<sup>1</sup>, R<sup>2</sup>=2-biphenyl  
**88** R<sup>1</sup>=2,6-diisopropylphenyl; R<sup>2</sup>=1-anthracenyl  
**89** R<sup>1</sup>=2,6-diisopropylphenyl; R<sup>2</sup>=2-isopropylphenyl

**Scheme 13** *N,N,N*-tridentate bis(imino)pyridine-substituted iron(II) complexes<sup>55</sup>

Ionkin and co-workers have also studied iron(II) complexes modified by a boryl group for the production of  $\alpha$ -olefins at high temperature.<sup>57</sup> The non-symmetrical mono-borylated **103** (Scheme 15) was found to behave as a polymerization catalyst at high temperatures producing lot of solids. The non-symmetrically complexes **104** and **105** (Scheme 15) exhibited

greater thermal stability, but were less productive than the parent symmetric complex **90** (Scheme 14), though more desirable product distributions were achieved.<sup>57</sup>

**Table 10.**  $\alpha$ -Olefins from ethylene oligomerizations<sup>56</sup> by iron(II) and iron(III) complexes **90-102**<sup>a</sup>

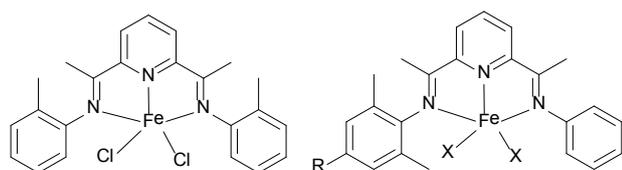
| Entry | Pre-catalyst and amount ( $\mu$ mol) | Amount of the co-catalyst, MMAO (mmol) | "K" Value of the Schulz-Flory Distribution <sup>b</sup> | Kilograms of $\alpha$ -Olefins per gram of catalyst | % Solids in Total $\alpha$ -Olefin <sup>c</sup> | Schulz-Flory Distribution <i>R</i> <sup>2</sup> |
|-------|--------------------------------------|--|---|---|---|---|
| 1     | <b>90</b> ; 0.06                     | 1.13                                   | 0.59  | 458   | 3.44  | 0.9862  |
| 2     | <b>91</b> ; 0.08                     | 2.26                                   | 0.62  | 263   | 3.3   | 0.9876  |
| 3     | <b>92</b> ; 0.18                     | 2.26                                   | 0.62  | 115   | 8.22  | 0.9989  |
| 4     | <b>93</b> ; 0.64                     | 2.26                                   | 0.61  | 102   | 2.76  | 0.9928  |
| 5     | <b>94</b> ; 0.07                     | 2.26                                   | 0.61  | 165   | 3.43  | 0.9849  |
| 6     | <b>95</b> ; 0.19                     | 2.26                                   | 0.62  | 210   | 1.09  | 0.9928  |
| 7     | <b>95</b> ; 0.06                     | 2.26                                   | 0.61  | 208   | 1.80  | 0.997   |
| 8     | <b>96</b> ; 0.80                     | 2.26                                   |   | Trace   |   |   |
| 9     | <b>97</b> ; 0.77                     | 2.26                                   | 0.69  | 15  | 15.36   | 0.9764  |
| 10    | <b>98</b> ; 0.20                     | 2.26                                   | 0.63  | 147   | 3.45  | 0.9989  |
| 11    | <b>99</b> ; 0.20                     | 2.26                                   | 0.61  | 103   | 1.84  | 0.9983  |
| 12    | <b>100</b> ; 0.09                    | 2.26                                   | 0.62  | 189   | 2.20  | 0.9979  |
| 13    | <b>101</b> ; 0.15                    | 2.26                                   | 0.64  | 75  | 1.95  | 0.999   |
| 14    | <b>102</b> ; 0.18                    | 2.26                                   | 0.61  | 138   | 1.72  | 0.9916  |

<sup>a</sup> Conditions: solvent, *o*-xylene; pressure, 700 psig; temperature, 120 °C.

<sup>b</sup> Determined from GC, using extrapolated values for C-10 and C-12.

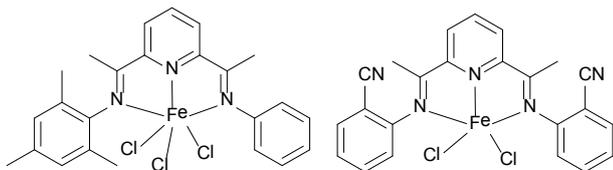
<sup>c</sup> Xylenes insoluble fraction of  $\alpha$ -olefins.

The same group also investigated ethylene oligomerization by the iron complexes **106-108** (Scheme 16) and the Co-based complex **109** (Scheme 16), each functionalized with double patterns of substitutions, *ie o*-methyl plus *o*-fluorine in the same iminoaryl arm.<sup>58</sup> The Fe-based **106-108** afforded very active catalysts for the production of  $\alpha$ -olefins with a more ideal Schulz-Flory distribution of  $\alpha$ -olefins and with higher K values than did the parent methyl substituted Fe(II) complex **90** (Scheme 14). Complex **109** was found to have a very low activity for oligomerization, which is typical of such cobalt complexes.<sup>4</sup> The limited observations appeared to suggest that non-symmetrical **107** and **108** possessed



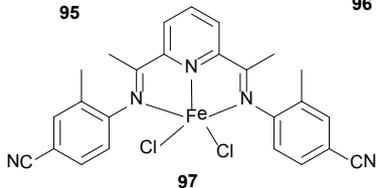
90

91  $R^{\ominus}Me^{\ominus}$ ;  $X^{\ominus}Cl$ ; 92  $R^{\ominus}Me^{\ominus}$ ;  $X^{\ominus}Br$ ;  
93  $R^{\ominus}H$ ;  $X^{\ominus}Cl$ ; 94  $R^{\ominus}Br$ ;  $X^{\ominus}Cl$

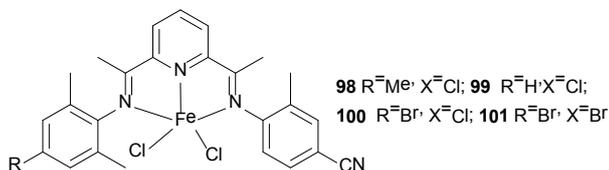


95

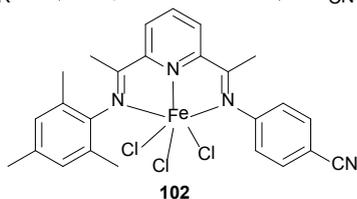
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97

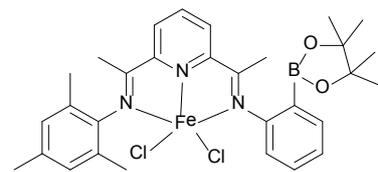


98  $R^{\ominus}Me^{\ominus}$ ;  $X^{\ominus}Cl$ ; 99  $R^{\ominus}H$ ;  $X^{\ominus}Cl$ ;  
100  $R^{\ominus}Br$ ;  $X^{\ominus}Cl$ ; 101  $R^{\ominus}Br$ ;  $X^{\ominus}Br$

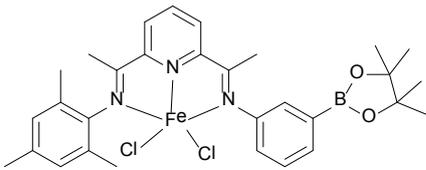


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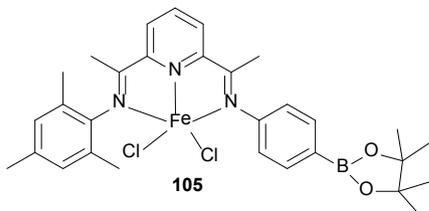
Scheme 14 *N,N,N*-tridentate bis(imino)pyridine-substituted iron(II) and iron(III) complexes<sup>56</sup>



103



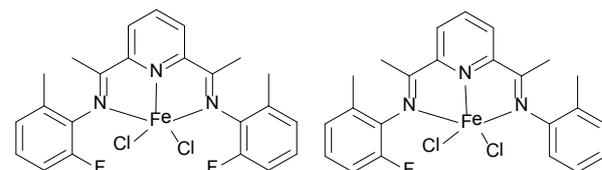
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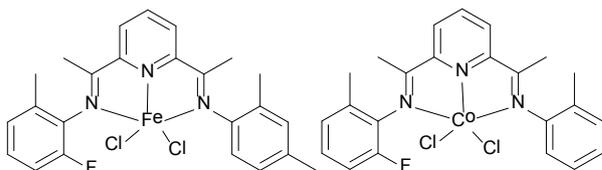
Scheme 15 *N,N,N*-tridentate bis(imino)pyridine-substituted iron(II) complexes modified by a boron group<sup>57</sup>

longer lifetimes than did the symmetrical **90** (Scheme 14) and **106** (Scheme 16) systems. The steric hindrance at the active center, particularly in terms of the number of *ortho* substituents present, appeared to affect the product distribution as well as catalyst productivities. It was noted that larger steric hindrance seemed to shift the distribution to longer chain  $\alpha$ -olefins, but also led to reduced productivity.<sup>58</sup>



106

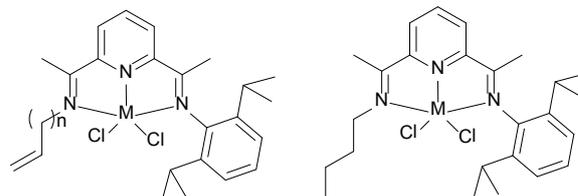
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108

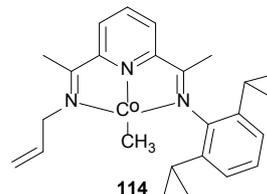
109

Scheme 16 *N,N,N*-tridentate bis(imino)pyridine-substituted iron(II) and cobalt(II) complexes<sup>58</sup>



$M^{\ominus}Co^{\ominus}$ ;  $n=1$  to 4: **110a-d**  
 $M^{\ominus}Fe^{\ominus}$ ;  $n=1$  to 4: **111a-d**

$M^{\ominus}Co^{\ominus}$  **112**;  $M^{\ominus}Fe^{\ominus}$  **113**

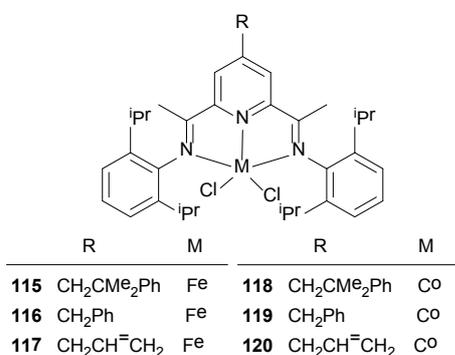


114

Scheme 17 *N,N,N*-tridentate bis(imino)pyridine-substituted iron(II) and cobalt(II) complexes with a pendant alkenyl group<sup>36,59</sup>

The Erker group has studied the effect of a pendant alkenyl group on the ethylene oligo-/polymerization of bis(imino)pyridine-type iron(II) and cobalt(II) complexes.<sup>59</sup> It was found that for iron, **110a**/MAO (Scheme 17) afforded the highest activity (60 g/mmol.h.bar) together with **114**/MAO (90 g/mmol.h.bar).<sup>60</sup> For the cobalt systems **110**/MAO or **114**/MAO, linear polyethylene was obtained. Treatment of the *N*-allyl iron complex **111a**/MAO gave a rather active catalyst system: an overall activity of 470 g/mmol.h.bar was observed. Direct activation in a Büchi autoclave gave a less (albeit slightly) active catalyst (activity of 420 g/mmol.h.bar). The reaction produced a mixture of polyethylene and a substantial

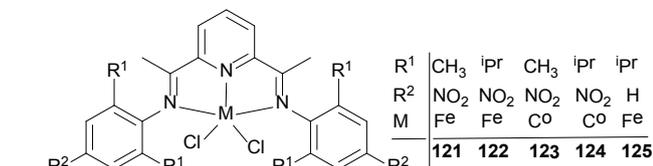
oligomeric fraction. The saturated *N*-(*n*-butyl) iron complex **111d**/MAO (Scheme 17) gave a slightly less active catalyst (activity ~ 130 g of PE + olig/mmole.h.bar), and produced mostly oligoethylenes (12.8 g isolated after 60 min of reaction time) and scant polyethylene (0.9 g) (*cf.* **111a**/MAO: 5.5 g of oligomers and 17.8 g of polyethylene after 30 min reaction time). The remaining catalyst systems **111b-d**/MAO fall between these two extremes: they all produced mixtures of C10-C15 oligoethylenes, with substantial amounts of polyethylene.<sup>59</sup>



**Scheme 18** *N,N,N*-tridentate iron(II) and cobalt(II) complexes

bearing 4-alkyl-2,6-diiminopyridine<sup>61</sup>

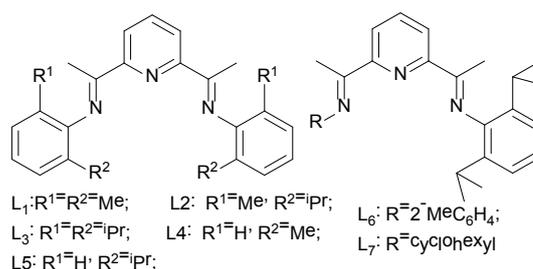
The Cámpora group have investigated whether the presence of 4-neophyl, 4-benzyl or 4-allyl groups would affect the ethylene polymerization properties of the complexes **115-120** (Scheme 18).<sup>61</sup> The impact of an alkyl substituent on the catalytic properties of the 4-neophyl and 4-benzyl derivatives was negligible, and basically only served to increase the solubility of the system. This suggests that the methodology could well be advantageous for the introduction of groups suitable for immobilization; other methods tend to have the linker functionality placed in a position where it may have a significant influence on the catalyst activity. As for the neophyl and benzyl groups, the introduction of a 4-allyl group on the pyridine ring did not alter the productivity of the corresponding Fe and Co catalysts (**117** and **120**), although the polymers generated were appreciably less soluble. GPC analyses of the polymers produced by **117** revealed much larger values of  $M_w$  (up to 180000) and a larger polydispersity index ( $M_w/M_n = 21$ ). This might perhaps be due to a self-immobilization occurring via co-polymerization of the pendant allyl group into the growing polyethylene chain.<sup>61</sup>



**Scheme 19** *N,N,N*-tridentate bis(imino)pyridine-substituted iron(II) and cobalt(II) complexes<sup>62</sup>

Wu and co-workers focused on the ethylene polymerization

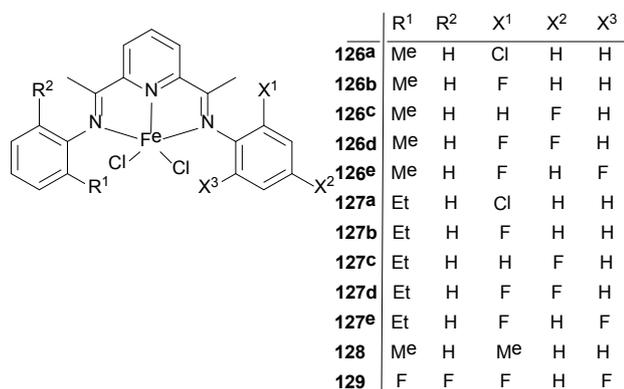
properties of *para* nitro substituted 2,6-bis(phenylimino)pyridyl Fe(II) and Co(II) complexes.<sup>62</sup> The iron pre-catalyst **122** (Scheme 19) displayed moderately increased catalytic activities relative to the non-nitro substituted analogue **125**, which was ascribed to the strong electron-withdrawing properties of the *para* nitro groups leading to increased Lewis acidic character at the cationic iron center. The *ortho* steric effect in such systems also played a significant role in controlling the activity and polymer properties, for example **121** possessing *ortho* methyl substituents on the aryl rings revealed much lower polymerization activities than did the *ortho* isopropyl analogue **122**. Pre-catalysts **121**/MAO and **122**/MAO produced linear, high molecular weight polymers with low branching, while the cobalt pre-catalysts **123** and **124** possessed low activities and formed low  $M_w$  products on treatment with MAO.<sup>62</sup>



**Scheme 20** Structure of the bis(imino)pyridyl ligands<sup>63</sup>

The Yang group investigated the ethylene polymerization characteristics of a series of iron and cobalt acetylacetonate complexes bearing different bis(imino)pyridyl ligands.<sup>63</sup> The use of solely Fe(acac)<sub>3</sub> did not produce an active system when MAO was used as the co-catalyst, whilst the strategy of adding Fe(acac)<sub>3</sub> and the ligands sequentially also led to no activity. To activate such systems, the bis(imino)-pyridyl ligands and the Fe(acac)<sub>3</sub> must be pre-mixed. In addition, the use of equal molar amounts of Fe(acac)<sub>3</sub> and ligand are a suitable ratio for achieving the highest activity.<sup>63</sup> The active species was formed by coordination between equal amounts of the iron acetylacetonate complex and the ligands. Broad bimodal polyethylene and oligomers could be obtained with these catalyst systems. The bis(imino)pyridyl ligands were capable of influencing these catalyst systems. High  $M_w$  polyethylene was obtained with the Fe(acac)<sub>3</sub>/L<sub>1</sub>, Fe(acac)<sub>3</sub>/L<sub>2</sub> and Fe(acac)<sub>3</sub>/L<sub>3</sub> (Scheme 20) systems. The main products were oligomers and polymers for the Fe(acac)<sub>3</sub>/L<sub>4</sub> and Fe(acac)<sub>3</sub>/L<sub>5</sub> systems. However, polymer was the predominant product with the Fe(acac)<sub>3</sub>/L<sub>6</sub> and Fe(acac)<sub>3</sub>/L<sub>7</sub> systems; only a comparatively small amount of oligomer was formed. The main products were 1-butene and 1-hexene, whilst no polymer was obtained with the Co(acac)<sub>3</sub>/L<sub>4</sub> and Co(acac)<sub>3</sub>/L<sub>5</sub> systems. The polymerization results revealed that on increasing the reaction temperature, the  $M_w$  was lowered. With decreased steric bulk, the number of active centers for preparing high  $M_w$  polyethylene decreased, and the effect of temperature on the MWD of the products became much more notable. The effect of the Al/Fe ratio on the polymer properties was also

sensitive to the steric bulk. When AlMe<sub>3</sub> present in commercial MAO was removed and the Al/Fe ratio was increased, higher *M<sub>w</sub>* polyethylene was obtained, and the *M<sub>w</sub>* value of the low *M<sub>w</sub>* part was increased. It was proposed that two kinds of active centers were present in the system. One used for preparing the low molar mass part was sensitive to AlMe<sub>3</sub>, whilst the other used for preparing the high molar mass part was less dependent on AlMe<sub>3</sub>; the latter needed more activation energy to form in comparison to the former active center. As a result, the combination of the multi-active centers and chain transfer could explain the results of the polymerization observed when using such Fe(acac)<sub>3</sub>/L<sub>n</sub> (n = 1-7) (Scheme 20) catalytic systems.<sup>63</sup>



**Scheme 21** *N,N,N*-tridentate asymmetric bis(imino)pyridine iron(II) complexes with alkyl and halogen substituents<sup>64</sup>

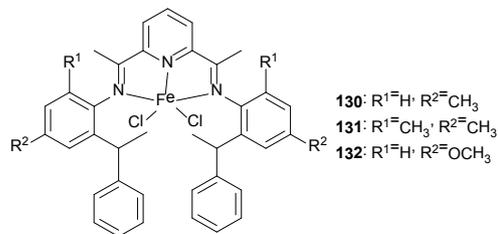
The Xie group has looked into the factors effecting ethylene oligomerization when using unsymmetrical bis(imino)pyridine iron(II) complexes bearing alkyl and halogen substituents.<sup>64</sup> Such Fe(II) complexes were highly active for ethylene oligomerization with a high selectivity for linear  $\alpha$ -olefins. The catalytic activities of **126a**, **126b** and **127b** (Scheme 21) were in excess of 10<sup>6</sup> g mol<sup>-1</sup> h<sup>-1</sup> atm<sup>-1</sup>, which is higher than that of the methyl or fluoro substituted symmetric 2,6-bis(arylimino)pyridyl iron(II) complexes. The products were mainly linear  $\alpha$ -olefins, with the highest yield recorded at over 98 %. The distribution of  $\alpha$ -olefins was between C4 and C24; no polymers were observed. The catalytic performance, especially the oligomer distribution, could be tuned by synergistic steric and electronic effects. The selectivity for C6 - C16 was more than 80 % in oligomers when catalyzed by **126a**, **126b** and **126d**, which is 15 - 30 % higher than that catalyzed by methyl or fluoro substituted symmetric 2,6-bis(imino)pyridyl iron(II) complexes and demonstrates potential for industrial application.<sup>64</sup>

The sterics associated with the alkyl substituents had a profound effect on the oligomer distribution. When the halogen substituents were the same but the alkyl substituents were different as in **126b** (methyl) and **127b** (ethyl), then **126b** produced significantly more C4 than **127b**, which was attributed to the smaller alkyl-steric effect and subsequently enhanced rates of chain transfer or  $\beta$ -hydrogen elimination. Moreover, similar trends were also found for **126c/127c** and

**126d/127d**, which is in accordance with the catalytic behavior of symmetric alkyl-substituted 2,6-bis(arylimino)pyridyliron(II) complexes. The steric effects apparently also worked in unsymmetrical 2,6-bis(imino)pyridyliron(II) complexes, and could be used to inhibit the production of low molar mass oligomers (C4).

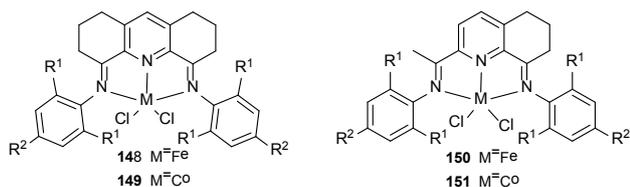
Electron withdrawing halogen groups could also exert an influence on the catalytic behavior of unsymmetrical 2,6-bis(imino)pyridyl iron(II) complexes, such that ligands containing halogen substituents enhanced the catalytic performance.<sup>45,54</sup> Complexes with only one fluoro substituent at the imino-*N* aryl ring *ortho* position had the highest oligomerization activity. The introduction of an electron withdrawing group could increase the electrophilicity of the central metal Fe and the coordination of ethylene, favoring the catalytic activity.<sup>44,54</sup> However, the catalytic activity decreased as more fluoro substituents were introduced into the phenyl ring, similar to the situation observed for the symmetric 2,6-bis(imino)pyridyl iron(II) complexes. A possible explanation is that the stronger electron withdrawing fluoro atoms could weaken the electron donation ability of the ligand, making the active species more prone to decomposition during the polymerization process.<sup>65,66</sup> The electronic effect of the halogen groups was also demonstrated in the oligomer distributions. When one of the methyl groups on the aryl rings of complex **128** was substituted by a fluoro substituent (**126b**), more C4 and less C18 were produced. Furthermore, when comparing the complexes **126a**, **126b**, **126d** and **129**, where the electron-withdrawing effect is gradually enhanced, a similar trend was seen. Similar results were also observed for **127a**, **127b**, **127d** and **129**, *ie* the electronic effect of a halogen can be utilized to inhibit the production of high molecular oligomers.<sup>64</sup>

Three new unsymmetrical bis(imino)pyridyliron(II) complexes (**130-132**, Scheme 22) were explored for their potential better thermo-stability.<sup>67</sup> Complex **131**, containing 2-methyl-6-*sec*-phenethyl substituents at the aniline moiety, exhibited a better activity and produced much higher molecular weight polyethylene as compared to the singly *ortho* substituted analogues **130** and **132** and the more established symmetrical 2,6-diisopropylphenyl-substituted complex **84** (Scheme 13). Furthermore, at 70 °C, the catalyst **131** maintained a high activity and relatively stable kinetics. The polymers obtained by the unsymmetrical and bulky alkyl-substituted catalysts possessed a bimodal molecular weight distribution due to the co-existence of two chain transfer pathways. The content of the low molecular weight fraction increased on increasing the Al/Fe ratio.<sup>67</sup>



**Scheme 22** *N,N,N*-tridentate bis(imino)pyridine iron(II) complexes bearing bulky and unsymmetrical substituted aniline groups<sup>67</sup>

Our group has systematically studied the ethylene polymerization characteristics of bis(imino)pyridine iron(II) complexes bearing different benzhydryl-substituents.<sup>22,23,68-74</sup> The complexes **133**, **137**, **140**, **142**, **144** (Scheme 23 – R<sup>1</sup> = Me, Et, *i*Pr; R<sup>2</sup> = H, Me) exhibited high activity during ethylene polymerization, producing linear polyethylene without any trace of oligomers. This was particularly the case upon activated with MMAO, and the observed activity was the highest reported for an iron-based pre-catalysts of this type. Typically, the activity of **133** was  $2.15 \times 10^7$  g mol<sup>-1</sup>(Fe) h<sup>-1</sup> at 80 °C, **137** was  $2.27 \times 10^7$  g mol<sup>-1</sup>(Fe) h<sup>-1</sup> at 70 °C, **140** was  $2.69 \times 10^7$  g mol<sup>-1</sup>(Fe) h<sup>-1</sup> at 60 °C and **142** was  $3.15 \times 10^7$  g mol<sup>-1</sup>(Fe) h<sup>-1</sup> at 60 °C, **144** was  $1.53 \times 10^7$  g mol<sup>-1</sup>(Fe) h<sup>-1</sup> at 60 °C, respectively. These result not only showed the highest activity for non-symmetric 2,6-bis(imino)pyridine iron(II) complexes bearing benzhydryl- substituted (**133**, **137**, **140**, **142**, **144**), but they also illustrated improved thermal stability, potentially making such systems of interest for industrial consideration.<sup>22,68,70,72,74</sup> However, **135** and **146** displayed only moderate activity and **139** exhibited low activity due to the steric bulk.<sup>20,65,71</sup> By incorporating a *para* chloro substituent, **137** was found to exhibit extremely high activity and produced highly linear polyethylene of low molecular weight and with narrow PDI, indicative of single-site catalytic behaviour.<sup>68</sup> Modification of the ligand by changing the relative positions of the benzhydryl substituents resulted in **140**, which revealed both high activity and good thermal stability.<sup>70</sup> In addition, when a *para* fluorophenyl was employed instead of the phenyl moiety within the benzhydryl substituents, the resultant iron pre-catalyst **142** exhibited even higher activities (in the 10<sup>8</sup> g/mol.h range), producing polyethylene of relatively high molecular weight.<sup>72</sup> When less benzhydryl substituents were present, pre-catalyst **144** exhibited a relatively lower activity, revealing that the presence of the bulky dibenzhydryl substituted anilines enhanced the catalytic performance of their metal complexes. When using cobalt instead of iron, the activity for ethylene polymerization of the pre-catalysts **134**, **138**, **141**, **143**, and **145** was one order of magnitude lower than the corresponding iron pre-catalysts.<sup>22,32, 68-71</sup> In addition, polymers obtained via the cobalt pre-catalysts **134**, **138**, **141**, **143**, and **145** possessed narrower molecular weight distributions, indicative of the formation of single-site active species.<sup>23,69,71,73,74</sup>



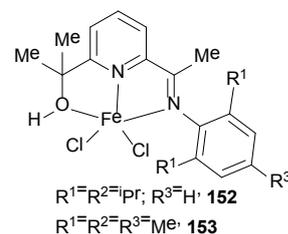
**Scheme 24** 1,8-diimino-2,3,4,5,6,7-hexahydroacridine and 2,8-bis(arylimino)-5,6,7-trihydroquinoline iron and cobalt complexes<sup>75-77</sup>

The 1,8-diimino-2,3,4,5,6,7-hexahydroacridine iron and cobalt complexes **148** and **149** (Scheme 24, left) were prepared via a one-pot synthesis and exhibited high activity for ethylene

polymerization when treated with methylaluminoxane.<sup>75</sup> The *ortho* substituents of the aryl rings and the type of metal employed played a significant role on ethylene activation and specifically on product distribution. The Fe complex bearing a 2,6-diisopropyl-substituted bis(imino)tetrahydroacridyl ligand produced polyethylene of moderate molecular weight (18000). On changing the *ortho* substitution from 2,6-diisopropyl to 2,6-dimethyl, the Co complexes produced polyethylene waxes and oligomers of predominantly  $\alpha$ -olefins simultaneously, and in the process obeying the Schulz-Flory distribution. The Co complexes bearing ligands with less sterically hindered substituents at the *ortho* position behaved exclusively as dimerization catalysts.<sup>75</sup> A series of 2,8-bis(arylimino)-5,6,7-trihydroquinoline iron and cobalt complexes **150** and **151** (Scheme 24, right) were also prepared and were screened for ethylene polymerization.<sup>76,77</sup> Upon treatment with either MAO or MMAO, complexes **150** and **151** exhibited very high activities for ethylene polymerization, for example, the activity of **150** reached  $2.4 \times 10^7$  g mol<sup>-1</sup> (Fe) h<sup>-1</sup> at 50 °C when activated with MMAO<sup>83</sup> and the highest activity of **151** reached  $1.1 \times 10^7$  g mol<sup>-1</sup> (Fe) h<sup>-1</sup> at 60 °C when activated with MAO under 10 bar ethylene.<sup>76</sup> The obtained polyethylene via **150** was a low molecular weight polymer,<sup>76</sup> and the polyethylene from **151** under the optimized reaction parameters, possessed low molecular weight (waxes) and narrow polydispersity.<sup>76</sup>

### 3.2 Pyridine type ligation

During the development of new ligand systems for enhancing both activity and control of the microstructure of the resulting polymer, a number of changes to the basic bis(imino)pyridine ligand motif have been targeted. As well as the modifications to the *N*-imine substituents, there are many other strategies which can be used to modify the skeleton of the bis(imino)pyridine ligand.



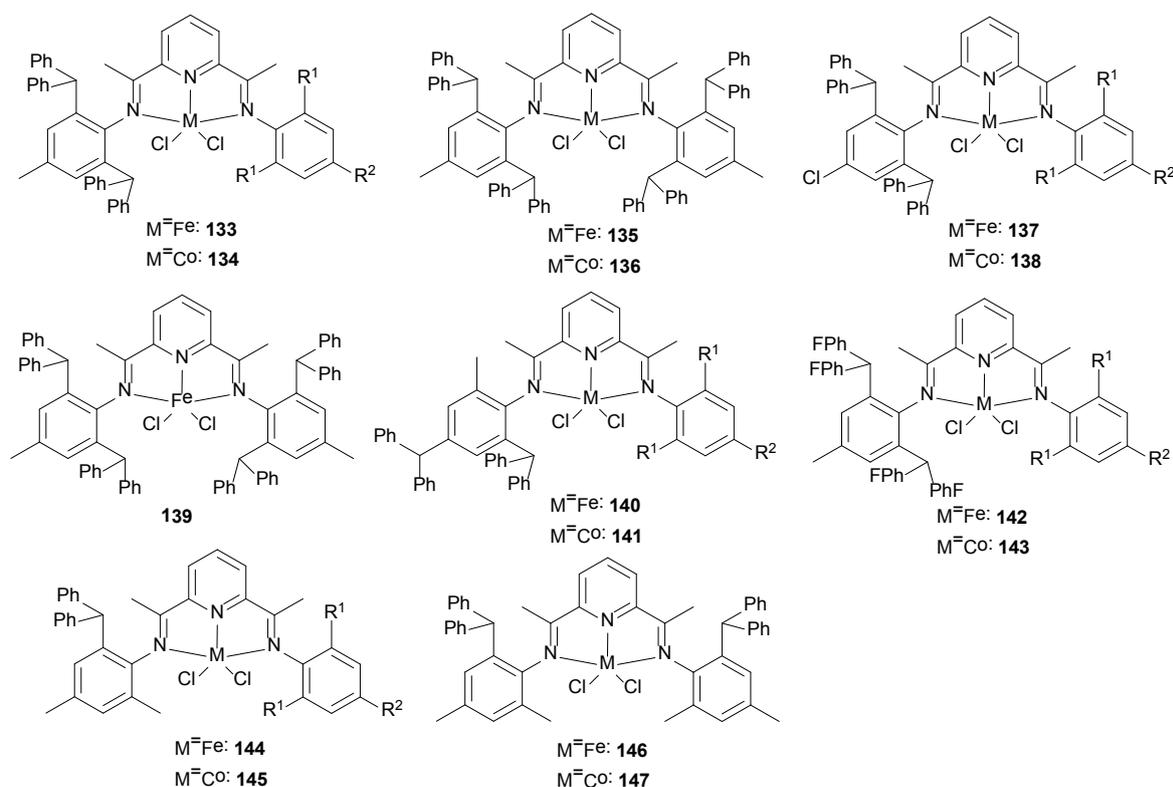
**Scheme 25** *N,N,O*-chelates for five-coordinate iron(II) chloride complexes<sup>10</sup>

Our group has synthesized two five-coordinate iron(II) chloride complexes (**152** and **153**, Scheme 25) bearing 2-imine-6-(methyl alcohol)pyridine chelates via an aluminium-mediated methyl migration route from the corresponding 2-acetyl-6-iminopyridine and studied the ethylene polymerization properties of the complexes **152** and

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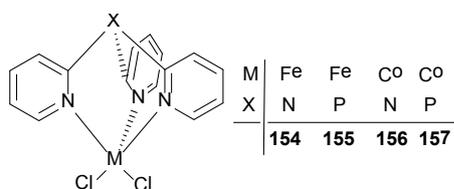
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**Scheme 23** *N,N,N*-tridentate bis(imino)pyridine iron(II) complexes bearing benzhydryl-substituted<sup>22,23, 68-74</sup>

and **153**.<sup>10</sup> Both iron complexes displayed moderate activity (170 g mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup> of **152** and 276 g mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup> of **153**) for ethylene polymerization on treatment with excess methylaluminumoxane, significantly lower than for related bis(arylimino)pyridine iron systems. The products were highly linear polymers and some oligomeric products. Furthermore, analysis of the vinylic region of the spectra revealed the presence of greater than 99 %  $\alpha$ -olefins. It was apparent that these systems, as with bis(imino)pyridine iron catalysts,<sup>43</sup> could facilitate chain-transfer reactions by both  $\beta$ -H elimination and chain transfer to aluminium.<sup>10</sup>

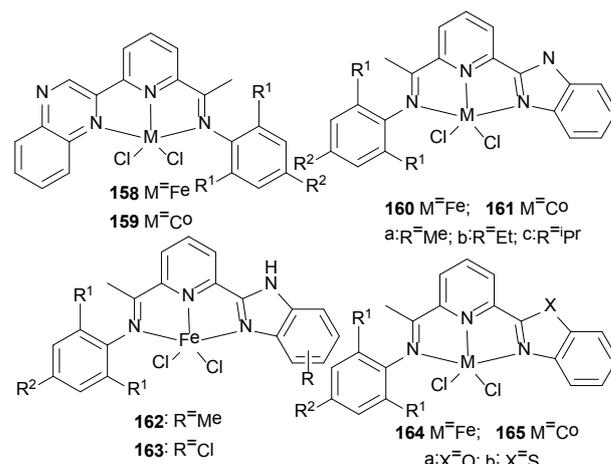


**Scheme 26** Tris(2-pyridyl)phosphine and tris(2-pyridyl)amine iron(II) and cobalt(II) catalysts<sup>78</sup>

The Karam group investigated iron and cobalt complexes bearing tris(2-pyridyl)phosphine and tris(2-pyridyl)amine ligands (Scheme **26**).<sup>78</sup> Such catalysts exhibited relatively high activities (range = 32-271 g<sub>PE</sub> mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup>) for ethylene polymerization.<sup>79</sup> The polymerization temperature had an impact on the activity of the systems bearing either ligand set. In the case of **154** and **155**, a five times increase was observed by increasing the temperature from 60 °C (50 g<sub>PE</sub> mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup> for **154** and 57 g<sub>PE</sub> mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup> for **155**) to 80 °C (243 g<sub>PE</sub> mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup> for **154** and 271 g<sub>PE</sub> mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup> for **155**).<sup>79</sup> The analogous cobalt complexes **156** and **157** exhibited similar behaviour, revealing a 6-fold activity increase. The iron complexes possessed higher activities than did their cobalt counterparts, for example, the activity of **154** was 243 g<sub>PE</sub> mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup>, whilst that for **156** was 213 g<sub>PE</sub> mmol<sup>-1</sup> h<sup>-1</sup> bar<sup>-1</sup>. In addition, it was found that the bridgehead atom of the ligand TpX (X = P, N) did not affect significantly the activity of the active species nor the molecular weight of the polymers. The polyethylene obtained was linear HDPE with a broad mono-modal distribution.<sup>78</sup>

Our group has systematically studied the ethylene

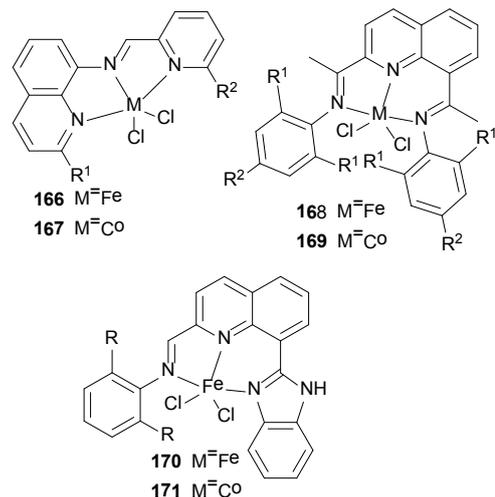
polymerization and oligomerization characteristic of a number of *N,N,N*-tridentate pyridyl iron(II) and cobalt(II) catalysts with different ligand frameworks (Scheme 27).<sup>20,50,80-85</sup> The iron pre-catalyst **158** exhibited high activity for ethylene oligomerization in the presence of MAO with butene as the major product at atmospheric pressure.<sup>50</sup> Similarly, the cobalt pre-catalyst **159** also exhibited high activity for ethylene oligomerization in the presence of MMAO with butene as the major product at atmospheric pressure, for example, the typical activity of **158** was  $1.67 \times 10^5 \text{ g (mol Co)}^{-1} \text{ h}^{-1}$  for oligomerization and the C4 percent was 96.4 %. On increasing the ethylene pressure, both the activity and the content of longer-chain oligomers increased.<sup>50</sup> In some cases, polyethylene waxes were collected to afford overall good polymerization activity. A typical example exhibited an activity of up to  $2.24 \times 10^6 \text{ g (mol Fe)}^{-1} \text{ h}^{-1}$  for oligomerization, and  $8.56 \times 10^5 \text{ g (mol Fe)}^{-1} \text{ h}^{-1}$  for polymerization using **159**.<sup>50</sup> The analogs **160** and **161** revealed high activity towards ethylene oligomerization; some polyethylene waxes were also formed.<sup>80-82</sup> The activity order  $R = \text{H}^{78} > R = \text{Me}^{79} > R = i\text{Pr}^{77}$  was observed due to the electronic influence exerted by the substituents. When the benzimidazole contained different substituents such as Me or Cl, the activity of **162** and **163** versus **160a** exhibited slight differences.<sup>50,83</sup> When activated with MMAO, **162** afforded high activities ( $1.86 \times 10^6 \text{ g (mol Fe)}^{-1} \text{ h}^{-1}$ ) for ethylene oligomerization, lower than the activity of **160a**, whilst **163** revealed high activities ( $2.82 \times 10^6 \text{ g (mol Fe)}^{-1} \text{ h}^{-1}$ ) upon treatment with MAO, higher than the activity of **160a**.<sup>83</sup> All the oligomers produced were in the range C4 - C28 with a very high selectivity for linear  $\alpha$ -olefins and high K values. The complex **164a** showed moderate to good activities of up to  $10^6 \text{ g (product)/(mol Fe)}^{-1} \text{ h}^{-1} \text{ bar}^{-1}$  for the oligomerization and polymerization of ethylene, with high selectivity for vinyl-terminated oligomers or polyethylene waxes.<sup>84</sup> In contrast, the 2-(benzothiazolyl)-6-(1-(arylimino)ethyl)pyridine complex **164b** exhibited activities of up to  $10^7 \text{ g mol}^{-1}(\text{Fe}) \text{ h}^{-1}$  for oligomers and  $7.01 \times 10^5 \text{ g mol}^{-1}(\text{Fe}) \text{ h}^{-1}$  for waxes in the presence of MMAO. An increase in the temperature resulted in deactivation and a lower selectivity for  $\alpha$ -olefins. In most cases, the wax-like products obtained were confirmed to be vinyl-type olefins.<sup>85</sup>



**Scheme 27** *N,N,N*-tridentate pyridyl iron(II) and cobalt(II) catalysts<sup>20,50,80-85</sup>

### 3.3 Other tri-dentate ligands

There are also many other examples beyond the iminopyridyl-type ligand set. For example, quinoline and phenanthrolyl derivatives have potential for the preparation of active iron and cobalt pre-catalyst, and our group has made some progress in this area.



**Scheme 28** *N,N,N*-tri-dentate iron(II) and cobalt(II) complexes bearing tridentate quinoline derivatives<sup>21,86-90</sup>

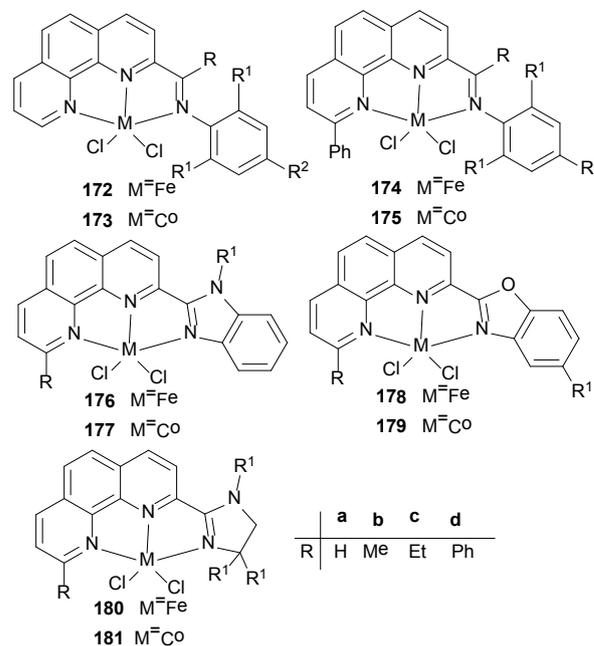
We developed a synthetic template method to prepare the complexes **166** and **167** (Scheme 28) bearing *N*-((pyridin-2-yl)methylene)-quinolin-8-amine ligands. Upon activation with MMAO, complexes **166** and **167** exhibited high catalytic activities (up to  $10^6 \text{ g mol}^{-1}(\text{Fe}) \text{ h}^{-1}$ ) for ethylene oligomerization, with dimers and trimers as products, and moderate to high selectivity for 1-C4. For ligands bearing bulky substituents, enhanced activity was observed for the iron(II) system, however lower catalytic activity was obtained when using Co(II) complexes.<sup>86</sup> Interestingly, complexes **168** and **169** ligated by 2,8-bis-(1-aryliminoethyl)quinolines showed unique properties toward ethylene polymerization: no

activity was observed at low temperature, but high activity was achieved at temperatures higher than 80 °C (up to  $7.61 \times 10^6 \text{ g} \cdot \text{mol}^{-1} \cdot \text{h}^{-1}$  at 100 °C) upon activation with methylaluminoxane (MAO).<sup>21</sup> Moreover, the polyethylene obtained was of high molecular weight and narrow distribution. This was the first example of iron or cobalt pre-catalysts with such high activity for ethylene polymerization at high temperatures.<sup>21</sup> Similarly, 2-(1-(arylimino)methyl)-8-(1H-benzimidazol-2-yl)-quinolyl iron(II) and cobalt chloride complexes **170** and **171** were also prepared and upon activation with MAO, they exhibited much lower activity than **168** and **169**; the highest activity for the iron pre-catalyst was  $6.5 \times 10^5 \text{ g mol}^{-1} \text{ h}^{-1}$  and that of the cobalt pre-catalyst was  $4.0 \times 10^5 \text{ g mol}^{-1} \text{ h}^{-1}$  for ethylene polymerization, affording highly linear polyethylene. However, such systems possessed good thermal stability as evidenced by the high activities at high temperatures (up to 100 °C).<sup>87</sup>

Our group has also synthesized a family of 2-imino-1,10-phenanthroline ligands and the iron and cobalt complexes thereof.<sup>91,93-95</sup> The preparative route was somewhat complicated starting from phenanthroline, 2-acetyl-1,10-phenanthrolines and their substituted analogues were prepared.<sup>91,93</sup> Imino-phenanthrolines were then prepared by the reaction of the phenanthroline ketones or aldehydes with anilines, from which the corresponding metal complexes were obtained.<sup>91,93-95</sup> The iron complexes ligated by 2-imino-1,10-phenanthrolines **172b** (Scheme 29) exhibited very high activity (up to  $4.91 \times 10^7 \text{ g mol}^{-1} \text{ h}^{-1}$ ) for ethylene oligomerization with high selectivity for  $\alpha$ -olefins (> 94 %), with Schulz-Flory distribution.<sup>91</sup> Notably, *N*-aryl linked bimetallic iron complexes have been reported, which afforded higher molecular weight polymeric materials than did their monometallic analogues.<sup>92</sup> The cobalt complexes ligated by 2-imino-1,10-phenanthrolines **173**, reported by Solan *et al.*, exhibited lower activity than the iron complexes.<sup>93</sup> In addition, it was found that the substituent on the arylimino moiety affected the product formed, for example, for the phenyl bearing an Et substituent, *ie* **172b**, the best activity was observed, whilst stronger electron withdrawing groups decreased the ethylene reactivity (when the phenyl substituent R<sup>1</sup> was a halogen, the activity order decreased as follows: Br > Cl > F).<sup>91</sup> Variation of the R substituent on the imino-C of ligands of the type, 2-(ArN=CR)-1,10-phenanthroline, also resulted in changes to the catalytic performance. The aldimine (R = H, **172a**), ethyl-ketimine (R = Et, **172c**) and phenyl-ketimine (R = Ph, **172d**) complexes showed relatively lower catalytic activities than did the corresponding methyl-ketimine (R = Me, **172b**) complex. All the obtained oligomers exhibited Schulz-Flory distribution. However, upon treatment with MAO or MMAO, **172c**, which bears an ethyl substituent on the imine-C, revealed a better thermal stability (10 °C higher compared to **172b**) and possessed a higher content of  $\alpha$ -olefins (C6–C16).<sup>91,94,95</sup> When a phenyl substituent was introduced at the 9-position of the 1,10-phenanthroline by reaction of PhLi with 2-acetyl-1,10-phenanthroline, the resultant 2-imino-9-phenyl-1,10-phenanthrolines complexes **174a**, **174b**, **174d** exhibited much lower activities ( $2.33 \times 10^6 \text{ g mol}^{-1} \text{ h}^{-1}$ ) in comparison to their analogues **172a–d**, and the

products included butene (major product, > 90 %) and hexene; there was no polymer formation.<sup>96</sup> Replacement of the imine group with benzimidazoles resulted in iron complexes of type **176**, bearing 2-(benzimidazol-2-yl)-1,10-phenanthrolines. On treatment with MMAO, these complexes oligomerized ethylene to dimers and trimers with high activities and with good selectivity for  $\alpha$ -olefins.<sup>97</sup> The introduction of a methyl group at the 9-position of the phenanthroline ring led to a decrease in the oligomerization activity as well as a slight increase in  $\alpha$ -C4 selectivity, as evidenced by higher activities and lower  $\alpha$ -C4 selectivity obtained by **176a** (R = H) *versus* **176b** (R = Me). The incorporation of an alkyl group (R<sup>2</sup>) on the *N* atom of the benzimidazole led to a decrease in oligomerization activity and selectivity for 1-butene, whereas other alkyl groups such as methyl, ethyl, isopropyl and benzyl, revealed no obvious influence on the  $\alpha$ -C4 selectivity.<sup>97</sup> When the imidazole group was replaced by 2-benzoxadazole or oxazoline, the resultant complexes **178**, **180** exhibited much lower activity and the products were comprised of oligomers of butene and hexane, with lower  $\alpha$ -C4 selectivity.<sup>98</sup> It should be emphasised that most of the previous research on bis(imino)pyridyl iron pre-catalysts has recorded ethylene polymerization and oligomerization, with much of the focus being on the polymerization products. However, iron catalytic systems bearing 2-iminophenanthrolines can readily deliver oligomerization products<sup>91</sup> and have recently been successfully scaled up for use in a 500 tonne pilot plant.

To highlight the catalytic performances of the different complex pre-catalysts, a summary of promising model complexes is collected in Table 11.



Scheme 29 *N,N,N*-tridentate iron(II) and cobalt(II) complexes

bearing 1,10-phenanthroline derivatives<sup>91-98</sup>

#### 4. Outlook

The discovery of highly active 2,6-bis(arylimino)pyridylmetal

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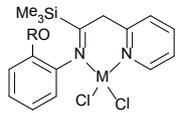
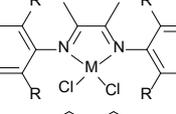
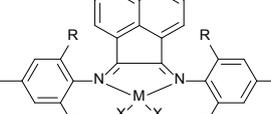
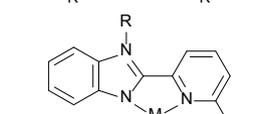
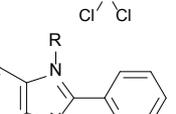
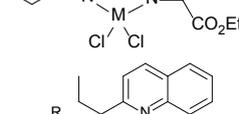
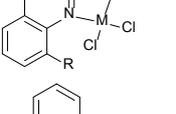
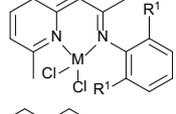
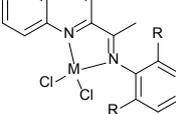
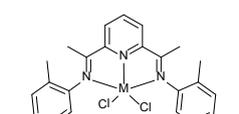
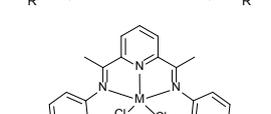
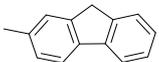
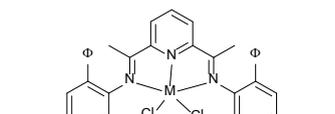
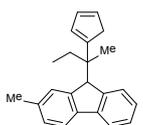
(metal = iron or cobalt) complexes proved to be a milestone in the development of late transition metal olefin polymerization catalysts. Much effort has been devoted to catalyst modification with a view to enhancing not only the catalytic activity and control over the microstructure of the resulting polymer, but also the thermal stability of such systems. Some encouraging results has been achieved, for example, the phenanthroline-based iron catalysts, which were found to exhibit very high activity for ethylene oligomerization (comparable to the bis(imino)pyridine iron system), have been successfully employed in a 500 tonne pilot plant managed by Sinopec in China. However, if late transition metal catalysts of this type are to be used extensively utilized in industry, then many problems need still to be solved, including rapid deactivation at temperatures akin to those required for

industrial operation, as well as the problem that polymeric products are not solely formed. On-going research continues to try to address these issues, and the use of bulky groups such as the benzhydryl motif has already gone some way to addressing such problems.

## 5. Acknowledgements

CR thanks the EPSRC for an overseas travel award, the RSC for an international author grant and Sichuan Normal University for financial support.

**Table 11.** The results of ethylene oligomerization/polymerization by different iron/cobalt pre-catalysts

| The type  | M         | Co-catalyst                           | R   | Oligomerization<br>Activ. <sup>a</sup> | Oligomerization<br>distri.                  | Polymerization<br>Activ. <sup>a</sup> | Polymerization<br><i>M<sub>w</sub></i> <sup>b</sup> | Refer.       |
|---|-----------|---------------------------------------|---|--|---|---------------------------------------|---|--------------|
|    | Fe/<br>Co | MMAO                                  | PhCH <sub>2</sub>   | 2.3-8.0                                | C4 > 92.8 %                                 | -                                     | -   | 24           |
|    | Fe/<br>Co | MMAO                                  | <sup>i</sup> Pr   | > 4.3                                  | C4 > 99.4 %                                 | -                                     | -   | 24           |
|    | Co        | MAO                                   | Me/ <sup>i</sup> Pr   | 0.01-<br>0.02/<br>Trace                | -   | -                                     | -   | 33           |
|    | Co        | MAO                                   | Me  | Trace                                  | -   | -                                     | -   | 33           |
|    | Fe/<br>Co | MAO/<br>MMAO/<br>Et <sub>2</sub> AlCl | H/Me  | 0.5-2.8                                | C4 (37.4-<br>81.4 %)<br>C6<br>(18.6-62.6 %) | -                                     | -   | 34           |
|    | Fe/<br>Co | MMAO/<br>Et <sub>2</sub> AlCl         | H/Me  | 1.5-<br>10.3                           | C4<br>77.9-96.3 %                           | -                                     | -   | 34           |
|  | Co        | MAO                                   | Me/Et/ <sup>i</sup> Pr/Cl   | 7.6-19                                 | C4<br>(56.4-59.8 %)                         | 0.4-0.5                               | 9.5-<br>12.5  | 35           |
|  | Fe/<br>Co | MMAO                                  | Me/Et/ <sup>i</sup> Pr  | 0.78-<br>5.71                          | C4<br>(95.7-98.9 %)                         | -                                     | -   | 36           |
|  | Fe/<br>Co | MAO                                   | Me/Et/ <sup>i</sup> Pr  | 8.0-<br>16.5                           | C4(99.3-<br>100 %)                          | -                                     | -   | 37           |
|  | Fe        | MAO                                   | H/Me/F/<br>Cl/Br/I  | 567.3-<br>1592.5                       | 100 %<br>Oligomer                           | -                                     | -   | 44,53,<br>54 |
|  | Fe        | MAO                                   |  | 245.9                                  | 100 %<br>Oligomer                           | -                                     | -   | 53           |
|  | Fe        | MAO                                   |  | -                                      | -   | 742.7-<br>898.2                       | 15.8-<br>19.9                                       | 53           |

|  |           |                                       |   |               |                   |               |                 |                 |
|--|-----------|---------------------------------------|---|---------------|-------------------|---------------|-----------------|-----------------|
|  | Fe        | MMAO                                  | 2,6-diisopropylphenyl/<br>1-anthracenyl/<br>2-isopropylphenyl/<br>2-biphenyl                      | 14.0-<br>81.8 | -                 | 13.4-<br>2000 | 1-10            | 55              |
|  | Fe        | MMAO                                  | 1-anthracenyl/<br>2-isopropylphenyl   | -             | -                 | 20-<br>2000   | 0.1-1           | 55              |
|  | Fe/<br>Co | MAO                                   | CH <sub>2</sub> CMe <sub>2</sub> Ph/<br>CH <sub>2</sub> Ph/<br>CH <sub>2</sub> CH=CH <sub>2</sub> | -             | -                 | 7.7-<br>20.9  | 2.57-<br>18.0   | 61              |
|  | Fe/<br>Co | MAO                                   | Me <sup>i</sup> Pr  | -             | -                 | 4.0-60        | 20.7-<br>64.6   | 62              |
|  | Fe        | MAO                                   | Me/OCH <sub>3</sub>   | -             | -                 | 3.1-<br>12.0  | 0.3-<br>149.9   | 67              |
|  | Fe/<br>Co | MAO/<br>MMAO                          | Me/Et <sup>i</sup> Pr<br>/-CH(Ph) <sub>2</sub>  | -             | -                 | Trace-<br>269 | 0.23-<br>120    | 22,23,<br>70,71 |
|  | Fe<br>/Co | MAO/<br>MMAO                          | Me/Et <sup>i</sup> Pr<br>/-CH(Ph) <sub>2</sub>  | -             | -                 | 9.3-352       | 1.26-<br>9449.9 | 72-74           |
|  | Fe<br>/Co | MAO/<br>MMAO/<br>Et <sub>2</sub> AlCl | H/Me  | 0.01-<br>41.1 | α-olefin<br>> 70% | trace         | -               | 77-82           |
|  | Fe<br>/Co | MAO/<br>MMAO                          | Me/Et <sup>i</sup> Pr/<br>F/Cl  | 0.76-<br>491  | α-olefin<br>> 79% | Trace-<br>304 | -               | 91-98           |

<sup>a</sup> 10<sup>5</sup> g/mol.h; <sup>b</sup> 10<sup>4</sup> g/mol

## Notes and references

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<sup>15</sup> (1) Source: The Plastics Portal by PlasticsEurope, see <http://www.plasticseurope.org/information-centre/press-room-1351/press-releases-2012/first-estimates-suggest-around-4-increase-in-plastics-global-production-from-2010.aspx>

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