

1 **Phase 1b Study of Tirabrutinib in Combination With Idelalisib or**
2 **Entospletinib in Previously Treated Chronic Lymphocytic Leukemia**

3

4 **Short title: Phase 1b Study of Tirabrutinib Combinations in CLL**

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51 **Translational Relevance**

52 Pharmacologic targeting of the B-cell receptor (BCR) signaling pathway is an active area of drug
53 development in chronic lymphocytic leukemia (CLL) and non-Hodgkin lymphoma. However, few
54 clinical trials have evaluated concurrent inhibition of multiple targets in this pathway and none
55 have employed second-generation selective Bruton's tyrosine kinase (BTK) inhibitors in
56 combination with alternative BCR-associated kinases.

57 This is the first study to evaluate tirabrutinib, a second-generation BTK inhibitor, combined with
58 either the first-in-class PI3K δ inhibitor idelalisib or the first-in-class spleen tyrosine kinase
59 inhibitor entospletinib in patients with relapsed/refractory CLL. The trial demonstrated the safety
60 of the regimen, a low treatment discontinuation rate, and high efficacy. This study paves the
61 way for further investigations of concurrent targeting of multiple kinases within the BCR
62 signaling pathway as part of multiagent therapeutic regimens poised to prolong and deepen
63 responses and prevent emergence of resistance in CLL.

64

65 **Abstract**

66 **Background:** Bruton's tyrosine kinase (BTK) inhibition alone leads to incomplete responses in
67 chronic lymphocytic leukemia (CLL). Combination therapy may reduce activation of escape
68 pathways and deepen responses. This open-label, phase 1b, sequential dose-escalation and
69 dose-expansion study evaluated the safety, tolerability, pharmacokinetics, and preliminary
70 efficacy of the selective BTK inhibitor tirabrutinib (TIRA) alone, in combination with the
71 phosphoinositide-3-kinase delta (PI3K δ) inhibitor idelalisib (IDELA), or with the spleen tyrosine
72 kinase (SYK) inhibitor entospletinib (ENTO) in patients with relapsed/refractory CLL.

73 **Methods:** Patients received either TIRA monotherapy (80 mg QD) or TIRA 20 mg to 150 mg
74 QD in combination with either IDELA (50 mg BID or 100 mg QD) or ENTO (200 mg or 400 mg
75 QD).

76 **Findings:** Fifty-three patients were included. Systemic TIRA exposure was comparable
77 between monotherapy and combination therapy. No maximum tolerated dose was identified.
78 Across all treatment groups, the most common adverse event was diarrhea (43%, 1 patient
79 grade ≥ 3); discontinuation due to adverse events was uncommon (13%). Objective response
80 rates were 83%, 93%, and 100%, and complete responses were 7%, 7%, and 10% in patients
81 receiving TIRA, TIRA/IDELA, and TIRA/ENTO, respectively. As of February 21, 2019, 46/53
82 patients continue to receive treatment on study.

83 **Interpretation:** TIRA in combination with IDELA or ENTO was well tolerated in patients with
84 CLL, establishing an acceptable safety profile for concurrent selective inhibition of BTK with
85 either PI3K δ or SYK. This small study did not establish a superior efficacy of the combinations
86 over TIRA alone. This trial is registered at www.clinicaltrials.gov (NCT02457598).

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88 Introduction

89 In the past 2 decades, signaling through the B-cell receptor (BCR) has been a major focus of
90 pharmacologic research. Several BCR-targeted agents are now approved for patients with
91 chronic lymphocytic leukemia (CLL), including ibrutinib and acalabrutinib^{1,2} (Bcr tyrosine
92 kinase [BTK] inhibitors), idelalisib (IDELA) and duvelisib (phosphoinositide-3-kinase [PI3K]
93 inhibitors), and venetoclax (a BCL2 inhibitor).

94 Ibrutinib, a first-generation BTK inhibitor, irreversibly inhibits at least 11 other kinases with an
95 IC₅₀ of approximately 11 nM or less: BTK, BLK, BMX, CSK, FGR, BRK, HCK, EGFR, YES,
96 ErbB2, and ITK.^{3,4} In addition, ibrutinib reversibly binds to other kinases that lack the active site
97 cysteine residue, in some cases with comparable affinity as binding to BTK.³⁻⁵ Binding of
98 ibrutinib to these additional kinases may potentially be responsible for side effects such as rash,
99 diarrhea, bleeding, and atrial fibrillation.⁶⁻⁹

100 Selective BTK inhibitors have been developed to minimize these off-target effects. Tirabrutinib
101 (TIRA, formerly ONO/GS-4059) is a selective, irreversible, second-generation, small-molecule
102 BTK inhibitor.^{5,10} TIRA irreversibly binds to C481 of BTK with greater target selectivity. In a
103 recent study, the IC₅₀ values for inhibition of kinases by tirabrutinib were: BTK, 6.8 nM; BMX, 6
104 nM; BLK, 300 nM; TEC, 48 nM; EGFR, 3020 nM; ErbB2, 7313 nM; and ITK, >20,000 nM. TIRA
105 showed a 440-fold and >2940-fold selectivity for BTK over EGFR and ITK, respectively.¹¹ In a
106 phase 1 dose-escalation trial, TIRA demonstrated an overall response rate (ORR) of 96% in 28
107 patients with relapsed/refractory (R/R) CLL, with no maximum tolerated dose (MTD) identified
108 up to 600 mg QD.⁵ Long-term follow-up of that study (for a median of 32.5 months)
109 demonstrated an estimated median progression-free survival (PFS) of 38.5 months and median
110 overall survival of 44.9 months.¹² Despite the high ORR achieved with BTK inhibitors, most CLL
111 patients will progress while on therapy. Thus, new therapeutic strategies are needed to achieve
112 an increased depth of response to improve remission duration and reduce the emergence of
113 resistant subclones, without additional toxicities.

114 The BCR signaling cascade consists of divergent signaling pathways. BCR cross-linking leads
115 to activation of proximal tyrosine kinases, including BTK, PI3K, and spleen tyrosine kinase
116 (SYK). The signal is further transmitted through multiple downstream mediators, leading to
117 upregulation of antiapoptotic proteins such as MCL1 and BCL2.^{13,14} The potential benefit of
118 combining agents targeting BTK, PI3K, and SYK has been well documented in CLL and non-
119 Hodgkin lymphoma (NHL) preclinical models. Concurrent targeting of BTK and PI3K in a murine
120 CLL model led to improved survival and reduction in tumor burden compared with either agent
121 alone.¹⁵ Additive or synergistic effects of the combination of ibrutinib and IDELA have been
122 reported in CLL, mantle cell lymphoma (MCL), and diffuse large B-cell lymphoma (DLBCL) cell
123 lines.^{16,17} Combined inhibition of PI3K and BTK induced apoptosis of the DLBCL cell line TMD8,
124 which was resistant to inhibition of either kinase alone.¹⁸ Concurrent inhibition of alternative
125 kinases may rely on unique mechanisms: unlike other BCR-signaling inhibitors, the selective
126 reversible inhibitor of SYK entospletinib (ENTO) led to downregulation of MCL1 in CLL cells in
127 microenvironment-mimicking conditions in vitro, thereby interrupting prosurvival signaling.¹³

128 Given this data, several clinical trials combining BTK and PI3K inhibitors in B-cell malignancies
129 are ongoing. These include a phase 1 study of umbralisib + ibrutinib in patients with R/R CLL,¹⁹
130 a phase 1 study of umbralisib, ublituximab, and ibrutinib in patients with CLL and non-Hodgkin
131 lymphoma,²⁰ and a phase 1/2 study of pan-PI3K inhibitor copanlisib and ibrutinib in patients with
132 MCL (NCT03877055).

133 These data provide a rationale for exploring combined inhibition of multiple kinases in the BCR
134 pathway for treatment of CLL. In this study we evaluated the safety, tolerability, and preliminary
135 efficacy of the combinations TIRA/IDELA and TIRA/ENTO, as well as TIRA monotherapy, in
136 patients with relapsed/refractory CLL.

137

138 **Materials and Methods**

139 *Study design*

140 This was a phase 1b, open-label, multicenter, sequential, dose-escalation and dose-expansion
141 study (NCT02457598) conducted in the United States, the United Kingdom, and France.
142 Patients with CLL reported here represent one histological cohort of a larger study
143 (Supplemental Figure 1) evaluating TIRA combinations in subjects with relapsed or refractory
144 NHL. This manuscript reports safety and efficacy data only in patients with CLL. Eligible patients
145 were age ≥ 18 years with Eastern Cooperative Oncology Group (ECOG) performance status ≤ 2
146 and either documented disease progression or stable disease on the most recent of ≥ 1
147 chemotherapy- or immunotherapy-based CLL treatment regimen, and no prior exposure to AKT,
148 BTK, PI3K, JAK, mTOR, or SYK inhibitors (see Supplemental Table 1 for more details).
149 Institutional review boards at each of the study sites approved the protocols. All patients
150 provided written informed consent. This study was conducted in accordance with the
151 Declaration of Helsinki.

152 A standard 3+3 dose-escalation schema was followed (Supplemental Table 2). Patients
153 receiving the TIRA/IDELA combination were treated on 28-day cycles with either IDELA 50 mg
154 BID or 100 mg QD and TIRA ranging from 20 mg to 160 mg QD. Patients receiving the
155 TIRA/ENTO combination were treated with either ENTO 200 mg or 400 mg QD and TIRA
156 ranging from 40 mg to 150 mg QD. TIRA monotherapy was given at 80 mg QD. Patients
157 received a single dose of TIRA on cycle 1, day 1, before initiating IDELA or ENTO in
158 combination with TIRA on cycle 1, day 2 (or continuation with TIRA monotherapy). After
159 completion of study treatment, patients attended a 30-day safety follow-up visit.

160 Determination of CLL response and progression was based on the 2008 standardized
161 International Workshop on CLL (iwCLL) Criteria, which were current at the time the study
162 protocol was finalized.²¹ CLL patients had CT or MRI scans performed at baseline, at 24 weeks,
163 and at the time of progression. Bone marrow aspirates were collected at the time of suspected
164 complete response (CR) for minimal residual disease (MRD) testing using the CLL ERIC MRD
165 flow cytometry panel at Covance/Labcorp, Indianapolis, based on Rawstrom et al, 2007, and

166 Rawstrom et al, 2013.^{22,23} For prognostic biomarkers, peripheral blood was collected prior to
167 therapy at cycle 1, day 1, at disease progression, and at the time of suspected CR for MRD
168 testing.

169 The primary endpoint of the dose-escalation phase was safety, evaluated by the occurrence of
170 adverse events (AEs) and laboratory abnormalities defined as dose-limiting toxicities (DLTs)
171 (see Supplemental Table 3). The disease and dose chosen for expansion cohorts were based
172 on emerging safety, pharmacokinetics (PK), and pharmacodynamic results of the dose-
173 escalation phase. In the dose-expansion phase (Supplemental Table 4), the primary endpoint
174 was ORR, defined as the proportion of patients who achieve a CR (including those with CR with
175 undetectable MRD), partial response (PR), or PR with lymphocytosis.²⁴ Secondary endpoints
176 included PFS, duration of response, time to response, proportion of subjects who achieve
177 undetectable MRD (defined as <1 leukemia cell/10,000 leukocytes), and PK parameters. Sum
178 of the products of greatest perpendicular lesion diameters (SPD) change from baseline was
179 evaluated as an exploratory endpoint.

180 *Pharmacokinetic assessments*

181 Blood samples were collected at protocol prespecified sampling times. Patients in the dose-
182 escalation cohorts underwent intensive PK sampling on day 1, day 2, and day 8 of the first
183 treatment cycle in order to assess potential drug-drug interactions between TIRA and IDELA or
184 ENTO. Dose-escalation cohorts enrolled subjects with various B-cell malignancies, with the
185 exception of the last dose-escalation cohort/highest TIRA dose (160 mg) that enrolled DLBCL
186 subjects only. CLL patients in the dose-expansion cohorts had sparse PK samples collected at
187 predose and 1.5–4.0 hours postdose of TIRA and ENTO or IDELA throughout the study.

188 Plasma concentrations of TIRA, ENTO, and IDELA were determined using validated
189 bioanalytical assays. PK parameters were estimated by standard noncompartmental methods
190 using Phoenix WinNonlin[®] 7.0 software (Certara, Princeton, NJ, US). PK parameters and
191 concentrations were summarized using descriptive statistics.

192 *Cytogenetic assessments*

193 A panel of genetic aberrations common in CLL was assessed using next generation sequencing
194 (NGS, CGI Focus CLL panel [NGS mutation panel consisting of 7 genes: *TP53*, *ATM*, *BIRC3*,
195 *NOTCH1*, *SF3B1*, *CARD11*, and *MYD88*]), *IGVH* mutational status analysis, and FISH (both
196 performed at Cancer Genetics; Rutherford, NJ, US). The following FISH probes were used:
197 11q22.3 (*ATM*); 17p13 (*TP53*); CEP12; 13q14(D13S319)/13q34; CEP6/6q23 (c-MYB);
198 t(11;14)(CCND1/IGH). Cytogenetic risk was categorized as high for patients with *TP53*
199 aberrations (deletions and/or mutations in the *TP53* gene determined by NGS or FISH panels),
200 and standard risk for those with no detectable *TP53* aberrations.

201 *BTK occupancy assay*

202
203 BTK occupancy was evaluated in a duplexed, homogeneous Time-Resolved Fluorescence
204 Resonance Energy Transfer assay that measures total and free BTK in peripheral blood
205 mononuclear cells.²⁵

206 *Statistical analysis*

207 Analysis results are presented using descriptive statistics. For categorical variables, the number
208 and percentage of subjects in each category are presented; for continuous variables, this may
209 include the number of subjects (n), mean, standard deviation (SD) or standard error, median,
210 first quartile (Q1), third quartile (Q3), minimum, and maximum. Best overall response was
211 defined as the best response recorded from the start of treatment until PD/recurrence. ORR is
212 defined as the proportion of subjects who achieve CR or PR during the study based on iwCLL
213 2018 criteria.²⁴ PFS was analyzed by Kaplan-Meier methods and defined as the interval from
214 the start of the study therapy to the earlier of the first documentation of definite disease
215 progression (radiographic or clinical progression) or death from any cause.

216 The follow-up time for PFS was summarized using descriptive statistics and defined as the
217 interval from the study therapy start date to the last follow-up date. For patients who were lost to
218 follow-up without a PFS event, the last efficacy assessment date was used as the last follow-up
219 date. All others were assigned the data extraction date (21 February 2019) as the follow-up
220 date.

221

222 **Results**

223 A total of 53 patients with CLL were enrolled: 29 patients in the TIRA cohort, 14 in the
224 TIRA/IDELA cohort, and 10 in the TIRA/ENTO cohort. Baseline demographics are summarized
225 in Table 1. The median number of prior therapies was 1 in each treatment cohort. All patients in
226 the combination therapy groups and 27 of 29 patients assigned to TIRA had an ECOG
227 performance status of ≤ 1 . There was a higher proportion of patients with Rai stage III-IV in the
228 TIRA/ENTO cohort (50%), compared with the TIRA/IDELA (21%) and TIRA monotherapy (31%)
229 cohorts.

230 As of 21 February 2019, 46 of 53 patients continue to receive treatment on study: 26 patients on
231 TIRA, 10 patients on TIRA/IDELA, and all 10 patients assigned to TIRA/ENTO. Median (range)
232 exposures were 67.4 (0.3, 104.6), 135.0 (36.0, 185.3), and 132.1 (107.6, 144.3) weeks in the 3
233 treatment cohorts, respectively (Figure 1, Supplemental Table 5). Three patients discontinued
234 the study in the TIRA group (Supplemental Figure 2): 1 due to a treatment-emergent adverse
235 event (TEAE, aphasia, deemed not related to study drug), 1 per investigator discretion, and 1
236 due to progressive disease. Four patients discontinued the study in the TIRA/IDELA group: 1
237 due to progressive disease, 2 per investigator discretion, and 1 death. There were no study
238 discontinuations in the TIRA/ENTO cohort.

239 *Safety*

240 No DLTs were observed in CLL patients receiving either combination, and hence, no MTD was
241 identified in any cohort at the doses evaluated. In each treatment cohort, all patients had at least
242 1 TEAE and/or at least 1 laboratory abnormality. Across all treatment cohorts, the most common
243 TEAEs were diarrhea, constipation, nausea, neutropenia, and contusion (Table 2, Supplemental
244 Table 6). Overall, neutropenia was the most common TEAE and the most common grade ≥ 3
245 laboratory abnormality (Table 3).

246 No patients receiving TIRA or TIRA/ENTO experienced pneumonitis; however, 2 patients on
247 TIRA/IDELA developed grade 1–2 pneumonitis. One patient receiving TIRA/IDELA died; the
248 patient was reported to have stopped breathing while on a long car ride. No autopsy was
249 performed and the cause of death remains unknown. No patients receiving TIRA or TIRA/ENTO
250 died during the study. There were no cases of Richter’s transformation in this study.

251 *TIRA*

252 Diarrhea and nausea were the most common TEAEs with TIRA monotherapy (Table 2),
253 occurring in 9 (31%) patients each. Six (21%) patients had neutropenia. Serious TEAEs
254 occurred in 5 (17%) patients (Supplemental Table 6): these included pneumonia, pneumonia
255 pseudomonal, and pneumonia staphylococcal (3 patients); aphasia, and lower respiratory tract
256 infection (1 patient each). Grade ≥ 3 TEAEs were reported in 10 (35%) patients, most commonly
257 neutropenia, occurring in 5 (17%) patients.

258 *TIRA/IDELA*

259 The most common TEAEs on TIRA/IDELA combination therapy were diarrhea in 8 (57%)
260 patients, and neutropenia, cough, rash, and bronchitis, occurring in 5 (36%) patients each.
261 Seven (50%) patients had serious TEAEs, the most common being pyrexia, pneumonia, and
262 febrile neutropenia (2 patients each). Grade ≥ 3 TEAEs were reported in 10 (71%) patients, most
263 commonly neutropenia, which occurred in 5 (36%) patients.

264 *TIRA/ENTO*

265 In the TIRA/ENTO cohort, the most common TEAEs were diarrhea, fatigue, and contusion,
266 occurring in 6 (60%) patients each. Serious TEAEs were reported in 5 (50%) patients, the most
267 common being upper respiratory tract infection (2 patients). Grade ≥ 3 TEAEs were reported in 7
268 (70%) patients, most commonly neutropenia in 3 (30%) and upper respiratory tract infection in 2
269 (20%).

270 *TEAEs of special interest*

271 Diarrhea and liver function test abnormalities are recognized complications of therapy with PI3K
272 inhibitors, while hemorrhagic events, atrial fibrillation, and hypertension have been associated
273 with BTK inhibitor therapy. In this study, only 1 patient receiving TIRA/IDELA experienced a

274 grade 3 AE of diarrhea, which resolved upon dose interruption. There was also 1 patient with a
275 TEAE of diarrhea leading to treatment discontinuation in this study. Increases in alanine
276 aminotransferase and aspartate aminotransferase and decreases in creatinine clearance
277 occurred at higher rates in the combination cohorts. All such TEAEs resolved with treatment
278 interruption.

279 Across treatment groups, the overall frequency of bleeding/hemorrhage was 53% (based on a
280 broad medical search for terms such as contusion, petechiae, ecchymosis, conjunctival and
281 hemorrhoidal hemorrhage, epistaxis, hematoma, and purpura). One patient receiving
282 TIRA/IDELA had a grade 3 subdural hemorrhage. Atrial fibrillation was detected in 6% of
283 patients on this study (none with grade ≥ 3). Meanwhile, the frequency of hypertension was 4%.

284 *Efficacy*

285 All patients were evaluable for response. Among all patients on study, ORR was 88.7% (47 out
286 of 53 patients). Four patients achieved CR as defined by iwCLL criteria: 2 on TIRA and 1 each
287 in the combination therapy groups. Of these, none had undetectable MRD. SPD reduction (best
288 change from baseline) is shown in Figure 2. Out of the patients with valid baseline and post-
289 baseline SPD measurements, only 1 patient in the TIRA arm and 2 patients treated with the
290 combination of TIRA/IDELA did not reach a 50% decrease in SPD from baseline as the best
291 response.

292 *TIRA*

293 The ORR in the TIRA group was 83% (Table 4). Median duration of response was not reached;
294 mean (SD) time to response was 4.6 (1.3) months. Median PFS has not been reached; median
295 (range) follow-up time of PFS was 15.5 (0.0, 24.0) months.

296 *TIRA/IDELA*

297 In patients treated with the TIRA/IDELA combination, the ORR was 93%. Median duration of
298 response was 27 months (95% CI, 15 to 27 months). Mean (SD) time to response was 5.5 (1.1)
299 months. Median PFS was 32 months (95% CI, 8 to 32 months), and the median (range) follow-
300 up time of PFS was 34 (26, 43) months. Two patients had disease progression on TIRA/IDELA
301 during the time course of this study.

302 *TIRA/ENTO*

303 Patients in the TIRA/ENTO treatment group had an ORR of 100%. Median duration of response
304 was not reached, and the mean (SD) time to response was 5.8 (0.7) months. Median PFS also
305 was not reached, and the median (range) follow-up time of PFS was 30.4 (24.7, 33.2) months.

306 *Cytogenetic risk*

307 Patients were classified as high risk if a *TP53* mutation and/or a del(17p) was detected, in
308 contrast to patients with no aberration in *TP53* who were classified as standard risk. Data were
309 available for all but 4 patients across all 3 arms. Based on the presence of *TP53* gene
310 aberrations, 12 patients were categorized as high risk (Figure 2). Ten of these patients achieved
311 either complete or partial response (responders), while 2 were nonresponders (Supplemental
312 Table 7). Further, 37 patients were categorized as standard risk; 34 of these were responders, 3
313 were nonresponders. Reduction in tumor burden in response to TIRA alone or in combination
314 with IDELA or ENTO was observed among patients with wild type as well as aberrant *TP53*
315 (Figure 2). Across all treatment groups, only 7 patients had *IGHV* mutations; none of these
316 patients achieved CR. Additional cytogenetic data correlated with treatment response can be
317 found in Supplemental Table 8.

318 *Pharmacokinetics and pharmacodynamics*

319 The pharmacokinetics of TIRA were consistent with previous studies.⁵ No accumulation of TIRA
320 was observed after QD dosing, which is expected given the short TIRA half-life of 4–7 hours.⁵
321 Systemic TIRA exposure in CLL patients is shown in Supplemental Table 9. TIRA plasma
322 concentrations in CLL subjects were above levels required to inhibit BTK in peripheral blood
323 (~20 ng/mL protein-adjusted IC₅₀, see Supplemental Figure 3).⁵

324 Assessment of drug-drug interactions between TIRA and ENTO or IDELA was carried out in the
325 dose-escalation cohorts, where intensive PK sampling was performed (see Methods). Based on
326 these data we conclude that IDELA and ENTO did not affect TIRA PK (Supplemental Figure 3
327 and Supplemental Table 10). TIRA did not affect ENTO PK, but increased IDELA exposures at
328 higher doses (TIRA 160 mg QD, see Supplemental Table 10). Note that patients treated at the
329 TIRA 160 mg QD dose level were those with diffuse large B-cell lymphoma. This observation is
330 consistent with a potential inhibitory effect of TIRA on the CYP3A metabolizing enzyme and/or
331 the P-glycoprotein transporter for which IDELA is a substrate.^{26,27}

332 Pharmacodynamic changes were assessed by measuring free and total BTK levels. Among the
333 25 CLL patients with evaluable data, the range of measured free BTK at baseline was 31–139
334 ng/mL. Free BTK levels decreased rapidly on treatment with 19 of 22 patients for whom assay
335 data were available having no detectable free BTK (LLOQ, 12 ng/mL) 2 hours after the first
336 dose. Free BTK levels were also not detectable in patients at trough. These observations were
337 consistent across TIRA dose levels (20 mg BID, 40 mg QD, 80 mg QD); however, few samples
338 were available at lower doses (Supplemental Table 11). Combining TIRA with IDELA or ENTO
339 appeared to have the same effect on the free BTK levels as TIRA alone, although only limited
340 data are available for combination treatment (Supplemental Figure 4).

341

342 **Discussion**

343 BTK inhibition in CLL is associated with an improved rate of PFS compared with standard
344 chemoimmunotherapy regimens (bendamustine plus rituximab; fludarabine, cyclophosphamide,
345 and rituximab; and chlorambucil), particularly in patients with unmutated *IGHV*^{6,28} and

346 del(17p).^{7,29} Recently the ELEVATE-TN study also showed that CLL patients with mutated
347 *IGHV*, acalabrutinib+obinutuzumab led to significantly improved PFS when compared with
348 obinutuzumab/chlorambucil.² However, there is a need for therapies for CLL patients that lead
349 to deeper and longer remission. Complete responses with single-agent BCR pathway inhibitors
350 are infrequent (<10%).^{6,30-33} Persistent low-level residual disease allows the development of
351 resistance, which can be difficult to treat. Combination therapy has the potential to meet these
352 needs through broader elimination of B-cell clones, increased depth of response, and hence,
353 shortened treatment duration. BTK, PI3K, and SYK are tractable targets within the BCR
354 signaling cascade, and combining inhibitors of these pathways is a logical option to achieve
355 those goals. The results presented here are the first to evaluate the combination of selective
356 BTK inhibition with SYK or PI3K inhibition in patients with R/R CLL.

357 In this study, the objective was to evaluate the safety and preliminary efficacy of TIRA as
358 monotherapy as well as in combination with ENTO or IDELA for patients with R/R CLL. The
359 results demonstrate that TIRA in combination with IDELA or ENTO was well tolerated, with no
360 significant potentiation of the previously characterized side effects associated with the individual
361 agents. No DLTs were observed in patients receiving combination treatment, and no MTD was
362 identified in any cohort at the doses evaluated. In previous studies, diarrhea and hepatic toxicity
363 have been prevalent TEAEs observed with IDELA monotherapy or ENTO monotherapy,
364 respectively.^{5,34} In the present study, rates of grade 1–2 diarrhea were 31%, 57%, and 60% with
365 TIRA, TIRA/IDELA, and TIRA/ENTO, respectively, but only 1 patient discontinued therapy due
366 to this AE. A study evaluating dual therapy with IDELA/ENTO in CLL and NHL patients found
367 severe treatment-emergent pneumonitis to be a prohibitive toxicity. Pneumonitis is a well-
368 described complication of therapy with PI3K δ inhibitors and has been reported in clinical trials of
369 idelalisib, duvelisib, and umbralisib.^{20,35,36} Cases of pneumonitis have been reported in CLL
370 patients treated with ibrutinib.³⁷ Pneumonitis was also reported with the SYK inhibitor
371 fostamatinib.³⁸ No patients receiving TIRA or TIRA/ENTO experienced pneumonitis; however, 2
372 patients on TIRA/IDELA developed grade 1–2 pneumonitis. Therefore, while uncommon,
373 physicians treating patients with CLL should be aware of pulmonary complications that can arise
374 when using novel agents.

375 These phase 1 safety findings are significant when put in context with use of currently approved
376 BTK inhibitors. Between 12% and 21% of patients with R/R CLL have stopped therapy with
377 ibrutinib due to adverse events when treated in clinical trials (with median follow-up between 9
378 and 62 months).^{29,33,39} Furthermore, the overall ibrutinib discontinuation rate was as high as
379 41% in a real-world study (median follow-up 17 months), with drug-associated toxicity
380 accountable for 50% of the discontinuations among the relapsed patients.⁸ This is particularly
381 important in CLL because the majority of these patients have multiple comorbidities,⁴⁰ which are
382 known to negatively impact outcomes following chemoimmunotherapy⁴¹ or treatment with
383 ibrutinib.⁴² For example, age is a significant independent risk factor for therapy discontinuation,⁴³
384 and higher comorbidity burden increases the likelihood of drug discontinuation and/or death.⁴²
385 Consistent with the favorable tolerability of TIRA monotherapy and combinations in the present

386 study, we observed low overall discontinuation rates (13%) due to AEs among all patients. TIRA
387 monotherapy discontinuation was particularly infrequent (6%).

388 TIRA PK was consistent with previous reports. Pharmacodynamic data demonstrate that TIRA
389 doses of 40 mg and above lead to full target occupancy, with no detectable free BTK, implying
390 complete inhibition of BTK-mediated signaling. The reduction in free BTK was rapid (within
391 hours of the first dose). Combining TIRA with either ENTO or IDELA did not influence the levels
392 of free BTK. An objective response was achieved by 83%, 93%, and 100% of patients in the
393 TIRA, TIRA/IDELA, and TIRA/ENTO treatment cohorts, respectively. The benefit of treatment is
394 also evident in that responses to treatment are ongoing—46 patients still continue on study at
395 the time of this report. Median PFS was 32 months in the TIRA/IDELA cohort and was not
396 reached in CLL patients treated with TIRA or TIRA/ENTO. Based on the PD data showing that
397 full BTK occupancy was achieved at 40 mg TIRA, and safety and efficacy data from the present
398 study in conjunction with that of a previous study (Study ONO-4059POE001, NCT01659255),⁵
399 the recommended phase 2 dose is 80 mg TIRA QD.

400 The preliminary efficacy findings of this study are consistent with the results of other early-phase
401 clinical trials of BCR-signaling pathway inhibitors. A phase 1 study of the PI3K inhibitor
402 umbralisib in combination with ibrutinib reported an overall response rate of 90% in patients
403 (N=21) with R/R CLL.¹⁹ In a combination phase 1 study of umbralisib, ublituximab (a second-
404 generation anti-CD20 antibody), and ibrutinib in patients with CLL and NHL, ORR was 84%.²⁰
405 Meanwhile, an ORR of 95% was reported among patients with R/R CLL treated with
406 acalabrutinib, a second-generation BCR-signaling inhibitor with relative selectivity toward BTK.³¹

407 Durable responses in patients with *TP53* aberrations continue to represent an unmet medical
408 need in the era of targeted therapies. Presence of del(17p) was a statistically significant
409 independent negative predictor of outcomes among patients treated with ibrutinib in a large
410 cooperative group trial.^{39,44} In the present data, responses were independent of *TP53* or
411 del(17p) status. Among the 12 high-risk patients, 10 achieved a response. Thus, consistent with
412 previous evaluation of TIRA as monotherapy, in this study TIRA given as monotherapy as well
413 as in combination with IDELA or ENTO appears to benefit high-risk patients with *TP53*
414 mutations or del(17p). This is consistent with the previously observed efficacy of BTK inhibition
415 in patients with 17p/*TP53* aberrations.^{7,29,31}

416 The limitations of this study include the short follow-up and the small number of patients, which
417 make comparisons between treatment groups difficult. While a panel of mutations and other
418 aberrations was assessed, due to the limited number of patients and the multiple combinations
419 of these aberrations per patient, additional conclusions on the efficacy of TIRA in patients with
420 specific aberrations besides *TP53*mt/17pdel could not be drawn.

421 BTK and in particular PI3K inhibition may increase genomic instability in preclinical models
422 through enhanced expression of activation-induced cytidine deaminase, an enzyme involved in
423 class switch recombination of the immunoglobulin genes.⁴⁵ It is possible that long-term therapy
424 with BTK inhibitors alone or in combination with PI3K inhibitors may result in increased
425 incidence of secondary cancers through this mechanism. This is particularly relevant in patients

426 with CLL who demonstrate an increased risk of secondary malignancies due to underlying
427 immune dysregulation.⁴⁶ On the other hand, treatment with BTK inhibitors may lead to the
428 reversal of the immunosuppressive state in CLL,⁴⁷ potentially enhancing antitumor immunity.
429 Long-term follow-up will be needed to fully evaluate the risks of secondary malignancies among
430 patients treated with BCR-signaling inhibitors.

431 While the preliminary efficacy data are promising, the reported combinations have not resulted
432 in attaining rates of deeper responses that were hoped for in CLL. Whether a longer-term follow-
433 up of these patients will result in higher rates of complete responses or undetectable MRD
434 remains to be seen. A potential approach to further deepen responses is to add an anti-CD20
435 regimen with the current combinations. Phase 2 studies of triple-combination therapy to
436 evaluate TIRA/ENTO ± obinutuzumab (NCT02983617) and TIRA/IDELA ± obinutuzumab
437 (NCT02968563) in patients with R/R CLL are currently underway. Overall, we report the
438 favorable safety profile, low rates of discontinuation, and promising preliminary efficacy data of
439 TIRA both as monotherapy and in combination with other BCR signaling pathway inhibitors.

440

441

442

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448

449 **Footnotes**

450 A.V.D. is a Leukemia & Lymphoma Society Scholar in Clinical Research.

451 **Data sharing statement:** Anonymized individual patient data will be available upon request to
452 qualified external researchers 6 months after FDA and European Medicines Agency approval
453 per Gilead's Clinical Trial Disclosure & Data Transparency Policy as posted at
454 <https://www.gilead.com/research/disclosure-and-transparency>.

455

456 **Authorship**

457 Contribution: A.V.D., C.H., S.S.M., S.A.R., R.H., P.C.Y., J.M.J., and X.H. designed and
458 performed research; S.S.M., R.H., J.M.J., X.H., Z.Z., P.B., and P.C.Y. analyzed data; all authors
459 participated in drafting, revising, and approving the final manuscript.

460

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464 [information-approved-drugs/project-orbis-fda-approves-acalabrutinib-cll-and-sll](https://www.fda.gov/drugs/resources-information-approved-drugs/project-orbis-fda-approves-acalabrutinib-cll-and-sll).)
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582

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Table 1. Patient demographics and baseline characteristics

585

	TIRA (N=29)	TIRA/IDELA (N=14)	TIRA/ENTO (N=10)
Age, median (range) years	70 (52-91)	66 (50-79)	74 (61-82)
≥65 years of age, n (%)	21 (72.4)	8 (57.1)	9 (90)
Female	12 (41.4)	7 (50)	6 (60)
Time since diagnosis, median (range) years	10.5 (0.2, 22.4)	7.9 (1.4, 13.7)	7.7 (4.6, 13.7)
ECOG performance status, n (%)			
0	17 (58.6)	6 (42.9)	2 (20)
1	10 (34.5)	8 (57.1)	8 (80)
≥2	1 (3.4)	0	0
Missing	1	0	0
Rai staging at screening, n (%)			
Stage 0 (low risk)	0	1 (7.1)	0
Stage I-II (intermediate risk)	12 (41.4)	6 (42.9)	4 (40.0)
Stage III-IV (high risk)	9 (31.0)	3 (21.4)	5 (50.0)
Missing	8 (27.6)	4 (28.6)	1 (10)
Prior no. of anticancer therapies, median (range)	1 (1-6)	1 (1-4)	1 (1-3)
Best response to last regimen, n (%)			
Complete response	13 (44.8)	5 (35.7)	3 (30.0)
Partial response	7 (24.1)	4 (28.6)	5 (50.0)
Stable disease	1 (3.4)	2 (14.3)	0
Progressive disease	0	1 (7.1)	2 (20.0)
Other*	8 (27.6)	2 (14.3)	0

586

ECOG, Eastern Cooperative Oncology Group.

587

*Includes patients with unknown prior response, or unable to evaluate.

588

589

590

591 **Table 2. Incidence of treatment-emergent adverse events**

Category, n (%)	TIRA N=29	TIRA/IDELA N=14	TIRA/ENTO N=10	Overall N=53	Grade ≥3 (Overall) N=53
TEAEs by MedDRA-Preferred Term ^a					
Diarrhea	9 (31)	8 (57)	6 (60)	23 (43)	1 (2) ^b
Nausea	9 (31)	4 (29)	2 (20)	15 (28)	0
Contusion	4 (14)	3 (21)	6 (60)	13 (25)	0
Neutropenia	6 (21)	5 (36)	2 (20)	13 (25)	12 (23)
Constipation	6 (21)	4 (29)	2 (20)	12 (23)	0
Cough	2 (7)	5 (36)	5 (50)	12 (23)	0
Rash	4 (14)	5 (36)	2 (20)	11 (21)	0
Upper respiratory tract infection	3 (10)	4 (29)	4 (40)	11 (21)	2
Dyspepsia	3 (10)	4 (29)	3 (30)	10 (19)	0
Arthralgia	3 (10)	4 (29)	2 (20)	9 (17)	0
Fatigue	1 (3)	2 (14)	6 (60)	9 (17)	0
Petechia	4 (14)	3 (21)	2 (20)	9 (17)	0
Rhinitis	2 (7)	4 (29)	3 (30)	9 (17)	0
Back pain	3 (10)	4 (29)	1 (10)	8 (15)	0
Bronchitis	3 (10)	5 (36)	0	8 (15)	0
Dizziness	4 (14)	2 (14)	2 (20)	8 (15)	0
Muscle spasms	3 (10)	4 (29)	1 (10)	8 (15)	0
Vomiting	1 (3)	3 (21)	4 (40)	8 (15)	0

592 MedDRA, Medical Dictionary for Regulatory Activities.

593 ^aTEAEs of any grade occurring in ≥15% of patients overall.

594 ^bOne patient receiving TIRA/IDELA experienced a grade 3 AE of diarrhea, which resolved upon dose interruption.

595

596

597 **Table 3. Incidence of ≥grade 3 laboratory abnormalities of interest**

598

Category, n (%)	TIRA (N=29)	TIRA/IDELA (N=14)	TIRA/ENTO (N=10)
≥Grade 3 laboratory abnormalities of interest	19 (68)	14 (100)	7 (70)
<i>Hematology</i>			
Neutrophils decreased	4 (14)	6 (43)	3 (30)
Platelets decreased	4 (14)	2 (14)	2 (20)
Hemoglobin decreased	2 (7)	0	1 (10)
Lymphocytes decreased	0	1 (7)	2 (20)
<i>Chemistry</i>			
Triglycerides increased	3 (11)	2 (14)	0
Hyperuricemia	0	3 (21)	0
Lipase increased	1 (4)	2 (14)	0
g-glutamyl transferase increased	1 (4)	0	1 (10)

599

600 **Table 4. Best overall response**

601

	TIRA	TIRA/IDELA	TIRA/ENTO
All patients, N	29	14	10
Overall response rate*, n (%)	24 (83)	13 (93)	10 (100)
Best overall response, n (%)			
Complete response	2 (7)	1 (7)	1 (10)
Partial response	19 (66)	11 (79)	9 (90)
Partial response with lymphocytosis	3 (10)	1 (7)	0
Stable disease	2 (7)	1 (7)	0
Progressive disease	0	0	0
Nonevaluable	0	0	0
Discontinued study [‡]	3 (10)	0	0
High cytogenetic risk, [†] N	6	5	1
Overall response rate,* n (%)	5 (83)	4 (80)	1 (100)
Best overall response			
Complete response	1 (17)	0	0
Partial response	4 (67)	4 (80)	1 (100)
Partial response with lymphocytosis	0	0	0
Stable disease	1 (17)	1 (20)	0
Progressive disease	0	0	0
Nonevaluable	0	0	0
Discontinued study [‡]	0	0	0
Standard cytogenetic risk, [†] N	21	9	7
Overall response rate,* n (%)	18 (86)	9 (100)	7 (100)
Best overall response, n (%)			
Complete response	1 (5)	1 (11)	1 (14)
Partial response	15 (71)	7 (78)	6 (86)
Partial response with lymphocytosis	2 (10)	1 (11)	0
Stable disease	0	0	0
Progressive disease	0	0	0
Nonevaluable	0	0	0
Discontinued study [‡]	3 (14)	0	0

602 *Overall response rate = complete response + partial response + partial response with lymphocytosis.

603 [†]Cytogenetic risk was categorized as high for patients with *TP53* aberrations (deletions and/or mutations in the *TP53* gene
604 determined by NGS or FISH panels), and standard risk for those with no detectable *TP53* aberrations.605 [‡]Discontinued study or started new anticancer therapy before first assessment.

606

Figure 1. Patient exposure and disposition in A) TIRA monotherapy, B) TIRA/IDELA, and C) TIRA/ENTO treatment groups

Figure 2. Best percentage change from baseline in SPD by treatment, dose level, and risk status

[FIG 2 FOOTNOTES]

High-risk CLL patients are defined as patients with p53 mutation and/or FISH del(17p) at baseline or early on-treatment time points.

SPD, sum of the products of the greatest perpendicular diameters.

Figure 1A

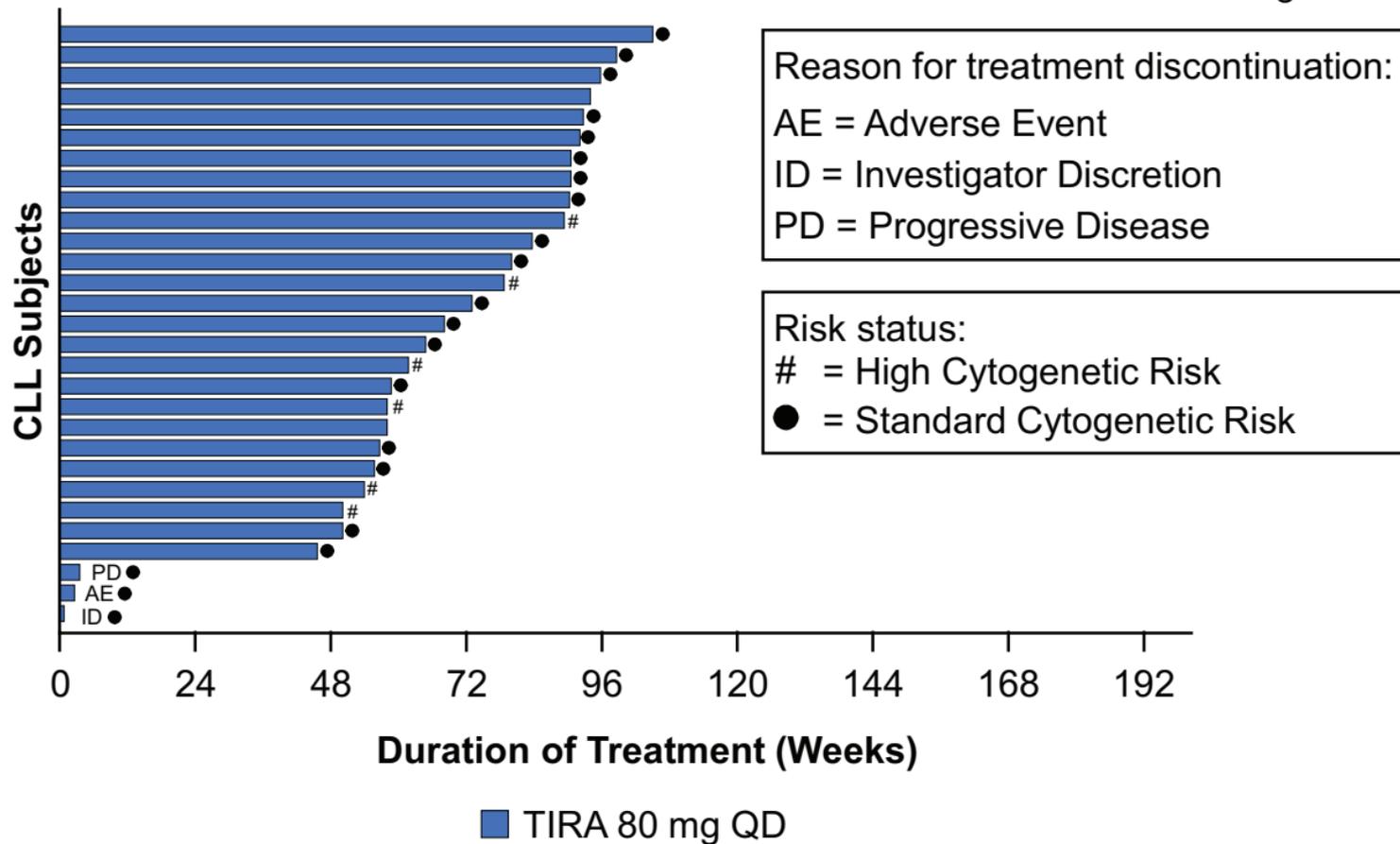


Figure 1B

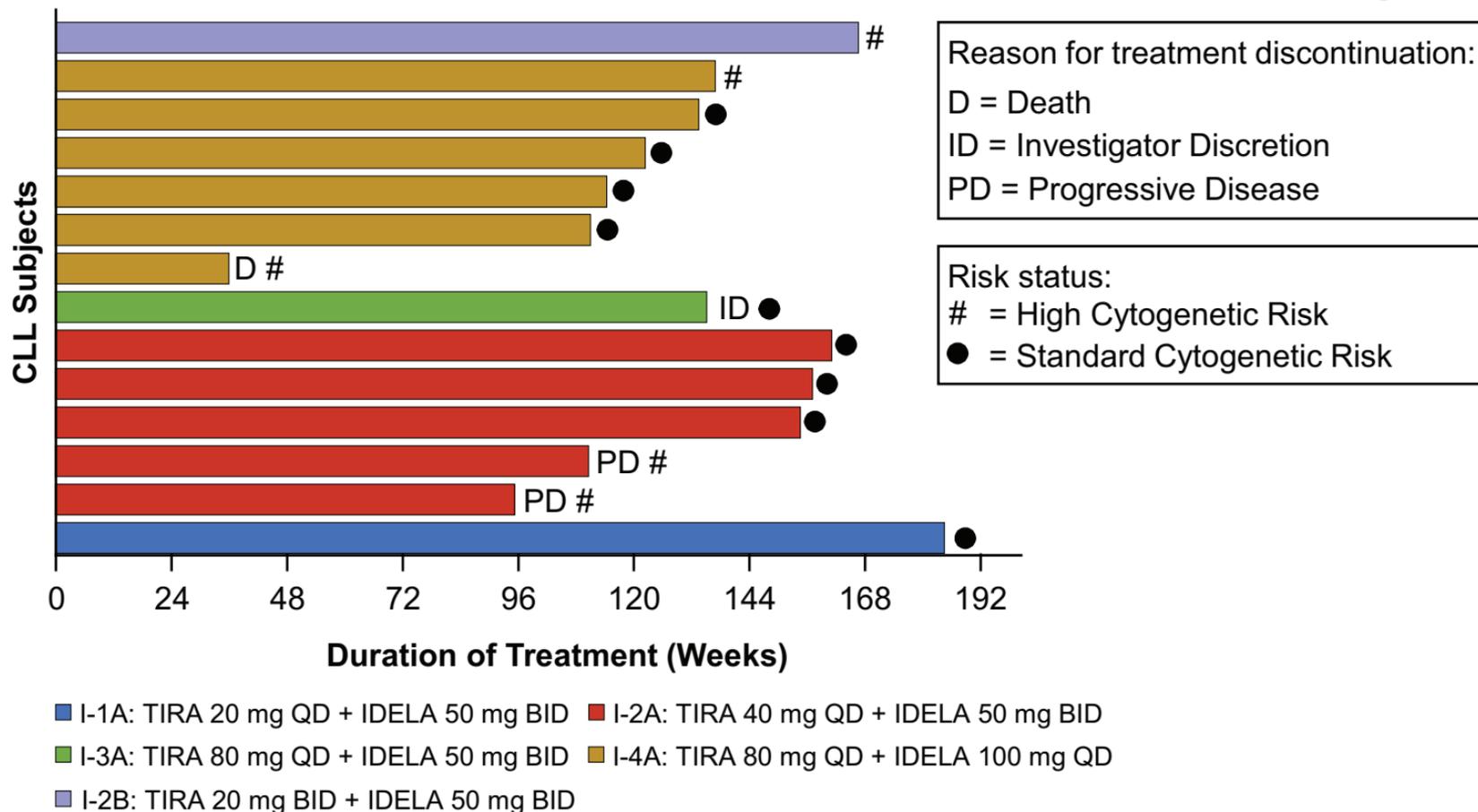


Figure 1C

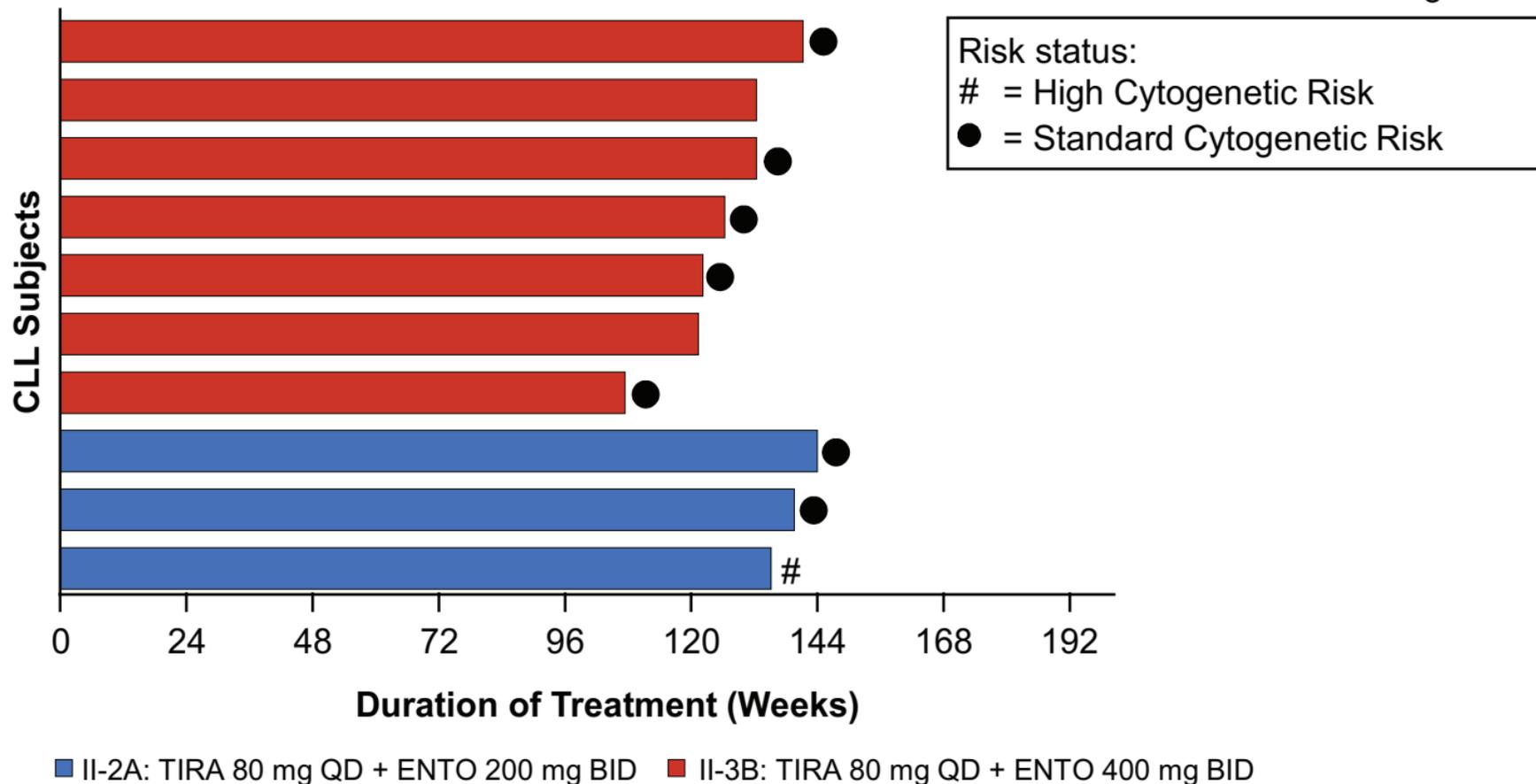
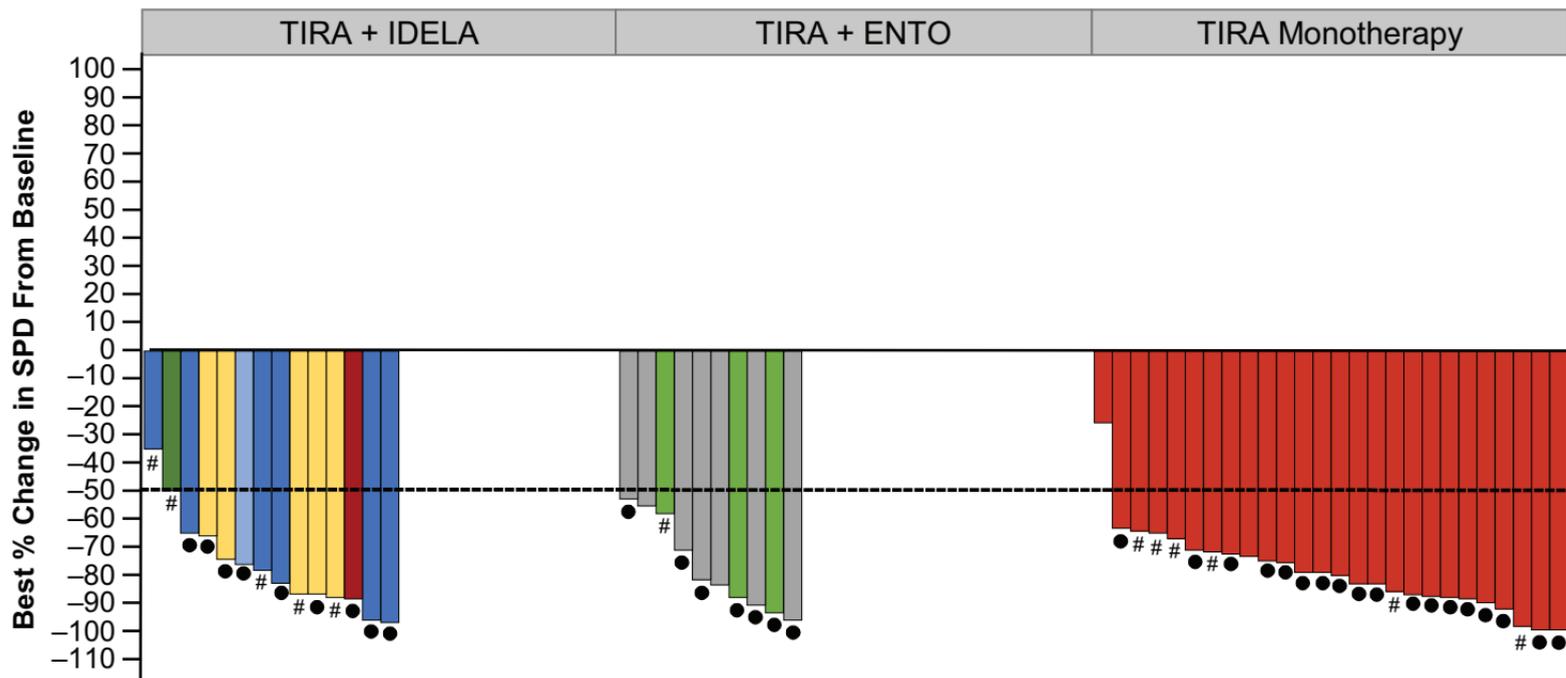


Figure 2



Dose Level and Risk Status

- I-1A: TIRA 20 mg QD + IDELA 50 mg BID (N=1)
- I-2B: TIRA 20 mg BID + IDELA 50 mg BID (N=1)
- I-3A: TIRA 80 mg QD + IDELA 50 mg BID (N=1)
- I-4A: TIRA 80 mg QD + IDELA 100 mg QD (N=6)
- I-2A: TIRA 40 mg QD + IDELA 50 mg BID (N=5)
- II-3B: TIRA 80 mg QD + ENTO 400 mg QD (N=7)
- II-2A: TIRA 80 mg QD + ENTO 200 mg QD (N=3)
- Monotherapy: TIRA 80 mg QD (N=26)
- Standard Cytogenetic Risk
- # High Cytogenetic Risk