

No evidence for association of β -defensin genomic copy number with HIV susceptibility, HIV load during clinical latency, or progression to AIDS

Journal:	<i>Annals of Human Genetics</i>
Manuscript ID	AHG-MS-16-0165.R1
Manuscript Type:	Regular manuscript
Date Submitted by the Author:	14-Nov-2016
Complete List of Authors:	<p>Abujaber, Razan; University of Leicester, Department of Genetics Shea, Patrick; Columbia University, Institute for Genomic Medicine McLaren, Paul; Public Health Agency of Canada, National HIV and Retrovirology Laboratory; University of Manitoba, Department of Medical Microbiology and Infectious Diseases Lakhi, Shabir; International Aids Vaccine Initiative; Zambia-Emory HIV Research Project Gilmour, Jill; International Aids Vaccine Initiative; Imperial College London, IAVI Human Immunology Laboratory Allen, Susan; Emory University, Rollins School of Public Health Fellay, Jacques; Ecole Polytechnique Federale de Lausanne, School of Life Sciences Hollox, Edward; University of Leicester, Genetics</p>
Keywords:	β -defensin, copy number variation, CNV, HIV-1, AIDS

1
2
3
4
5
6
7 1 **No evidence for association of β -defensin genomic copy number with HIV susceptibility, HIV load**
8 2 **during clinical latency, or progression to AIDS**
9

10 3

11
12 4 Razan Abujaber (1), Patrick Shea (2), Paul J McLaren (3,4), Shabir Lakhi (5,6), Jill Gilmour (5,7), Susan
13 5 Allen (5,8), Jacques Fellay (9), Edward J Hollox (1), IAVI Africa HIV Prevention Partnership, Swiss HIV
14 6 Cohort Study

15
16
17 7 1. Department of Genetics, University of Leicester, Leicester, UK

18
19 8 2. Institute for Genomic Medicine, Columbia University, New York, New York, USA

20
21 9 3. National HIV and Retrovirology Laboratory, Public Health Agency of Canada, Winnipeg,
22 10 Canada

23
24
25 11 4. Department of Medical Microbiology and Infectious Diseases, University of Manitoba,
26 12 Winnipeg, Canada.

27
28 13 5. International AIDS Vaccine Initiative (IAVI), New York, New York, USA

29
30 14 6. Zambia-Emory HIV Research Project, Lusaka and Copperbelt, Zambia.

31
32 15 7. IAVI Human Immunology Laboratory, Imperial College, London, United Kingdom.

33
34 16 8. School of Life Sciences, École Polytechnique Fédérale de Lausanne, Lausanne, Switzerland.

35
36 17 9. Emory University, Atlanta, Georgia, United States of America.
37
38

39 18

40
41 19 **Corresponding author**

42
43 20 Dr Ed Hollox,

44
45 21 Department of Genetics, Adrian Building

46
47 22 University of Leicester

48
49 23 Leicester LE1 7RH, UK

50
51 24 Ejh33@le.ac.uk

52
53 25 +44 116 252 3407
54
55
56
57
58
59
60

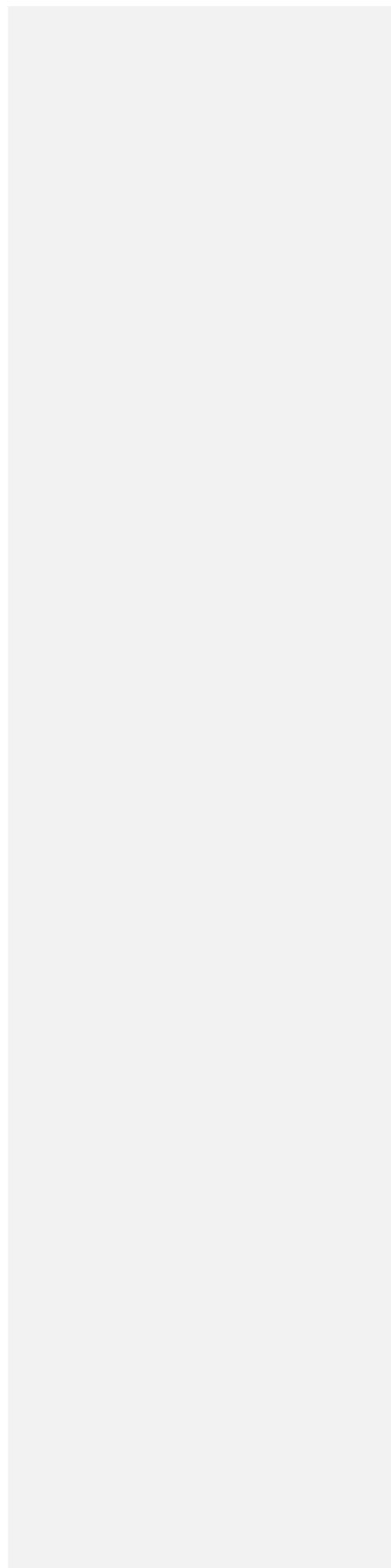
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

26

27 **Keywords**

28 β -defensin, copy number variation, CNV, HIV-1, AIDS

For Peer Review



29 **Abstract**

30 | Common single nucleotide variation in the host accounts for 25% of the variability in the plasma
31 | levels of HIV during the clinical latency stage (viral load setpoint). However, the role of rare variants
32 | and copy number variants remains relatively unexplored. Previous work has suggested copy number
33 | variation of a cluster of β -defensin genes affects HIV load in treatment-naïve sub-Saharan Africans
34 | and rate of response to anti-retroviral treatment. Here we analyse a total of 1827 individuals from
35 | two cohorts of HIV-infected individuals from Europe and sub-Saharan Africa to investigate the role of
36 | β -defensin copy number variation on HIV load at setpoint. We find no evidence of for association of
37 | copy number with viral load. We also compare distribution of β -defensin copy number between
38 | European cases and controls and find no differences, arguing against a role of β -defensin copy
39 | number in HIV acquisition. Taken together, our data argues against an effect of copy number
40 | variation of the β -defensin region in the spontaneous control of HIV infection.

Peer Review

41 Introduction

42 Rates of HIV acquisition and progression, and levels of viral control during the clinical latency period,
43 show differences between individuals, which are in part due to genetic variation (Shea *et al.*, 2013).

44 The role of gene copy number variation, where the number of copies of the same gene differs
45 between individuals, in affecting clinical parameters of HIV infection is of interest (Hollox & Hoh,
46 2014). In particular, sequence and copy number variation of the killer immunoglobulin receptor
47 family (KIR) has been shown to be important in control of the progression of HIV (Pelak *et al.*, 2011),
48 particularly in the context of variation at its ligand HLA-B (Bashirova *et al.*, 2011). The role of *CCL3L1*
49 copy number in HIV infection and progression has been much debated (Cantsilieris & White, 2013),
50 and most studies that used robust approaches to measure copy number failed to find any
51 association with likelihood of infection or viral load (Hollox & Hoh, 2014, Aklillu *et al.*, 2013,
52 Bhattacharya *et al.*, 2009, Carpenter *et al.*, 2011, Field *et al.*, 2009, Gonzalez *et al.*, 2005, Urban *et al.*,
53 2009).

54 The β -defensins are a family of multifunctional peptides with roles in inflammation and reproduction
55 as well as direct antiviral and antimicrobial effects (Semple & Dorin, 2012, Dorin & Barratt, 2014,
56 Wiens *et al.*, 2014). In humans, eight β -defensin genes show extensive copy number variation as a
57 block, with a modal copy number of 4 per diploid genome (Hollox *et al.*, 2008). This variation is
58 reflected in levels of β -defensin in the serum, at least for human β -defensin 2 (hbd2), encoded by
59 the *DEFB4* gene (Jansen *et al.*, 2009, Jaradat *et al.*, 2013). Of the eight β -defensin genes, two (*DEFB4*
60 and *DEFB103* encoding hbd2 and hbd3 respectively) have been shown to encode peptides that have
61 anti-HIV activity in vitro (Chang & Klotman, 2004). They have also been shown to have chemotactic
62 activity, and hbd3 has been shown to stimulate the type 1 interferon- β response to the viral ligand
63 mimic polyI:C (Semple *et al.*, 2015).

64 Some studies have suggested a relationship between low β -defensin copy number and increased HIV
65 susceptibility (Milanese *et al.*, 2009, Mehlotra *et al.*, 2012). However, these studies had small sample
66 sizes and used often unreliable qPCR assays, increasing the potential false positive rate (Mehlotra
67 *et al.*, 2016). Other work, using a more robust triplex paralogue ratio test (PRT) approach to measure β -
68 defensin copy number and much larger sample sizes, examined the association of β -defensin CNV
69 with viral load before initiation of highly-active antiretroviral therapy, and immune reconstitution
70 following initiation of antiretroviral therapy (Hardwick *et al.*, 2012). This study used a cohort of
71 Ethiopian and Tanzanian patients who were naïve to antiretrovirals and were at a late stage of HIV
72 infection (CD4+ T cell count <200 cells/mm³), and found an association of higher copy number with
73 higher viral load immediately prior to highly-active anti-retroviral therapy (HAART), and with poorer

74 immune reconstitution. This was in contrast to functional studies that together implied an anti-HIV
75 effect of β -defensins (Quiñones-Mateu *et al.*, 2003, Sun *et al.*, 2006, Sun *et al.*, 2005), but some
76 studies used high concentrations of β -defensins *in vitro*, often in the absence of serum. At *in vivo*
77 levels, under more realistic assay conditions, the predominant effect of β -defensins at the site of
78 infection may be to act as a chemokine recruiting Th17 cells (Ghannam *et al.*, 2011), which are
79 particularly susceptible to HIV infection (Gosselin *et al.*, 2010, Alvarez *et al.*, 2013).

80 Given these results, further exploration of the role of the β -defensin CNV in clinical parameters
81 relating to HIV infection was warranted. The IAVI Protocol C (IAVI) is a prospective cohort study that
82 follows HIV progression and transmission longitudinally since seroconversion in a cohort of sub-
83 Saharan Africans from several centres across south and east Africa. The Swiss HIV Cohort study
84 (SHCS) is a longitudinal study of adult HIV patients recruited from across Switzerland where clinical
85 and laboratory parameters are followed at 6-months intervals. Both differ from the initial study in
86 that the viral load at set point (spVL) is the primary clinical variable tested for genetic association,
87 rather than VL immediately prior to HAART or response to HAART (follow up of CD4 count). This is in
88 common with other studies investigating the role of host genetic factors in HIV.

89 Our aim in this study is to further explore the relationship between β -defensin copy number and HIV
90 infection. We investigate the role of β -defensins in modifying three clinical parameters – HIV
91 susceptibility, HIV VL at setpoint, and HIV progression. We use a method to type β -defensin copy
92 number that has been extensively validated and is considerably more robust than alternative
93 quantitative PCR methods.

94

95 **Methods**

96 *Ethics and cohort details*

97 All participants from the International AIDS Vaccine Initiative (IAVI) and SHCS cohorts were HIV-1
98 infected adults. The IAVI cohort ~~was~~ comprised ~~of~~ recent HIV-1 seroconverters (SCs) enrolled from
99 Kenya, Rwanda, Uganda, and Zambia between 2006 and 2011, under a uniform study protocol
100 sponsored by IAVI (Amornkul *et al.*, 2013, Price *et al.*, 2011). The procedures for written informed
101 consent and multidisciplinary research activities were approved by institutional review boards at all
102 clinical research centres and participating institutions. SHCS was approved by the local Ethics
103 Committees of all participating centres, and written informed consent was obtained from the
104 participants. The study has enrolled more than 18,000 HIV-infected individuals to date.

105 Sociodemographic and behavioural data are recorded at entry to the study, in particular year of
106 birth, gender, and the date of the last negative HIV test. Laboratory and clinical data, including viral
107 load and CD4+ T-cell count, are obtained at each semi-annual follow-up visit.

108 ~~B-β~~-defensin CNV typing

109 We used a triplex paralogue ratio test (PRT) for determining diploid copy number at the β-defensin
110 region (Armour *et al.*, 2007, Aldhous *et al.*, 2010, Fode *et al.*, 2011). Briefly, PRT is a form of
111 quantitative PCR where test and reference loci are amplified by the same primer pair minimising the
112 differences in amplification kinetics between them. At the endpoint of PCR, the test and reference
113 products can be distinguished and quantified using capillary electrophoresis. With each PCR, six
114 positive controls of known copy number are used to generate a calibration curve and normalise
115 across experiments. In this study, we used the same six samples throughout, which were the same
116 as used in previous studies, ensuring comparability of data. Data for each cohort were visualised
117 using scatterplots of results from individual assays and histograms of the results from the three
118 assays combined.

119 Data analysis

120 spVL was determined previously as the geometric mean of the eligible log₁₀ viral load
121 measurements in each individual (Fellay *et al.*, 2007). Regression models were constructed using the
122 generalised linear model framework in IBM SPSS Statistics v22. Normalised raw PRT copy number
123 (i.e. not rounded ~~nor~~ binned) was used as a measure of real underlying copy number of the locus in
124 logistic regression, linear regression and Cox proportional hazards regression models, as ~~used~~
125 previously (Wain *et al.*, 2014). Covariates in the models were sex, age, and principal components of
126 genetic variation, derived from genomewide SNP genotypes. We repeated all analyses with rounded
127 (binned) integer copy number estimates and maximum-likelihood estimates of integer copy number
128 (Aldhous *et al.*, 2010) with no significant change in results. Integer copy number values presented in
129 Table 1 are from maximum-likelihood analysis calls, consistent with previous publications (Hardwick
130 *et al.*, 2011, Fode *et al.*, 2011, Wain *et al.*, 2014).

131 Results and Discussion

132 We typed 387 samples from the IAVI cohort for β-defensin genomic copy number using a previously-
133 published triplex paralogue ratio test (PRT). Clear evidence of clustering of raw copy number was
134 observed, however for the IAVI cohort there was some considerable overlap between copy numbers
135 3 and 4 (Supplementary Figure 1), which ~~is most likely~~ may to be due to heterogeneity between the

1
2
3
4
5
6
7 136 multiple locations of sample collection and extraction. Of the 387 samples, 302 had matching spVL
8 137 data (Table 2). Analysis of the copy number distribution at the 9 different sampling sites showed a
9 138 modal copy number of 4 or 5, ranging between 1 and 9 (Table 1), broadly consistent with previous
10 139 data. There is a notable difference in frequency of the 6 copy individuals between the current
11 140 sample from Lusaka and a previous sample of individuals with unknown HIV status, but this is most
12 141 likely due to a sampling artefact.

13
14
15
16 142 Using the weighted mean raw copy number values generated by PRT, we tested for association with
17 143 log(spVL) using a generalised linear model, with sex, age, and the first three principal components of
18 144 genomewide SNP genotype data as covariates. We found no association with β -defensin genomic
19 145 copy number ($\beta=0.007$, 95%CI -0.064 to 0.077, $p=0.853$, table 3).

20
21
22 146 We then typed 3155 individuals for β -defensin genomic copy number from the Swiss HIV cohort
23 147 using triplex PRT. Clustering of raw copy numbers was equivalent to previous studies
24 148 ([Supplementary Figure 1](#), (Hardwick *et al.*, 2012, Hardwick *et al.*, 2011, Wain *et al.*, 2014). Analysis of
25 149 the copy number distribution showed a modal copy number of 4, ranging between 1 and 9 (Table 1),
26 150 consistent with previous data. Of these samples, 1525 had matching spVL data (Table 2). Using the
27 151 weighted mean raw copy number values generated by PRT, we tested for association with ~~spVL~~
28 152 ~~using~~spVL using a generalised linear model, with sex, age, and the first two principal components of
29 153 genomewide SNP genotype data as covariates. We found no association with β defensin genomic
30 154 copy number ($\beta=-0.02$, 95%CI -0.06 to 0.021, $p=0.335$, table 4).

31
32
33
34
35
36 155 Previous work had also analysed the association of VL with β -defensin copy number by dividing the
37 156 copy number distribution, ranging from 1 to 9, into two discrete categories, 4 or more copies and
38 157 fewer than 4 copies. This has the potential to increase power, as a linear response to copy number is
39 158 not assumed. However, using the same covariates as above, neither the SHCS cohort nor the IAVI
40 159 cohort showed any association (reference category copy number <4, $\beta=-0.015$, 95%CI -0.117 to
41 160 0.087, $p=0.773$ for the SHCS cohort, $\beta=-0.22$, 95%CI -0.567 to 0.127, $p=0.214$ for the IAVI cohort).

42
43
44
45 161 In both cohorts we found a highly significant association between males and higher spVL values
46 162 (Table 3 and Table 4), as has been observed previously (Donnelly *et al.*, 2005, Junghans *et al.*, 1999,
47 163 Farzadegan *et al.*, 1998). We also found a significant association with the first principal component
48 164 of genetic variation in the IAVI cohort but not the SHCS cohort (Table 3 and Table 4). However, it
49 165 should be noted that the first principal component of genetic variation is not comparable between
50 166 the studies, and in the IAVI dataset it will measure a greater degree of variation across the

51
52
53
54
55
56
57
58
59
60

167 geographically-distinct populations sampled, reflecting both genetic and confounding environmental
168 differences between these populations.

169 An alternative clinical variable which shows evidence of association with host genetic variation is the
170 rate of progression of HIV from seroconversion to a CD4+ T cell level of <350 cells/mm³ or to
171 treatment start. Progression data for 229 individuals from the SHCS were available. Using a Cox
172 regression model we found no statistically significant association with β -defensin copy number
173 (Exp(B)=1.122, p=0.154, table 5). Similar progression data for the IAVI cohort was available for 301
174 individuals, and, again, we found no statistically significant association with β -defensin copy number
175 (Exp(B)=0.985, p=0.829, table 6). Both analyses found a statistically significant relationship with
176 initial viral load, as expected, and a lower hazard ratio for women relative to men, reflecting a slower
177 rate of progression in women, as previously observed (Jarrin *et al.*, 2008).

178 We finally investigated whether there was evidence of association of β -defensin copy number and
179 risk of acquiring HIV by constructing a case-control analysis in which the cases are from the SHCS
180 and the controls are compared with individuals of European descent of unknown HIV status
181 previously typed as part of other studies. For controls, we used 1156 individuals from a population
182 cohort from Nottingham, UK and 695 individuals from Leicester, UK (Wain *et al.*, 2014), combined
183 with 183 UK individuals from the ECACC Human Random Controls cohort (Hardwick *et al.*, 2011).
184 These individuals were of unknown HIV status, and are treated as controls. Using logistic regression
185 with case/control as the binary outcome variable, we found no association with β -defensin copy
186 number (Figure 1, $\beta=0.009$, 95%CI -0.042 to 0.061, p=0.725).

187 Taken together, we find no evidence for association of with HIV susceptibility or spVL. We also find
188 no evidence of a strong effect on HIV progression rate, although it should be noted that the small
189 sample size makes it unlikely that we could detect a small- or medium-sized effect. Recent evidence
190 has shown that common single nucleotide variation at the HLA locus and *CCR5* is responsible for 25%
191 of variability in spVL, and that further studies should be focused on other classes of variation such as
192 rare SNVs and CNVs (McLaren *et al.*, 2015). With increasing affordability of short read sequencing,
193 genome-wide analysis of CNV, including complex multiallelic CNVs such as the β -defensin locus, is
194 becoming possible on larger numbers of sequences and ultimately direct genome-wide typing of CNV
195 in large cohorts will reveal the contribution to host variation in HIV response, and response to other
196 infectious diseases.

197

198 **Acknowledgements**

199

200

201

202

203

204

205

206

207

208

199 Study design: EJH, RA, JF. Data collection and analysis: RA, EJH. Contribution of reagents: PS, PJM, SL,
200 JG, SA, JF. Manuscript preparation: EJH, JF, PS.

201 We would like to thank the Royal Hashemite Court of Jordan for funding a PhD studentship for RA,
202 Emmanuel Cormier and Matt Price for facilitating the study, and Gurdeep Matharu Lall for technical
203 support.

204 This study has been partly supported by the Swiss National Science Foundation (Swiss HIV Cohort
205 Study, grant #148522), by SHCS project #651, the SHCS research foundation and NIAID Center for
206 HIV/AIDS Vaccine Immunology (CHAVI) grant AI067854.

207 **Members of the Swiss HIV Cohort Study:** Aubert V, Battegay M, Bernasconi E, Böni J, Braun
208 DL, Bucher HC, Burton-Jeangros C, Calmy A, Cavassini M, Dollenmaier G, Egger M, Elzi L, Fehr J, Fellay
209 J, Furrer H (Chairman of the Clinical and Laboratory Committee), Fux CA, Gorgievski M, Günthard H
210 (President of the SHCS), Haerry D (deputy of "Positive Council"), Hasse B, Hirsch HH, Hoffmann M,
211 Hösli I, Kahlert C, Kaiser L, Keiser O, Klimkait T, Kouyos R, Kovari H, Ledergerber B, Martinetti G,
212 Martinez de Tejada B, Marzolini C, Metzner K, Müller N, Nadal D, Nicca D, Pantaleo G, Rauch A
213 (Chairman of the Scientific Board), Regenass S, Rudin C (Chairman of the Mother & Child Substudy),
214 Schöni-Affolter F (Head of Data Centre), Schmid P, Speck R, Stöckle M, Tarr P, Trkola A, Vernazza P,
215 Weber R, Yerly S.

216 **Members of IAVI Protocol C:** Price MA, Simek M, Allen S, Karita E, Kilembe W, Lakhi S, Inambao M,
217 Kamali A, Sanders EJ, Anzala O, Edward V, Bekker L-G, Tang J, Gilmour J

218 **IAVI Africa HIV Prevention Partnership:**

219 Kigali, Rwanda (Project San Francisco): Etienne Karita, Principal Investigator; Susan Allen, Principal
220 Investigator; Roger Bayingana, Investigator; Kayitesi Kayitenkore, Investigator

221 Nairobi, Kenya (Kenya AIDS Vaccine Initiative): Omu Anzala, Principal Investigator; Gaudensia Mutua,
222 Principal Investigator

223 Kilifi, Kenya (Center for Geographic Medicine Research—Coast & Kenya Medical Research Institute):
224 Eduard J. Sanders, Principal Investigator; Peter Mugo, Investigator

225 Medical Research Council (MRC)/Uganda Virus Research Institute (UVRI) Uganda Research Unit on
226 AIDS, Entebbe, Uganda: Anatoli Kamali, Principal Investigator; Rogers Twesigye, Study Coordinator,
227 John Byabagambi, Study Physician; Florence Babirye, Nurse

- 1
2
3
4
5
6
7 228 Medical Research Council (MRC)/Uganda Virus Research Institute (UVRI) Uganda Research Unit on
8 229 AIDS, Masaka, Uganda: Anatoli Kamali, Principal Investigator; Eugene Ruzagira, Study Coordinator,
9 230 Agnes Bwanika, Ubaldo Bahemuka, and Freddie Mukasa Kibengo, Study Physicians; Peter Hughes,
10 231 Laboratory Manager; Vincent Basajja, Community Liaison Officer
11
12
13 232 Lusaka, Ndola and Kitwe, Zambia (Zambia Emory HIV Research Project): William Kilembe, Principal
14 233 Investigator; Susan Allen, Principal Investigator; Shabir Lakhi, Principal Investigator; Mubiano
15 234 Inambao, investigator;
16
17
18 235 Rustenburg, South Africa (Aurum Institute): Mary H. Latka, Principal Investigator; Gavin J
19 236 Churchyard, Investigator; Petra I Kruger, Investigator; Heeran Makkan, Study Coordinator; Candice
20 237 M Chetty-Makkan, Study Coordinator; Ben Makhoana, Community Liaison Officer; Tiro Dinake,
21 238 Nurse; Matsidi Malefo, Senior Research Assistant; Ireen Mosweu, Research Assistant
22
23
24 239 Cape Town, South Africa (Desmond Tutu HIV Foundation): Linda-Gail Bekker, Principal Investigator;
25 240 Keren Middelkoop, Investigator; Surita Roux, Investigator
26
27
28 241
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Peer Review

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

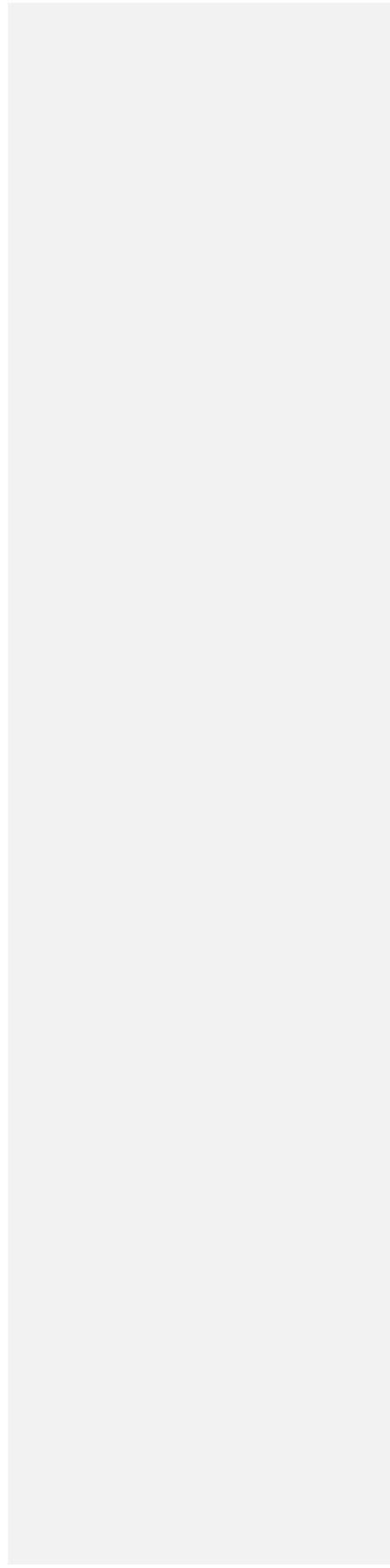
242 **Figure legends**

243 **Figure 1 – Cumulative distribution of β -defensin copy number in HIV cases ~~and the general~~**
244 **European population-controls.**

245 Data from the Swiss HIV Cohort study (3155 cases) and UK population (2034~~-controls~~).

246

For Peer Review



247 **References**

- 248
- 249 Akiillu, E., Odenthal-Hesse, L., Bowdrey, J., Habtewold, A., Ngaimisi, E., Yimer, G., Amogne, W.,
250 Mugusi, S., Minzi, O. & Makonnen, E. (2013) CCL3L1 copy number, HIV load, and immune
251 reconstitution in sub-Saharan Africans. *BMC infectious diseases*, 13, 536.
- 252 Aldhous, M.C., Bakar, S.A., Prescott, N.J., Palla, R., Soo, K., Mansfield, J.C., Mathew, C.G., Satsangi, J.
253 & Armour, J.A. (2010) Measurement methods and accuracy in copy number variation: failure
254 to replicate associations of beta-defensin copy number with Crohn's disease. *Human*
255 *molecular genetics*, 19, 4930-4938.
- 256 Alvarez, Y., Tuen, M., Shen, G., Nawaz, F., Arthos, J., Wolff, M.J., Poles, M.A. & Hioe, C.E. (2013)
257 Preferential HIV infection of CCR6+ Th17 cells is associated with higher levels of virus
258 receptor expression and lack of CCR5 ligands. *J Virol*, 87, 10843-54.
- 259 Amornkul, P.N., Karita, E., Kamali, A., Rida, W.N., Sanders, E.J., Lakhi, S., Price, M.A., Kilembe, W.,
260 Cormier, E., Anzala, O., Latka, M.H., Bekker, L.G., Allen, S.A., Gilmour, J., Fast, P.E. &
261 Partnership, I.a.H.P. (2013) Disease progression by infecting HIV-1 subtype in a
262 seroconverter cohort in sub-Saharan Africa. *Aids*, 27, 2775-86.
- 263 Armour, J.A., Palla, R., Zeeuwen, P.L.J.M., Den Heijer, M., Schalkwijk, J. & Hollox, E.J. (2007)
264 Accurate, high-throughput typing of copy number variation using paralogue ratios from
265 dispersed repeats. *Nucleic acids research*, 35, e19-e19.
- 266 Bashirova, A.A., Thomas, R. & Carrington, M. (2011) HLA/KIR restraint of HIV: surviving the fittest.
267 *Annual review of immunology*, 29, 295-317.
- 268 Bhattacharya, T., Stanton, J., Kim, E.-Y., Kunstman, K.J., Phair, J.P., Jacobson, L.P. & Wolinsky, S.M.
269 (2009) Ccl3l1 and hiv/aids susceptibility. *Nature medicine*, 15, 1112-1115.
- 270 Cantsilieris, S. & White, S.J. (2013) Correlating multiallelic copy number polymorphisms with disease
271 susceptibility. *Human Mutation*, 34, 1-13.
- 272 Carpenter, D., Walker, S., Prescott, N., Schalkwijk, J. & Armour, J.A. (2011) Accuracy and differential
273 bias in copy number measurement of CCL3L1 in association studies with three auto-immune
274 disorders. *BMC genomics*, 12, 418.
- 275 Chang, T.L. & Klotman, M.E. (2004) Defensins: natural anti-HIV peptides. *Aids Rev*, 6, 161.
- 276 Donnelly, C.A., Bartley, L.M., Ghani, A.C., Le Fevre, A.M., Kwong, G.P., Cowling, B.J., Van Sighem, A.I.,
277 De Wolf, F., Rode, R.A. & Anderson, R.M. (2005) Gender difference in HIV-1 RNA viral loads.
278 *HIV Med*, 6, 170-8.
- 279 Dorin, J.R. & Barratt, C.L. (2014) Importance of beta-defensins in sperm function. *Molecular human*
280 *reproduction*, 20, 821-6.
- 281 Farzadegan, H., Hoover, D.R., Astemborski, J., Lyles, C.M., Margolick, J.B., Markham, R.B., Quinn, T.C.
282 & Vlahov, D. (1998) Sex differences in HIV-1 viral load and progression to AIDS. *Lancet*, 352,
283 1510-4.
- 284 Fellay, J., Shianna, K.V., Ge, D., Colombo, S., Ledergerber, B., Weale, M., Zhang, K., Gumbs, C.,
285 Castagna, A., Cossarizza, A., Cozzi-Lepri, A., De Luca, A., Easterbrook, P., Francioli, P., Mallal,
286 S., Martinez-Picado, J., Miro, J.M., Obel, N., Smith, J.P., Wyniger, J., Descombes, P.,
287 Antonarakis, S.E., Letvin, N.L., Mcmichael, A.J., Haynes, B.F., Telenti, A. & Goldstein, D.B.
288 (2007) A whole-genome association study of major determinants for host control of HIV-1.
289 *Science*, 317, 944-7.
- 290 Field, S.F., Howson, J.M., Maier, L.M., Walker, S., Walker, N.M., Smyth, D.J., Armour, J.A., Clayton,
291 D.G. & Todd, J.A. (2009) Experimental aspects of copy number variant assays at CCL3L1. *Nat*
292 *Med*, 15, 1115-7.
- 293 Fode, P., Jespersgaard, C., Hardwick, R.J., Bogle, H., Theisen, M., Doodoo, D., Lenicek, M., Vitek, L.,
294 Vieira, A. & Freitas, J. (2011) Determination of beta-defensin genomic copy number in
295 different populations: a comparison of three methods. *PLOS one*, 6, e16768.
- 296 Ghannam, S., Dejou, C., Pedretti, N., Giot, J.-P., Dorgham, K., Boukhaddaoui, H., Deleuze, V., Bernard,
297 F.-X., Jorgensen, C. & Yssel, H. (2011) CCL20 and β -defensin-2 induce arrest of human Th17

- 298 cells on inflamed endothelium in vitro under flow conditions. *The Journal of Immunology*,
299 186, 1411-1420.
- 300 Gonzalez, E., Kulkarni, H., Bolivar, H., Mangano, A., Sanchez, R., Catano, G., Nibbs, R.J., Freedman,
301 B.I., Quinones, M.P. & Bamshad, M.J. (2005) The influence of CCL3L1 gene-containing
302 segmental duplications on HIV-1/AIDS susceptibility. *Science*, 307, 1434-1440.
- 303 Gosselin, A., Monteiro, P., Chomont, N., Diaz-Griffero, F., Said, E.A., Fonseca, S., Wacleche, V., El-Far,
304 M., Boulassel, M.R., Routy, J.P., Sekaly, R.P. & Ancuta, P. (2010) Peripheral blood
305 CCR4+CCR6+ and CXCR3+CCR6+CD4+ T cells are highly permissive to HIV-1 infection. *Journal*
306 *of immunology (Baltimore, Md. : 1950)*, 184, 1604-1616.
- 307 Hardwick, R.J., Amogne, W., Mugusi, S., Yimer, G., Ngaimisi, E., Habtewold, A., Minzi, O., Makonnen,
308 E., Janabi, M., Machado, L.R., Viskaduraki, M., Mugusi, F., Aderaye, G., Lindquist, L., Hollox,
309 E.J. & Aklillu, E. (2012) β -defensin Genomic Copy Number Is Associated With HIV Load and
310 Immune Reconstitution in Sub-Saharan Africans. *Journal of Infectious Diseases*, 206, 1012-
311 1019.
- 312
- 313 Hardwick, R.J., Machado, L.R., Zuccherato, L.W., Antolinos, S., Xue, Y., Shawa, N., Gilman, R.H.,
314 Cabrera, L., Berg, D.E. & Tyler-Smith, C. (2011) A worldwide analysis of beta-defensin copy
315 number variation suggests recent selection of a high-expressing DEFB103 gene copy in East
316 Asia. *Human Mutation*, 32, 743-750.
- 317 Hollox, E.J., Barber, J.C.K., Brookes, A.J. & Armour, J.a.L. (2008) Defensins and the dynamic genome:
318 what we can learn from structural variation at human chromosome band 8p23. 1. *Genome*
319 *Research*, 18, 1686-1697.
- 320 Hollox, E.J. & Hoh, B.-P. (2014) Human gene copy number variation and infectious disease. *Human*
321 *Genetics*, [133](#), [1217-1233](#).
- 322 Jansen, P.A., Rodijk-Olthuis, D., Hollox, E.J., Kamsteeg, M., Tjabringa, G.S., De Jongh, G.J., Van
323 Vlijmen-Willems, I.M., Bergboer, J.G., Van Rossum, M.M. & De Jong, E.M. (2009) β -Defensin-
324 2 protein is a serum biomarker for disease activity in psoriasis and reaches biologically
325 relevant concentrations in lesional skin. *PLOS one*, 4, e4725.
- 326 Jaradat, S., Hoder-Przyrembel, C., Cubillos, S., Krieg, N., Lehmann, K., Piehler, S., Sigusch, B. &
327 Norgauer, J. (2013) Beta-defensin-2 genomic copy number variation and chronic
328 periodontitis. *Journal of dental research*, [002203451350421792](#), [1035-1040](#).
- 329 Jarrin, I., Geskus, R., Bhaskaran, K., Prins, M., Perez-Hoyos, S., Muga, R., Hernandez-Aguado, I.,
330 Meyer, L., Porter, K. & Del Amo, J. (2008) Gender differences in HIV progression to AIDS and
331 death in industrialized countries: slower disease progression following HIV seroconversion in
332 women. *Am J Epidemiol*, 168, 532-540.
- 333 Junghans, C., Ledergerber, B., Chan, P., Weber, R., Egger, M. & [Study, S.H.C Swiss HIV Cohort Study](#).
334 (1999) Sex differences in HIV-1 viral load and progression to AIDS. *The Lancet*, 353, 589.
- 335 McLaren, P.J., Coulonges, C., Bartha, I., Lenz, T.L., Deutsch, A.J., Bashirova, A., Buchbinder, S.,
336 Carrington, M.N., Cossarizza, A., Dalmau, J., De Luca, A., Goedert, J.J., Gurdasani, D., Haas,
337 D.W., Herbeck, J.T., Johnson, E.O., Kirk, G.D., Lambotte, O., Luo, M., Mallal, S., Van Manen,
338 D., Martinez-Picado, J., Meyer, L., Miro, J.M., Mullins, J.I., Obel, N., Poli, G., Sandhu, M.S.,
339 Schuitemaker, H., Shea, P.R., Theodorou, I., Walker, B.D., Weintrob, A.C., Winkler, C.A.,
340 Wolinsky, S.M., Raychaudhuri, S., Goldstein, D.B., Telenti, A., De Bakker, P.I., Zagury, J.F. &
341 Fellay, J. (2015) Polymorphisms of large effect explain the majority of the host genetic
342 contribution to variation of HIV-1 virus load. *Proc Natl Acad Sci U S A*, 112, 14658-14663.
- 343 Mehlotra, R.K., Dazard, J.E., John, B., Zimmerman, P.A., Weinberg, A. & Jurevic, R.J. (2012) Copy
344 Number Variation within Human beta-Defensin Gene Cluster Influences Progression to AIDS
345 in the Multicenter AIDS Cohort Study. *Journal of AIDS & clinical research*, 3, [1000184](#)-
346
- 347 Mehlotra, R.K., Zimmerman, P.A. & Weinberg, A. (2016) Defensin gene variation and HIV/AIDS: a
comprehensive perspective needed. *J Leukoc Biol*, 99, 687-692.

- 348 Milanese, M., Segat, L., Arraes, L.C., Garzino-Demo, A. & Crovella, S. (2009) Copy number variation of
 349 defensin genes and HIV infection in Brazilian children. *Journal of acquired immune deficiency*
 350 *syndromes* (1999), 50, 331-333.
- 351 Pelak, K., Need, A.C., Fellay, J., Shianna, K.V., Feng, S., Urban, T.J., Ge, D., De Luca, A., Martinez-
 352 Picado, J., Wolinsky, S.M., Martinson, J., Jamieson, B., Bream, J., Martin, M., Borrow, P.,
 353 Letvin, N., Mcmichael, A., Haynes, B., Telenti, A., Carrington, M., Goldstein, D., Alter, G. &
 354 Immunology", N.C.F.H.a.V. (2011) Copy Number Variation of KIR Genes Influences HIV-1
 355 Control. *PLoS biology*, 9, e1001208.
- 356 Price, M.A., Wallis, C.L., Lakhi, S., Karita, E., Kamali, A., Anzala, O., Sanders, E.J., Bekker, L.G.,
 357 Twesigye, R., Hunter, E., Kaleebu, P., Kayitenkore, K., Allen, S., Ruzagira, E., Mwangome, M.,
 358 Mutua, G., Amornkul, P.N., Stevens, G., Pond, S.L., Schaefer, M., Papathanasopoulos, M.A.,
 359 Stevens, W., Gilmour, J. & Group, I.E.I.C.S. (2011) Transmitted HIV type 1 drug resistance
 360 among individuals with recent HIV infection in East and Southern Africa. *AIDS research and*
 361 *human retroviruses*, 27, 5-12.
- 362 Quiñones-Mateu, M.E., Lederman, M.M., Feng, Z., Chakraborty, B., Weber, J., Rangel, H.R., Marotta,
 363 M.L., Mirza, M., Jiang, B. & Kiser, P. (2003) Human epithelial [beta]-defensins 2 and 3 inhibit
 364 HIV-1 replication. *Aids*, 17, F39-F48.
- 365 Semple, F. & Dorin, J.R. (2012) beta-Defensins: multifunctional modulators of infection,
 366 inflammation and more? *J Innate Immun*, 4, 337-348.
- 367 Semple, F., Macpherson, H., Webb, S., Kilanowski, F., Lettice, L., Mcglasson, S.L., Wheeler, A.P., Chen,
 368 V., Millhauser, G.L., Melrose, L., Davidson, D.J. & Dorin, J.R. (2015) Human beta-D-3
 369 Exacerbates MDA5 but Suppresses TLR3 Responses to the Viral Molecular Pattern Mimic
 370 Polynosinic:Polycytidylic Acid. *PLoS Genet*, 11, e1005673.
- 371 Shea, P.R., Shianna, K.V., Carrington, M. & Goldstein, D.B. (2013) Host genetics of HIV acquisition and
 372 viral control. *Annual review of medicine*, 64, 203-217.
- 373 Sun, L., Demasi, L., Lafferty, M., Goicochea, M., Lu, W. & Garzino-Demo, A. (2006) CCR6 mediates the
 374 intracellular HIV inhibitory activity of human beta-defensin 2. *Retrovirology*, 3, S77.
- 375 Sun, L., Finnegan, C.M., Kish-Catalone, T., Blumenthal, R., Garzino-Demo, P., La Terra Maggiore,
 376 G.M., Berrone, S., Kleinman, C., Wu, Z., Abdelwahab, S., Lu, W. & Garzino-Demo, A. (2005)
 377 Human beta-defensins suppress human immunodeficiency virus infection: potential role in
 378 mucosal protection. *J Virol*, 79, 14318-14329.
- 379 Urban, T.J., Weintrob, A.C., Fellay, J., Colombo, S., Shianna, K.V., Gumbs, C., Rotger, M., Pelak, K.,
 380 Dang, K.K., Detels, R., Martinson, J.J., O'Brien, S.J., Letvin, N.L., Mcmichael, A.J., Haynes, B.F.,
 381 Carrington, M., Telenti, A., Michael, N.L. & Goldstein, D.B. (2009) CCL3L1 and HIV/AIDS
 382 susceptibility. *Nat Med*, 15, 1110-1112.
- 383 Wain, L.V., Odenthal-Hesse, L., Abujaber, R., Sayers, I., Beardsmore, C., Gaillard, E.A., Chappell, S.,
 384 Dogaru, C.M., Mckeever, T., Guetta-Baranes, T., Kalsheker, N., Kuehni, C.E., Hall, I.P., Tobin,
 385 M.D. & Hollox, E.J. (2014) Copy number variation of the beta-defensin genes in europeans:
 386 no supporting evidence for association with lung function, chronic obstructive pulmonary
 387 disease or asthma. *PLOS one*, 9, e84192.
- 388 Wiens, M.E., Wilson, S.S., Lucero, C.M. & Smith, J.G. (2014) Defensins and viral infection: dispelling
 389 common misconceptions. *PLoS Pathog*, 10, e1004186.

390

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review

Formatted: Position: Horizontal: 1.02",
Relative to: Page, Vertical: 10.83", Relative to:
Paragraph, Width: Exactly 6.32", Height:
Exactly 11.69"

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

391 |

Page 16 of 16

Formatted: Indent: Left: 0", First line: 0"

For Peer Review

Tables

Table 1 – ML integer copy number calls and comparison with other cohorts

Location	β-defensin copy number (frequency)									Total
	1	2	3	4	5	6	7	8	9	
Kigali, Rwanda	0	1 (0.02)	8 (0.12)	31 (0.47)	16 (0.24)	10 (0.15)	0	0	0	66
Masaka, Uganda	0	4 (0.09)	12 (0.26)	15 (0.32)	8 (0.17)	2 (0.04)	3 (0.06)	1 (0.02)	1 (0.02)	47
Kilifi, Kenya	0	5 (0.2)	4 (0.16)	12 (0.48)	3 (0.12)	1 (0.04)	0	0	0	25
Kangemi, Kenya	0	0	1 (0.11)	2 (0.22)	5 (0.55)	1 (0.11)	0	0	0	9
Lusaka, Zambia	1 (0.01)	9 (0.10)	15 (0.16)	30 (0.32)	30 (0.32)	3 (0.03)	4 (0.04)	1 (0.01)	1 (0.01)	94
Entebbe, Uganda	0	0	1 (0.08)	7 (0.54)	2 (0.15)	2 (0.15)	1 (0.08)	0	0	13
Copperbelt, Zambia	0	3	10 (0.24)	13 (0.32)	11 (0.27)	3 (0.07)	1 (0.02)	0	0	41
Rustenberg, South Africa	0	0	1 (0.17)	2 (0.33)	3 (0.5)	0	0	0	0	6
Cape Town, South Africa	0	0	0	1	0	0	0	0	0	1
Lusaka, Zambia (Hardwick	0	3 (0.03)	16 (0.13)	44 (0.37)	24 (0.20)	25 (0.21)	6 (0.05)	1 (0.01)	1 (0.01)	120

<i>et al., 2011)</i>										
SHCS	15 (0.00)	118 (0.04)	575 (0.18)	1326 (0.42)	808 (0.26)	243 (0.08)	54 (0.02)	13 (0.00)	3 (0.00)	3155
Combined European controls	11 (0.01)	76 (0.04)	390 (0.19)	833 (0.41)	484 (0.24)	191 (0.09)	39 (0.02)	6 (0.00)	4 (0.00)	2034

For Peer Review

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 2 Descriptive statistics of the two cohorts analysed in this study

	Mean (sd) SHCS cohort	Mean (sd) IAVI cohort
n	1525	302
Sex	1236 (81%) male, 289 (19%) female	178 (59%) male, 124 (41%) female
Year born or age	1964.84 (10.503)	31.7 (8.48)
β -defensin copy number	4.26 (1.05)	4.18 (1.14)
Log(spVL) copies/mL	4.36 (0.87)	4.4 (0.76)

Table 3 Regression model for association with Log(spVL) for IAVI cohort

Variable	B	95% Wald Confidence Interval for B		p-value
		Lower	Upper	
Sex: (reference=female)	0.371	0.196	0.546	3.4×10^{-5}
Age (years)	0.004	-0.006	0.015	0.392
Genetic variation principal component 1	3.076	1.599	4.554	4.5×10^{-5}
Genetic variation principal component 2	-1.584	-3.077	-0.090	0.038
Genetic variation principal component 3	1.094	-0.459	2.647	0.167
β -defensin copy number	0.007	-0.064	0.077	0.853

B=regression coefficient

Table 4 Regression model for association with Log(spVL) for SHCS cohort

Variable	B	95% Wald Confidence Interval for B		p-value
		Lower	Upper	
Sex: (reference=female)	0.439	0.330	0.548	2.8x10 ⁻¹⁵
Age (years)	0.002	-0.002	0.006	0.270
Genetic variation principal component 1	0.498	-1.650	2.646	0.650
Genetic variation principal component 2	-0.357	-2.473	1.760	0.741
β-defensin copy number	-0.020	-0.060	0.021	0.335

Table 5 Cox regression analysis of time-to-death outcome in SHCS cohort

Variable	B	Standard error of B	Wald-statistic	p-value	Exp(B)
Year of Birth	0.004	0.010	0.187	0.668	1.004
Sex (1=male, 2=female)	-0.574	0.249	5.327	0.021	0.563
Genetic variation principal component 1	2.210	4.923	0.202	0.653	9.115
Genetic variation principal component 2	-3.521	5.453	0.417	0.519	0.030
Log (spVL)	0.669	0.117	32.717	1.1x10 ⁻⁸	1.953

β -defensin copy number	0.115	0.081	2.036	0.154	1.122
-------------------------------	-------	-------	-------	-------	-------

The Wald statistic is a measure of the departure of B from zero, calculated as the square of the estimate of B divided by the square of the estimated standard error of B, will have a chi-squared distribution, and is used to calculate the p-value. Exp(B) represents the Hazard ratio.

Table 6 Cox regression analysis of time-to-death outcome in IAVI cohort

Variable	B	SE	Wald-statistic	p-value	Exp(B)
Year of Birth	-0.019	0.010	3.510	0.061	0.982
Sex (1=male, 2=female)	-0.487	0.182	7.110	0.008	0.615
Genetic variation principal component 1	-0.903	1.531	0.348	0.555	0.405
Genetic variation principal component 2	-3.659	1.581	5.358	0.021	0.026
Genetic variation principal component 3	1.661	1.878	0.783	0.376	5.267
Log (spVL)	1.222	0.151	65.055	7.3×10^{-16}	3.393
β -defensin copy number	-0.015	0.068	0.047	0.829	0.985

The Wald statistic is a measure of the departure of B from zero, calculated as the square of the estimate of B divided by the square of the estimated standard error of B, will have a chi-squared distribution, and is used to calculate the p-value. Exp(B) represents the Hazard ratio.

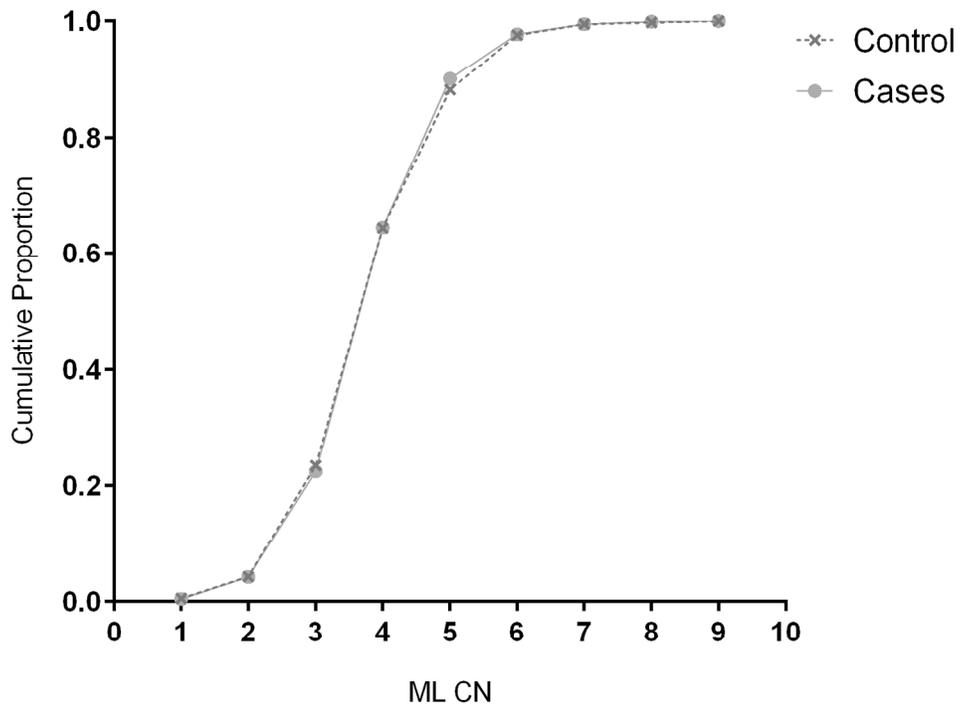


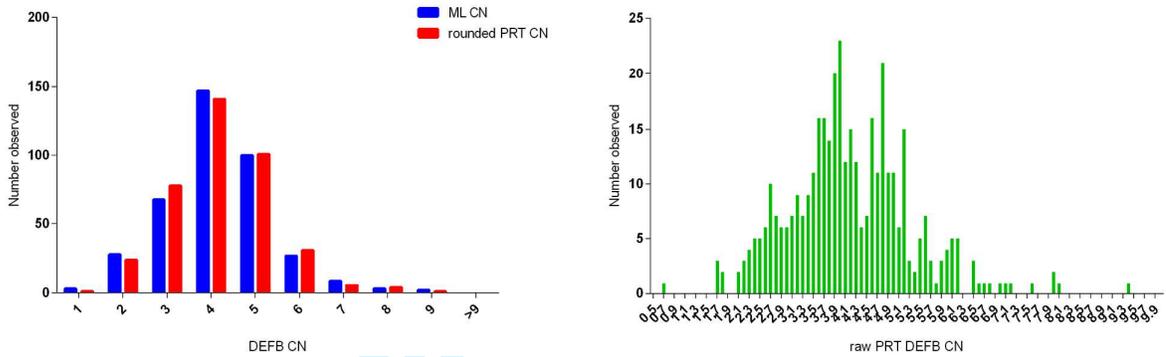
Figure 1 – Cumulative distribution of β -defensin copy number in HIV cases and population controls. Data from the Swiss HIV Cohort study (3155 cases) and UK population (2034 controls).

Review

Supplementary figure 1

Beta-defensin copy number distributions of (a) IAVI cohort and (b) SHCS. The bar graphs on the left shows the distribution of integer copy numbers for each cohort calculated by two different approaches (maximum-likelihood and rounding). The histograms on the right show the distribution of the raw normalised copy numbers.

a)



b)

